## BPS/CFT correspondence

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### My collaborators on the theme, 2003-2019

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The **BPS/CFT correspondence** is a principle, circa 2002-2004

Correlators of chiral observables in four dimensional supersymmetric theories are holomorphic blocks (form-factors) of some conformal field theory (or a massive integrable deformation thereof) in two dimensions

### A little bit of history

In 1994 C.Vafa and E.Witten studied twisted  $\mathcal{N} = 4$  super-Yang-Mills theory on various four-manifolds X, to check the conjectured Olive-Montonen S-duality symmetry



acting on the complexified gauge coupling of the theory:

$$\tau = \frac{\vartheta}{2\pi} + \frac{4\pi i}{e^2}$$

### Modularity

The partition function in simple cases reduces to the generating function of the Euler characteristics of instanton moduli spaces:

$$Z_X(\mathfrak{q}) = \mathfrak{q}^{-h_G \frac{\chi(X)}{24}} \sum_{k=0}^{\infty} \mathfrak{q}^k \chi(\mathfrak{M}_{G,k})$$

 $\mathfrak{q} = \exp 2\pi \mathrm{i}\tau$ 

It indeed undergoes simple transformations under

$$\tau \longrightarrow -\frac{1}{\tau}$$

More refined versions of partition function incorporate 't Hooft fluxes, distinguish between different gauge groups G with the same Lie algebra  $\mathfrak{g}$  and so on. It turns out that the *S*-duality maps the gauge group to its Langlands (or Goddard-Nuyts-Olive) dual

## $G \longrightarrow {}^{L}G$

and moreover it should be embedded into a larger group, contained in

$$SL_2(\mathbb{Z}), \qquad \tau \longrightarrow \frac{a\tau + b}{c\tau + d}$$

## Nakajima algebras

Another element is the discovery of H. Nakajima,

who in 1992-1994 showed that the ground states

 $\bigoplus_{n=0}^{\infty} H^*(\mathcal{M}_{k,n})$ 

of susy quantum mechanics on the moduli spaces of U(k) instantons on the gravitational instantons, the so-called ALE spaces  $\approx \mathbb{R}^4/\Gamma$ ,  $\Gamma \subset SU(2)$ 

## Nakajima algebras

H. Nakajima showed that the ground states of SQM on the moduli spaces

 $\bigoplus_{n=0}^{\infty} H^*(\mathfrak{M}_{k,n})$ 

of U(k) instantons on ALE spaces  $\approx \mathbb{R}^4/\Gamma$ 

is an irreducible level k representation of the affine Kac-Moody algebra  $\hat{\mathfrak{g}}_{\Gamma}$ , where  $\mathfrak{g}_{\Gamma}$  is McKay dual to  $\Gamma$ .

## McKay duality

For  $\Gamma = \mathbb{Z}_N$ , the binary symmetry group of



the dual is  $G_{\Gamma} = SU(N)$ ,

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# McKay duality

For  $\Gamma = \mathbb{Z}_N \star \mathbb{Z}_2$ , the binary symmetry group of



$$G_{\Gamma} = SO(2N),$$

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,

# McKay duality

For the binary groups of Platonic polyhedra:



The dual groups are:  $G_{\Gamma} = E_6$ ,  $E_7$ ,  $E_8$ , respectively.

,

These were the hints that the algebraic structure of two dimensional conformal field theories such as  $WZW_k$  models with  $G_{\Gamma}$  groups is somehow realized in the four dimensional quantum gauge theory with some amount of supersymmetry

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# Novel kind of symmetry in QFT

## Novel kind of symmetry in QFT

Possibly non-local

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## Novel kind of symmetry in QFT

Possibly mapping one quantum field theory to another

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## An important tool allowing to study these questions

### in the context of $d = 4 \mathcal{N} = 2$ theories

## **Z**-functions



### a refined version of Witten index

**Formal definition:** 

 $\Omega$ -deformation

In the Lagrangian of the  $\mathcal{N} = 2$  theory

replace vector multiplet complex adjoint scalars  $\sigma$ :

 $\sigma + V^m D_m$ 

 $V^{m}\partial_{m} = \epsilon_{1}(x^{2}\partial_{1} - x^{1}\partial_{2}) + \epsilon_{2}(x^{3}\partial_{4} - x^{4}\partial_{3})$ 

