

# Dynamics of Tensor and SYK Models


Igor Klebanov

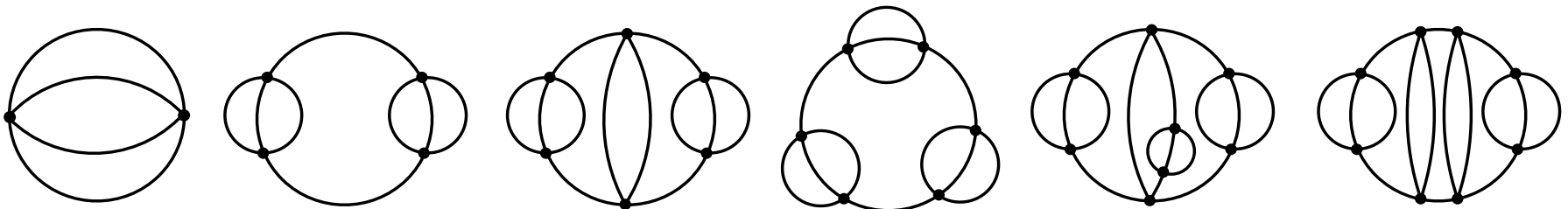


Talk at the Khalatnikov Conference  
Chernogolovka, October 18, 2019

- IK, Grigory Tarnopolsky, arXiv: 1611.08915
- IK, Fedor Popov, Grigory Tarnopolsky,  
“TASI Lectures on Large N Tensor Models,”  
arXiv: 1808.09434
- Jaewon Kim, IK, Grigory Tarnopolsky, Wenli Zhao, arXiv:1902.02287, Physical Review X9 (2019) 021043

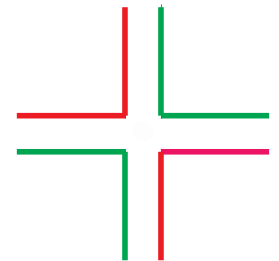
# Three Large N Limits

- $O(N)$  Vector: solvable because the “cactus” diagrams can be summed. 
- Matrix ('t Hooft) Limit: planar diagrams. Solvable only in special cases.
- Tensor of rank three and higher. When interactions are specially chosen, dominated by the “melonic” diagrams. Bonzom, Gurau, Riello, Rivasseau; Carrozza, Tanasa; Witten; IK, Tarnopolsky