Also, shift the generator  $\Re_3$  of the SU(2) R-symmetry group:

## $\mathcal{R}_3 \longrightarrow \mathcal{R}_3 + \mathcal{J}_3^R$

where  $\mathcal{J}_3^R$  is the generator of the  $SU(2)_R$  factor of the Lorentz group

Informal definition:

View the four dimensional theory as a limit of the five dimensional theory compactified on a circle:

 $Z_{5d}^{\beta}(\mathfrak{a},\epsilon_1,\epsilon_2;m,\tau) =$ 

 $= \mathsf{Tr}_{\mathfrak{H}} \left( -1 \right)^{\mathsf{F}} \mathfrak{q}^{L_0} e^{\frac{1}{2}\beta \left( (\epsilon_1 - \epsilon_2) \beta_3^{\mathsf{L}} + (\epsilon_1 + \epsilon_2) \left( \beta_3^{\mathsf{R}} + \mathfrak{R}_3 \right) \right)} e^{\beta \mathfrak{a} \cdot \mathfrak{g}_{\infty}} e^{\beta m \cdot \mathfrak{R}^{\mathsf{F}}}$ 

Here the charge  $L_0$  is the topological instanton charge:

$$L_0=-rac{1}{8\pi^2}\int_{\mathbb{R}^4}{
m tr} F\wedge F$$

(as in Nakajima's algebras) and  $\mathcal{G}_{\infty}$ ,  $\mathcal{R}^{F}$  denote the global gauge transformations and the flavor charges, respectively

### Informal definition:

Four dimensional interpretation

$$Z_{4d}(\mathfrak{a},\epsilon_1,\epsilon_2;m,\tau) = \lim_{\beta \to 0} Z_{5d}^{\beta}(\mathfrak{a},\epsilon_1,\epsilon_2;m,\tau)$$

Here

 $\mathfrak{a} = \langle \sigma \rangle \in Cartan(G) \otimes \mathbb{C}$  are the vev's of the vectormultiplet complex scalars *m* are the masses of matter hypermultiplets

au are the gauge coupling(s)

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### The structure of Z

$$Z = Z^{tree} Z^{1-loop} Z^{inst}$$

$$Z^{tree} = \mathfrak{q}^{\frac{\mathfrak{a}^2}{2\epsilon_1 \epsilon_2}}$$

$$Z^{1-loop} = \frac{\prod_{\alpha \in roots \ of \ G} \ \exp \gamma(\langle \alpha, \mathfrak{a} \rangle)}{\prod_{w \in weights \ of \ matter \ reps} \ \exp \gamma(m_f + w \cdot \mathfrak{a})}$$

Barnes double Gamma-function

$$\gamma(x) = \frac{d}{ds} \bigg|_{s=0} \frac{1}{\Gamma(s)} \int_0^\infty \frac{dt}{t} t^s \frac{e^{-tx}}{(1-e^{t\epsilon_1})(1-e^{t\epsilon_2})}$$

Additional hint for the BPS/CFT correspondence:

a related function shows up in Liouville conformal field theory  $${\scriptscriptstyle \rm DOZZ\mathchar`-}$$ 

Faddeev's quantum dilogarithm

$$e_b(x) \sim \prod_{i,j} (x - bi - b^{-1}j)$$
  
 $b^2 = \epsilon_2/\epsilon_1$ 

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Partition function Z

 $Z^{inst}(\mathfrak{a}, m, \tau, \epsilon_1, \epsilon_2)$ 

for the gauge groups G

which are the products of unitary groups, such as the Standard Model

 $G = U(N_1) \times \ldots \times U(N_k)$ 

can be evaluated explicitly, as an infinite sum over special instanton configurations.

This is sometimes called the computation by localization.

## Partition function Z

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can be evaluated explicitly, as an infinite sum over special instanton configurations.