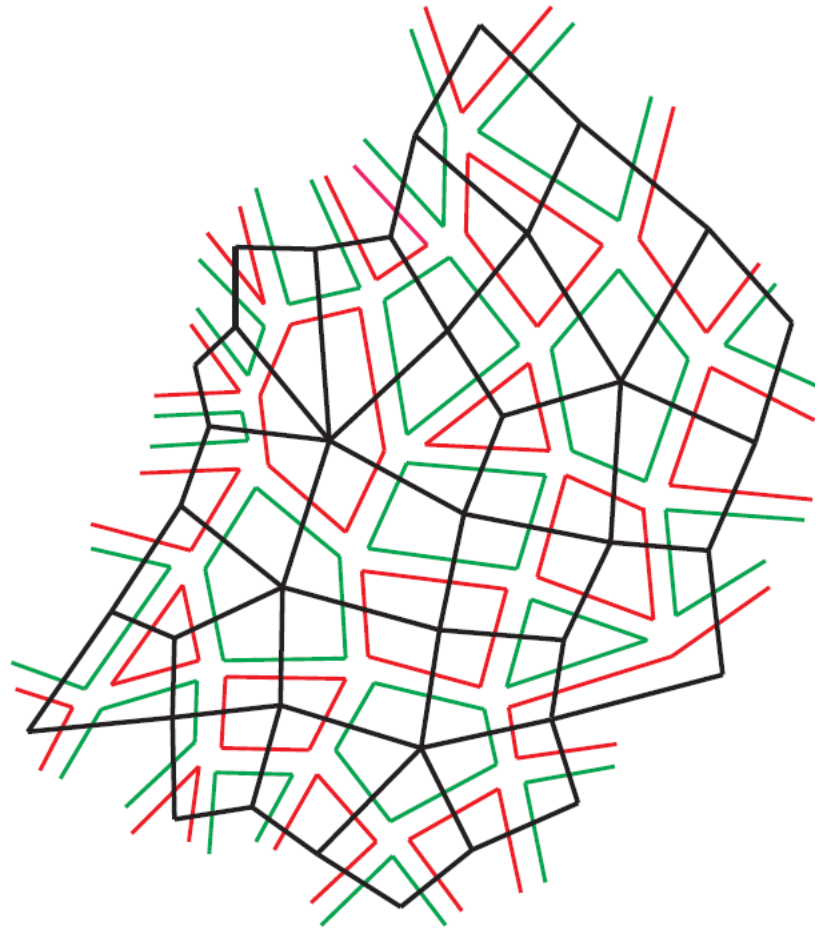


# $O(N) \times O(N)$ Matrix Model

- Theory of real matrices  $\phi^{ab}$  with distinguishable indices, i.e. in the bi-fundamental representation of  $O(N)_a \times O(N)_b$  symmetry.
- The interaction is at least quartic:  $g \text{tr} \phi \phi^T \phi \phi^T$
- Propagators are represented by colored double lines, and the interaction vertex is
- In  $d=0$  or  $1$  special limits describe two-dimensional quantum gravity.



- In the large  $N$  limit where  $gN$  is held fixed we find planar Feynman graphs, and each index loop may be red or green.
- The dual graphs shown in black may be thought of as random surfaces tiled with squares whose vertices have alternating colors (red, green, red, green).



# From Bi- to Tri-Fundamentals

- For a 3-tensor with distinguishable indices the propagator has index structure

$$\langle \phi^{abc} \phi^{a'b'c'} \rangle = \delta^{aa'} \delta^{bb'} \delta^{cc'}$$

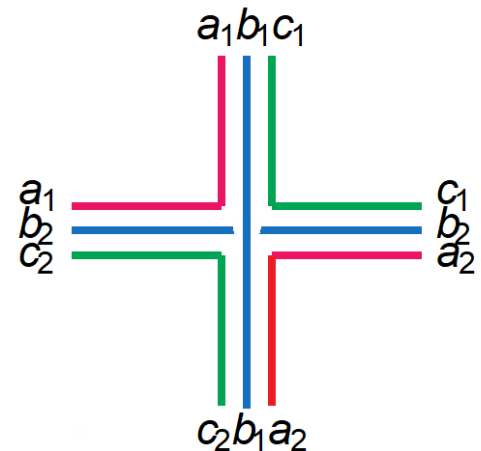
- It may be represented graphically by 3 colored wires



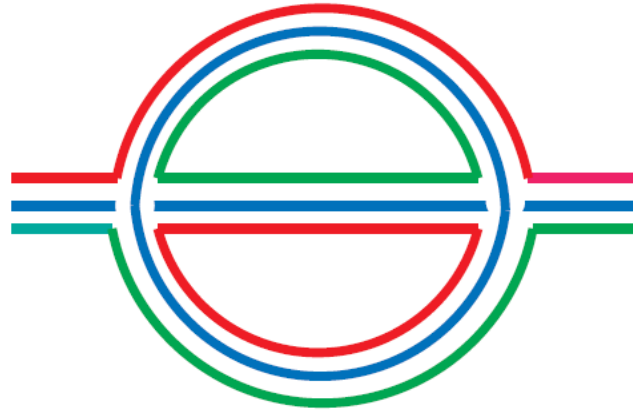
- Tetrahedral** interaction with  $O(N)_a \times O(N)_b \times O(N)_c$  symmetry

Carrozza, Tanasa; IK, Tarnopolsky

$$\frac{1}{4} g \phi^{a_1 b_1 c_1} \phi^{a_1 b_2 c_2} \phi^{a_2 b_1 c_2} \phi^{a_2 b_2 c_1}$$



- Leading correction to the propagator has 3 index loops

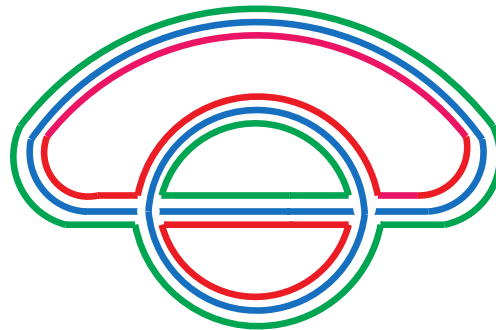
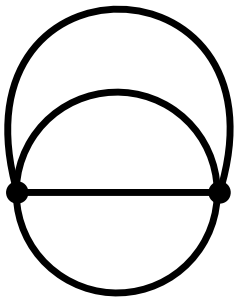


- Requiring that this “melon” insertion is of order 1 means that  $\lambda = gN^{3/2}$  must be held fixed in the large N limit.
- Melonic graphs obtained by iterating

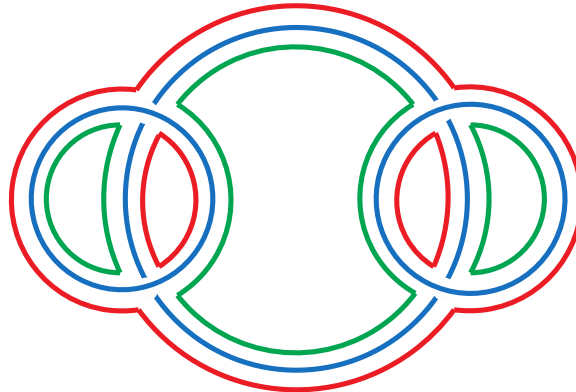
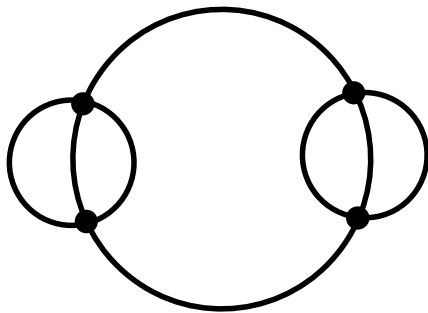


# Cables and Wires

- The Feynman graphs of the quartic field theory may be resolved in terms of the colored wires (triple lines)



$$g^2 N^6 \sim N^3 \lambda^2$$

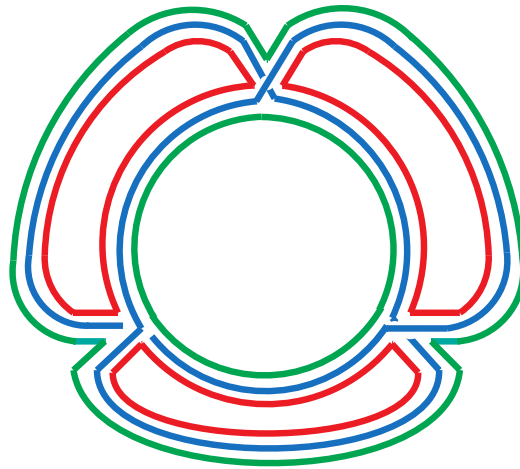
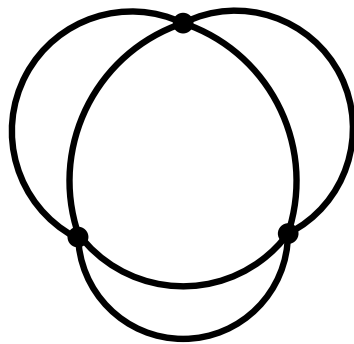