For each U(v) factor one sums over the v-tuples of Young diagrams:



Partition function Z: from sums over partitions to CFT

The key feature of the non-perturbative Z-factor is the combinatorics of special instanton configurations which reproduces the structure of the Hilbert space of states

several species of free chiral fermions in two dimensions

## From sums over partitions to CFT

The key feature of the non-perturbative Z-factor is the combinatorics of special instanton configurations which reproduces the structure of the Hilbert space of states

in the theory of several species of free chiral fermions in two dimensions

 $\int \sum_{i=1}^{N} \tilde{\psi}_i \bar{\partial} \psi^i$ 

### Special $\Omega$ -background: additional SU(2) symmetry

$$\epsilon_1 + \epsilon_2 = 0$$

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#### Ω-background with $SU(2) \implies$ fermions

### $\epsilon_1 + \epsilon_2 = 0$

In this case  $Z^{inst}$  can be identified with the matrix element, or a trace, of some natural vertex operators in the theory of  $\psi$ 's

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with the free fermion states

**Partition** 
$$\lambda = (\lambda_1 \leq \lambda_2 \leq \ldots \leq \lambda_l)$$

which is the same thing as the Young diagram with the first row with  $\lambda_1$  boxes the second row with  $\lambda_2$  boxes etc

### is identified with the state

$$\begin{split} |\lambda\rangle &= \psi_{-\lambda_1+\frac{1}{2}}\psi_{-\lambda_2+\frac{3}{2}}\dots\psi_{-\lambda_i+i-\frac{1}{2}}\dots = \\ &\prod_{i=1}^\infty \psi_{-\lambda_i+i-\frac{1}{2}}\tilde{\psi}_{-i+\frac{1}{2}} \quad |\text{vac}\rangle \end{split}$$

in the free fermion Hilbert space  ${}_{\textcircled{O}}$  ,  ${}_{\textcircled{E}}$  ,  ${}_{\rule}$  ,

#### **Bosonizations**

From v free fermions to v chiral bosons

$$\psi^{i} =: e^{i\varphi_{i}}:, \qquad \tilde{\psi}_{i} =: e^{-i\varphi_{i}}:$$

From N free fermions to one free fermion to one boson

$$\begin{split} \Psi_{Nr+i-\frac{N+1}{2}} &= \psi_r^i , \qquad \tilde{\Psi}_{Nr-i+\frac{N+1}{2}} = \tilde{\psi}_{i,r} \\ \Psi &=: e^{i\Phi} : , \qquad \tilde{\Psi} &=: e^{-i\Phi} : \end{split}$$

### General story leads to more general CFTs

### in two dimensions, such as Liouville and Toda theories

and their q-deformations

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### Three classes of $\mathcal{N} = 2$ theories

### which are conformal in the ultraviolet

1) Theories which have Lagrangians.

2) Theories whose low-energy behavior is described by an auxiliary two-dimensional gauge theory (Hitchin's system)

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3) Theories, for which Z can be computed using (topological) string theory.

## Three ways of engineering $\ensuremath{\mathbb{N}}=2$ theories




• Quiver theories with Lagrangian description

The theories of class S are defined using M-theory fivebranes

The theories of class CY are defined using string compactifications on Calabi-Yau manifolds in the infinite CY volume limit, where supergravity decouples



The quiver has to be either an affine Dynkin diagram there are no fundamentals and the ranks of the gauge factors are fixed up to a single integer factor

 $v_i = Na_i$ 

with  $a_i$  being Dynkin labels

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## ADE quiver theories: Aff quivers



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Or the quiver is a Dynkin diagram of a finite dimensional Lie group  $G_{\Gamma}$ In this case  $v_i$ 's have more freedom

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Asymptotically conformal quiver theories: *« Fín ADE quíver »* E<sub>6</sub> example



These theories are solved in terms of the auxiliary four or three dimensional gauge theory with the gauge group  $G_{\Gamma}$ e.g.  $E_6$  in the last example

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The phase space of the integrable system describing the special geometry of the moduli space of vacua of the theory corresponding to Aff Dynkin diagrams is the moduli space of charge N instantons with the gauge group  $G_{\Gamma}$ on  $\mathbb{R}^2 \times \mathbb{T}^2$ where the geometry of  $\mathbb{T}^2$  and asymptotics of the gauge fields encode the gauge couplings and the masses

#### $\diamond$

For Fin quivers one gets  $G_{\Gamma}\text{-monopoles}$  on  $R^2\times S^1$  with Dirac singularities