$$g^4 N^9 \sim N^3 \lambda^4$$



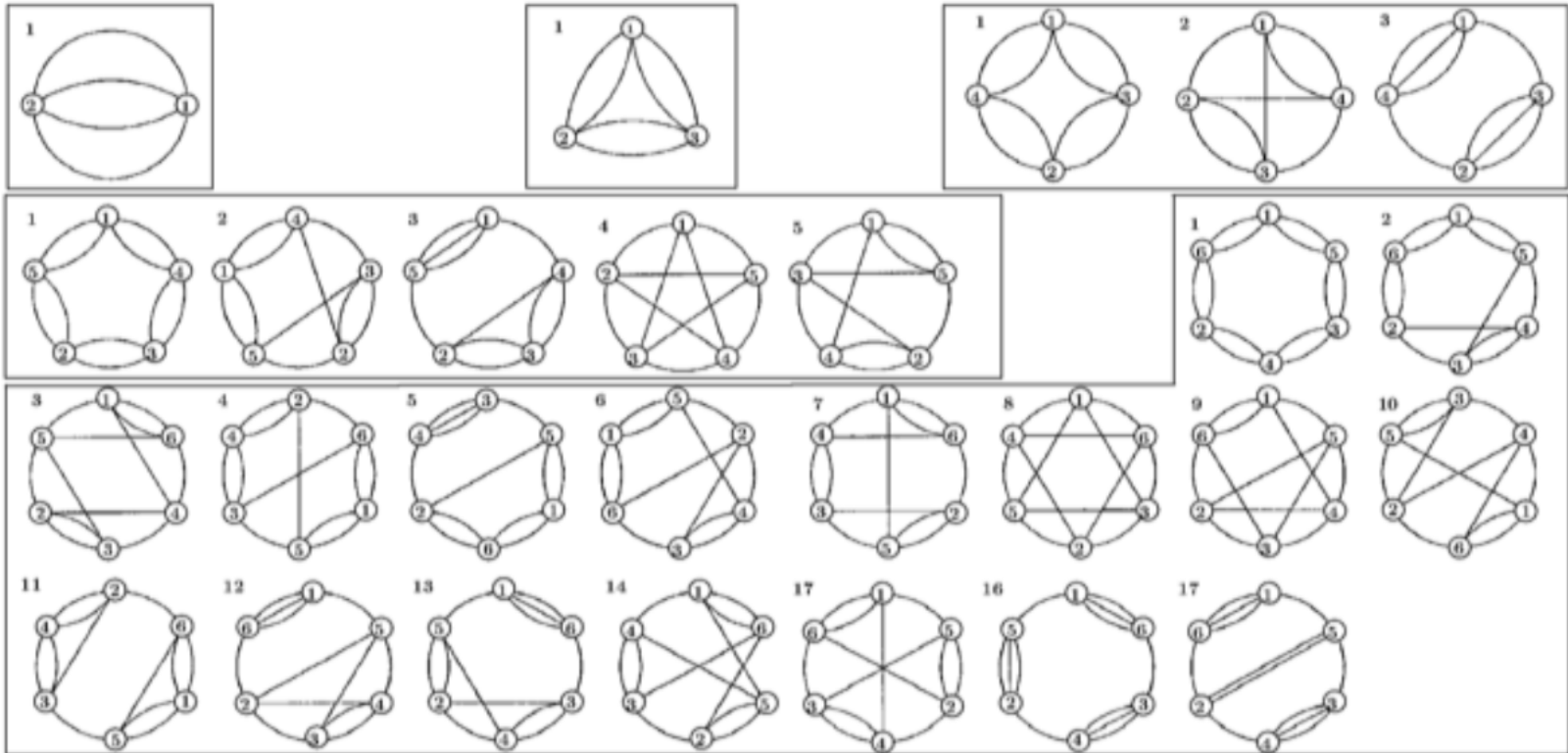
# Non-Melonic Graphs

- Most Feynman graphs in the quartic field theory are not melonic and are therefore subdominant in the new large  $N$  limit, e.g.



- Scales as  $g^3 N^6 \sim N^3 \lambda^3 N^{-3/2}$
- None of the graphs with an odd number of vertices are melonic.

- Here is the list of snail-free vacuum graphs up to 6 vertices Kleinert, Schulte-Frohlinde



- Only 4 out of these 27 graphs are melonic.
- The number of melonic graphs with  $p$  vertices grows as  $C^p$  Bonzom, Gurau, Riello, Rivasseau