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For  $G_{\Gamma} = SU(k)$ one can employ Nahm's duality leading to the moduli space of solutions of SU(N) Hitchin's equations on  $\mathbf{T}^2$  or  $\mathbf{R}^1 \times \mathbf{S}^1$  with k singularities

For  $G_{\Gamma} = SU(k)$ one can employ Nahm's duality leading to the moduli space of solutions of SU(N) Hitchin's equations on  $\mathbf{T}^2$  or  $\mathbf{R}^1 \times \mathbf{S}^1$  with k singularities

$$ar{F}_{zar{z}} + [\Phi,ar{\Phi}] = \sum_{i=1}^k J_i^{\mathbb{R}} \delta^{(2)}(z-z_i)$$
 $\mathcal{D}_{ar{z}} \Phi = \sum_{i=1}^k J_i^{\mathbb{C}} \delta^{(2)}(z-z_i)$ 



This picture eventually leads to the two-dimensional conformal theory with the Kac-Moody  $\widehat{SU(N)}$  symmetry or the corresponding  $W_N$ -algebra of the Liouville or  $A_{N-1}$  Toda theories

$$L = \int \sum_{i=1}^{N} \partial \phi_i \bar{\partial} \phi_i + \sum_{i=1}^{N-1} e^{\phi_i - \phi_{i+1}}$$

as in the AGT conjecture

The singularities become the vertex operator insertions



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#### Attempt at the theory of BPS/CFT correspondence:

#### NONPERTURBATIVE DYSON-SCHWINGER EQUATIONS

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# DYSON-SCHWINGER EQUATIONS

#### INVARIANCE OF (PATH) INTEGRAL

 $\langle \mathfrak{O}_1(x_1)\ldots\mathfrak{O}_n(x_n)\rangle = \frac{1}{Z}\int_{\Gamma} D\Phi e^{-\frac{1}{\hbar}S[\Phi]}\mathfrak{O}_1(x_1)\ldots\mathfrak{O}_n(x_n)$ 

#### UNDER "SMALL" DEFORMATIONS OF THE INTEGRATION CONTOUR

 $\Phi \longrightarrow \Phi + \delta \Phi$ 

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# DYSON-SCHWINGER EQUATIONS

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#### QUANTUM EQUATIONS OF MOTION

$$\langle \mathfrak{O}_1(x_1) \dots \mathfrak{O}_n(x_n) \delta S[\Phi] \rangle = \hbar \sum_{i=1}^n \langle \mathfrak{O}_1(x_1) \dots \mathfrak{O}_{i-1}(x_{i-1}) \delta \mathfrak{O}_i(x_i) \mathfrak{O}_{i+1}(x_{i+1}) \dots \mathfrak{O}_n(x_n) \rangle$$

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# DYSON-SCHWINGER EQUATIONS

#### WITH SOME LUCK

GOOD CHOICE OF (POSSIBLY NON-LOCAL) OBSERVABLES

 $\mathcal{O}_i(x)$ 

AND IN SOME LIMIT (CLASSICAL, PLANAR, ... )

THE DS EQUATIONS FORM A CLOSED SYSTEM

#### FOR EXAMPLE

 $\hbar \longrightarrow 0$ 

# CLASSICAL LIMIT $\langle \mathfrak{O}_1(x_1) \dots \mathfrak{O}_n(x_n) \delta S[\Phi] \rangle = \hbar (\dots) \to 0$

 $\Leftrightarrow \delta S[\Phi] = 0$ 

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# GAUGE THEORY

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$$\Phi \longrightarrow A = A_{\mu} dx^{\mu} \in \operatorname{Lie} U(N)$$

$$\frac{1}{\hbar} S[\Phi] \longrightarrow S_{YM}[A] = -\frac{1}{4g^2} \int_{\mathbb{R}^4} \operatorname{tr} F_A \wedge \star F_A$$

$$\mathfrak{O}_i(x_i) \longrightarrow W_R(\gamma) = \operatorname{tr}_R \operatorname{Pexp} \oint_{\gamma} A$$

$$\mathcal{W}(\gamma) = \frac{1}{N} \langle W_{\Box}(\gamma) \rangle$$

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# GAUGE THEORY: PLANAR LIMIT

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$$V \longrightarrow \infty, \qquad g^2 \rightarrow 0,$$

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FINITE  $\lambda = g^2 N$ 

$$\Delta_{\gamma} \mathcal{W}(\gamma) = \frac{g^{2}}{N} \langle \mathcal{W}_{\Box}(\gamma) \delta S_{YM}[A] \rangle =$$
  
=  $\lambda \delta_{\gamma = \gamma_{1} \star \gamma_{2}} \mathcal{W}(\gamma_{1}) \mathcal{W}(\gamma_{2}) + \frac{1}{N^{2}} \ correctons$   
MAKEENKO-MIGDAL LOOP EQUATIONS

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# GAUGE THEORY: MATRIX MODEL

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 $\Phi \in \operatorname{Lie} U(N)$ 

$$\frac{1}{\hbar}S[\Phi] = \frac{1}{\hbar}\operatorname{tr} V(\Phi)$$
$$V(X) = v_p X^p + v_{p-1} X^{p-1} + \ldots + v_1 X + v_0$$

$$\mathcal{O}(x) = \frac{1}{N} \mathrm{tr}_{\Box} \left( \frac{1}{x - \Phi} \right)$$

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## MATRIX MODEL

PLANAR LIMIT:  $\lambda = \hbar N$  FIXED

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DS EQUATIONS  $\implies$  LOOP EQUATIONS  $y(x)^2 = V'(x)^2 + g_{p-2}(x)$   $y(x) = \langle 0(x) \rangle + V'(x)$  $g_{p-2}(x) = \text{DEGREE } p - 2 \text{ POLYNOMIAL IN } x$ 

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# QFT PATH INTEGRAL INVOLVES SUMMATION OVER TOPOLOGICAL SECTORS

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# FOR EXAMPLE, IN GAUGE THEORY

$$Z = \sum_{n \in \mathbb{Z}} e^{in\vartheta} \int_{\mathcal{A}_n} \left[ \frac{DA}{Vol(\mathfrak{G}_n)} \right] e^{-S_{YM}[A]}$$
$$-\frac{1}{8\pi^2} \int \operatorname{tr} F_A \wedge F_A = n, \qquad A \in \mathcal{A}_n$$

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# NON-PERTURBATIVE DS EQUATIONS

#### IDENTITIES DERIVED BY

#### LARGE "DEFORMATIONS" OF THE PATH INTEGRAL CONTOUR

# $A \in \mathcal{A}_n \longrightarrow A + \delta A \in \mathcal{A}_{n+1}$ GRAFTING A POINT-LIKE INSTANTON

# COMPATIBILITY OF PERTURBATIVE expansion in $\hbar$ , $g^2$ , ... AND NON-PERTURBATIVE CONTRIBUTIONS expansion in $e^{-\frac{1}{\hbar}}$ , $e^{-\frac{1}{g^2}}$ , ...



# **TESTING GROUNDS**

 $\mathcal{N} = 2$  theories in 4d

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# OBSERVABLES FOR DS EQUATIONS OBSERVABLE Y(x)

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IN FOUR DIMENSIONAL U(N) GAUGE THEORY

$$\mathbf{Y}(\mathbf{x}) \sim \mathbf{det}_{\mathbb{C}^N}(x - \sigma) \sim \prod_{lpha=1}^N (x - a_lpha)$$
NAIVELY

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## **OBSERVABLES FOR DS EQUATIONS**

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# $\mathbf{Y}(\mathbf{x})$ IN FOUR DIMENSIONS

# MORE PRECISELY

$$\mathbf{Y}(\mathbf{x}) = x^N \exp - \sum_{k=1}^{\infty} \frac{1}{kx^k} \operatorname{Tr} \sigma^k$$

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$$\mathbf{Y}(\mathbf{x}) = x^N \exp - \sum_{k=1}^{\infty} \frac{1}{kx^k} \operatorname{Tr} \sigma^k$$

Non-perturbatively, e.g. in instanton background becomes

# RATIONAL FUNCTION OF DEGREE N

UNLIKE THE NAIVE  $\det_{\mathbb{C}^N}(x - \sigma)$  IT HAS POLES

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# FOR QUIVER GAUGE THEORY

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 $G = U(N_1) \times \ldots \times U(N_r)$ 