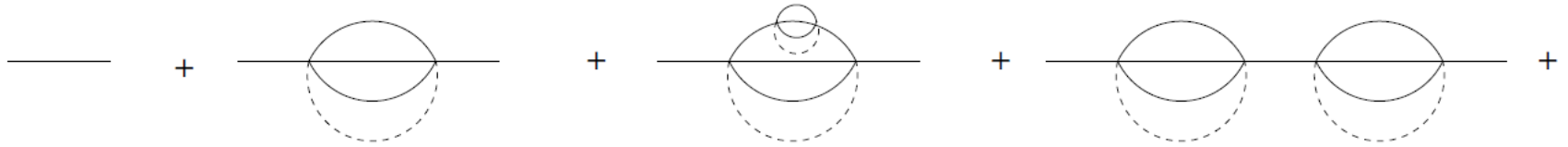
# The Sachdev-Ye-Kitaev Model

- Quantum mechanics of a large number  $N_{\text{SYK}}$  of anti-commuting variables with action

$$I = \int dt \left( \frac{i}{2} \sum_i \psi_i \frac{d}{dt} \psi_i - i^{q/2} j_{i_1 i_2 \dots i_q} \psi_{i_1} \psi_{i_2} \dots \psi_{i_q} \right)$$

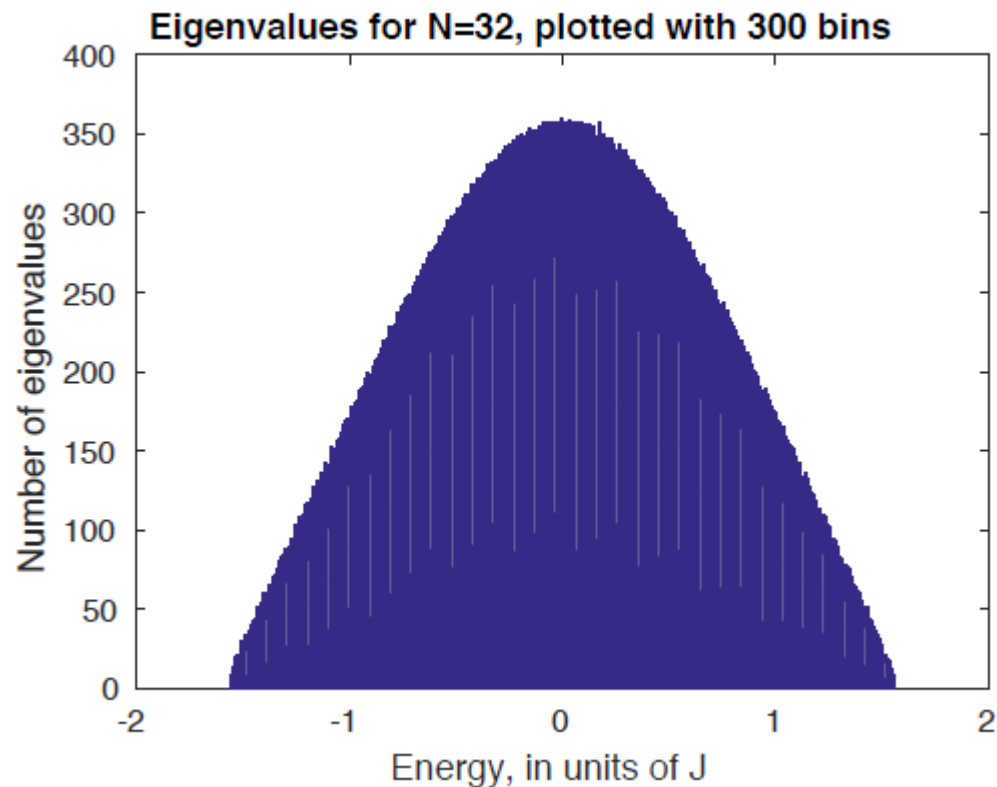
- Random couplings  $j$  have a Gaussian distribution with zero mean.
- The model flows to strong coupling and becomes nearly conformal. Sachdev, Ye; Georges, Parcollet, Sachdev; Kitaev; Polchinski, Rosenhaus; Maldacena, Stanford; Jevicki, Suzuki, Yoon; Kitaev, Suh

- The simplest dynamical case is  $q=4$ .
- Exactly solvable in the large  $N_{\text{SYK}}$  limit because only the **melonic** Feynman diagrams contribute



- Solid lines are fermion propagators, while dashed lines mean disorder average.
- The exact solution shows resemblance with physics of certain two-dimensional black holes.  
 Kitaev; Almheiri, Polchinski; Sachdev; Maldacena, Stanford, Yang; Engelsoy, Mertens, Verlinde; Jensen; Kitaev, Suh; ...

- Spectrum for a single realization of  $N_{\text{SYK}}=32$  model with  $q=4$ . Maldacena, Stanford
- No exact degeneracies, but the gaps are exponentially small. Large low T entropy.



# Majorana Tensor QM

- E. Witten, “An SYK-Like Model Without Disorder,” arXiv: 1610.09758.
- Appeared on the evening of Halloween: October 31, 2016.



- It is sometimes tempting to change the term “melonic diagrams” to “pumpkinlike diagrams.”

# The Gurau-Witten Model

- This model is called “colored” in the random tensor literature because the anti-commuting 3-tensor fields  $\psi_A^{abc}$  carry a label  $A=0,1,2,3$ .

$$S_{\text{Gurau-Witten}} = \int dt \left( \frac{i}{2} \psi_A^{abc} \partial_t \psi_A^{abc} + g \psi_0^{abc} \psi_1^{ade} \psi_2^{fbe} \psi_3^{fdc} \right)$$

- Perhaps more natural to call it “**flavored.**”
- The model has  $O(N)^6$  symmetry with each tensor in a tri-fundamental under a different subset of the six symmetry groups.
- Contains  $4N^3$  Majorana fermions.

# The $O(N)^3$ Model

- A pruned version: there are  $N^3$  Majorana fermions IK, Tarnopolsky

$$\{\psi^{abc}, \psi^{a'b'c'}\} = \delta^{aa'} \delta^{bb'} \delta^{cc'}$$

$$H = \frac{g}{4} \psi^{abc} \psi^{ab'c'} \psi^{a'bc'} \psi^{a'b'c} - \frac{g}{16} N^4$$

- Has  $O(N)_a \times O(N)_b \times O(N)_c$  symmetry under

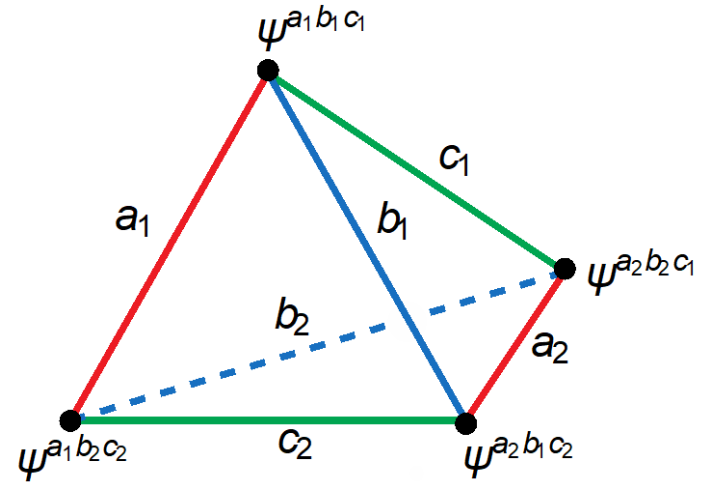
$$\psi^{abc} \rightarrow M_1^{aa'} M_2^{bb'} M_3^{cc'} \psi^{a'b'c'}, \quad M_1, M_2, M_3 \in O(N)$$

- The  $SO(N)$  symmetry charges are

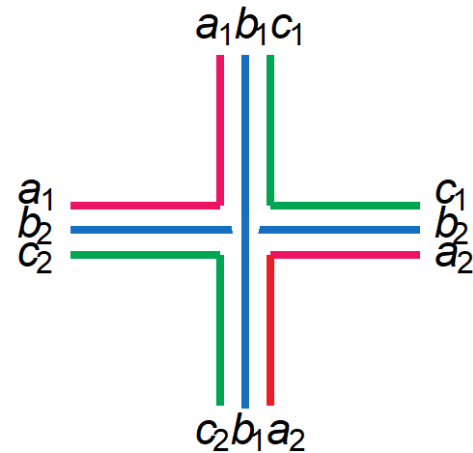
$$Q_1^{aa'} = \frac{i}{2} [\psi^{abc}, \psi^{a'bc}], \quad Q_2^{bb'} = \frac{i}{2} [\psi^{abc}, \psi^{ab'c}], \quad Q_3^{cc'} = \frac{i}{2} [\psi^{abc}, \psi^{abc'}]$$



- The 3-tensors may be associated with indistinguishable vertices of a tetrahedron.