### $\mathbf{Y}(\mathbf{x}) \longrightarrow (\mathbf{Y}_1(x), \mathbf{Y}_2(x), \dots, \mathbf{Y}_r(x))$

#### Several rational functions of x

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#### THERE EXIST

#### LAURENT POLYNOMIALS (SERIES FOR AFFINE $\gamma$ )

 $\mathfrak{X}_i(x) = Y_i(x) + \ldots$ 

in  $Y_j(x+ \text{ linear combinations of masses } m_e)$  such that

$$\langle \mathfrak{X}_i(x) \rangle = \operatorname{POLYNOMIAL}_{\Diamond} \text{ IN } x$$



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# WE CALL $\mathcal{X}_i(x)$

#### THE FUNDAMENTAL GAUGE CHARACTERS

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## MORE GENERAL LOCAL OBSERVABLES $\mathcal{X}_{w}(x)$

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THE GAUGE CHARACTERS

 $\mathfrak{X}_{\mathbf{w}}(x) = \mathfrak{X}_{w_1}(x-\nu_1)\mathfrak{X}_{w_2}(x-\nu_2)\ldots\mathfrak{X}_{w_p}(x-\nu_p) +$  corrections

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# THE MAIN CLAIM = SEIBERG-WITTEN GEOMETRY

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## of low-energy effective theory

NN, V.Pestun, 2012

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## (DOUBLE) QUANTUM SEIBERG-WITTEN GEOMETRY when theory is subject to $\Omega$ -deformation $\chi_{w}(x) \longrightarrow \chi_{w}(x) - qq$ -characters

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#### $\diamond$

## HIDDEN SYMMETRY OF THE SPACE OF VACUA

#### quantum group based on the quiver

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# THE ORIGIN OF qq-CHARACTERS $\chi_{w}(x) =$ PARTITION FUNCTION

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#### OF A POINT-LIKE DEFECT $\mathscr{D}_{w}(x)$

#### $\mathscr{D}_{w}(x)$ CAN BE ENGINEERED

#### USING INTERSECTING BRANES

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## Brane-world scenarios

#### propose that the Standard Model is confined to a brane

while gravity propagates in the bulk

#### Brane-world scenarios

propose that the Standard Model is confined to a brane

which could originate from the string theory D-branes

with closed strings propagating in the bulk

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#### Brane-world scenarios

propose that the Standard Model is confined to a brane

which could originate from the string theory D-branes

spanning a nearly flat, or a nearly AdS space

#### What if there is more then one stack of branes?

#### Branes that intersect?

The intersections could be either

#### the defects in the worldvolume or

our braneworld could be an intersection

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#### Integrate out one of the stacks

To produce observables on the remaining stack of branes



#### Integrate out one of the stacks

To produce observables on the remaining stack of branes



 $\begin{array}{l} qq\text{-character in the } U(N') \text{ theory on } 6789 \\ = \chi \left( x \;; a_{1} \right) * \chi \left( x \;; a_{2} \right) * \chi \left( x \;; a_{3} \right) \ldots * \chi \left( x \;; a_{n} \right) \end{array}$ 



#### Surface operators from intersecting braneworlds



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#### **EXAMPLE:** U(N) **THEORIES**

 $A_1$  CASE:  $N_c = N$ ,  $N_f = 2N$ 

## FUNDAMENTAL qq-CHARACTER

 $\mathfrak{X}_1(x) = Y(x + \epsilon_1 + \epsilon_2) + \mathfrak{q}P(x)Y(x)^{-1}$ 

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For the theories with one  $\epsilon$ -parameter one finds the classical  $G_{\Gamma}$  symmetry deformed into the Yangian symmetry  $Y(\mathfrak{g}_{\Gamma})$ the symmetry of the quantum spin chains

It appears that the full Yangian symmetry

is generated by the domain walls

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#### The challenge is to extend these of observations

to the practical scheme, extending beyond the BPS-sector

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#### The real challenge is to extend these observations

to the practical scheme, extending beyond the BPS-sector

beyond the realm of supersymmetric theories

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The real challenge is to extend this sequence of observations

to the full QFT spectrum

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## THANK YOU

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THANK YOU,

## and HAPPY 100th ANNIVERSARY

**ISAAK MARKOVICH** 

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