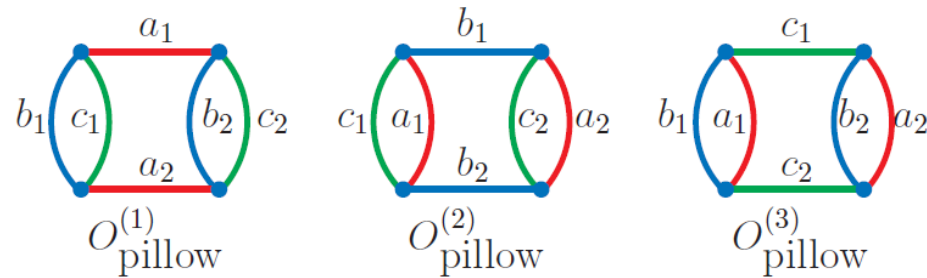
- This is equivalent to



- The triple-line Feynman graphs are produced using the propagator



- The tetrahedral term is the **unique** dynamical quartic interaction with  $O(N)^3$  symmetry.
- The other possible terms are quadratic Casimirs of the three  $SO(N)$  groups.



$$O_{\text{pillow}}^{(1)} = \sum_{a_1 < a_2} Q_1^{a_1 a_2} Q_1^{a_1 a_2}, \quad O_{\text{pillow}}^{(2)} = \sum_{b_1 < b_2} Q_2^{b_1 b_2} Q_2^{b_1 b_2}, \quad O_{\text{pillow}}^{(3)} = \sum_{c_1 < c_2} Q_3^{c_1 c_2} Q_3^{c_1 c_2}$$

- In the model where  $SO(N)^3$  is gauged, they vanish.

# $O(N)^3$ vs. SYK Model

- Using composite indices  $I_k = (a_k b_k c_k)$

$$H = \frac{1}{4!} J_{I_1 I_2 I_3 I_4} \psi^{I_1} \psi^{I_2} \psi^{I_3} \psi^{I_4}$$

The couplings take values  $0, \pm 1$

$$J_{I_1 I_2 I_3 I_4} = \delta_{a_1 a_2} \delta_{a_3 a_4} \delta_{b_1 b_3} \delta_{b_2 b_4} \delta_{c_1 c_4} \delta_{c_2 c_3} - \delta_{a_1 a_2} \delta_{a_3 a_4} \delta_{b_2 b_3} \delta_{b_1 b_4} \delta_{c_2 c_4} \delta_{c_1 c_3} + 22 \text{ terms}$$

- The number of distinct terms is

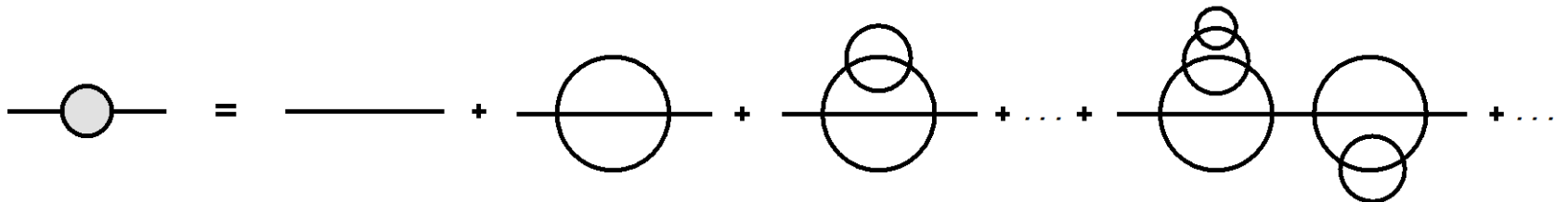
$$\frac{1}{4!} \sum_{\{I_k\}} J_{I_1 I_2 I_3 I_4}^2 = \frac{1}{4} N^3 (N-1)^2 (N+2)$$

- Much smaller than in SYK model with  $N_{\text{SYK}} = N^3$

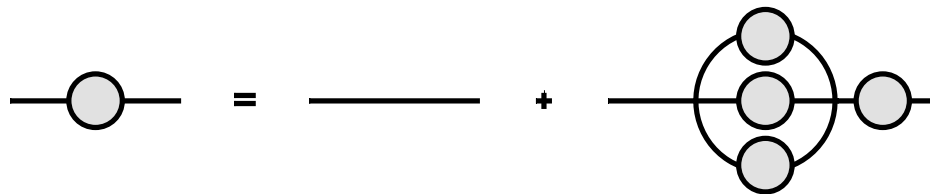
$$\frac{1}{24} N^3 (N^3 - 1)(N^3 - 2)(N^3 - 3)$$

# Schwinger-Dyson Equations

- Some are the same as in the SYK model Kitaev; Polchinski, Rosenhaus; Maldacena, Stanford; Jevicki, Suzuki, Yoon; Kitaev, Suh



$$G(t_1 - t_2) = G_0(t_1 - t_2) + g^2 N^3 \int dt dt' G_0(t_1 - t) G(t - t')^3 G(t' - t_2)$$

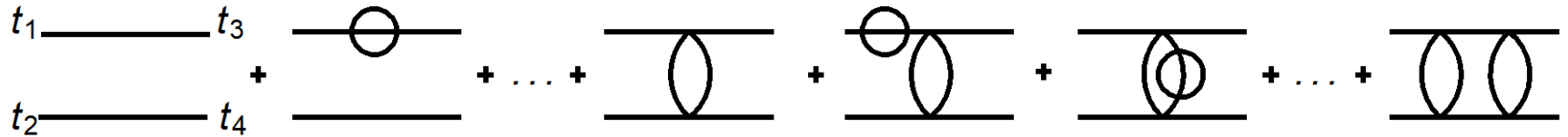


- Neglecting the left-hand side in IR we find

$$G(t_1 - t_2) = - \left( \frac{1}{4\pi g^2 N^3} \right)^{1/4} \frac{\text{sgn}(t_1 - t_2)}{|t_1 - t_2|^{1/2}}$$

- Four point function

$$\langle \psi^{a_1 b_1 c_1}(t_1) \psi^{a_1 b_1 c_1}(t_2) \psi^{a_2 b_2 c_2}(t_3) \psi^{a_2 b_2 c_2}(t_4) \rangle = N^6 G(t_{12}) G(t_{34}) + \Gamma(t_1, \dots, t_4)$$



- If we denote by  $\Gamma_n$  the ladder with n rungs

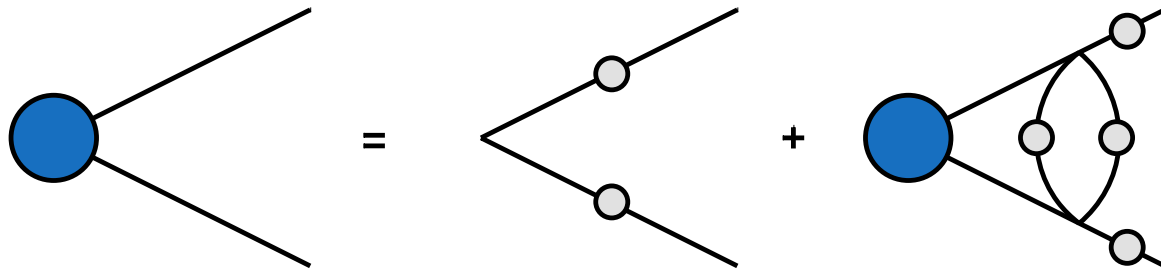
$$\Gamma = \sum_n \Gamma_n$$

$$\Gamma_{n+1}(t_1, \dots, t_4) = \int dt dt' K(t_1, t_2; t, t') \Gamma_n(t, t', t_3, t_4)$$

$$K(t_1, t_2; t_3, t_4) = -3g^2 N^3 G(t_{13}) G(t_{24}) G(t_{34})^2$$

# Spectrum of two-particle operators

- S-D equation for the three-point function Gross, Rosenhaus



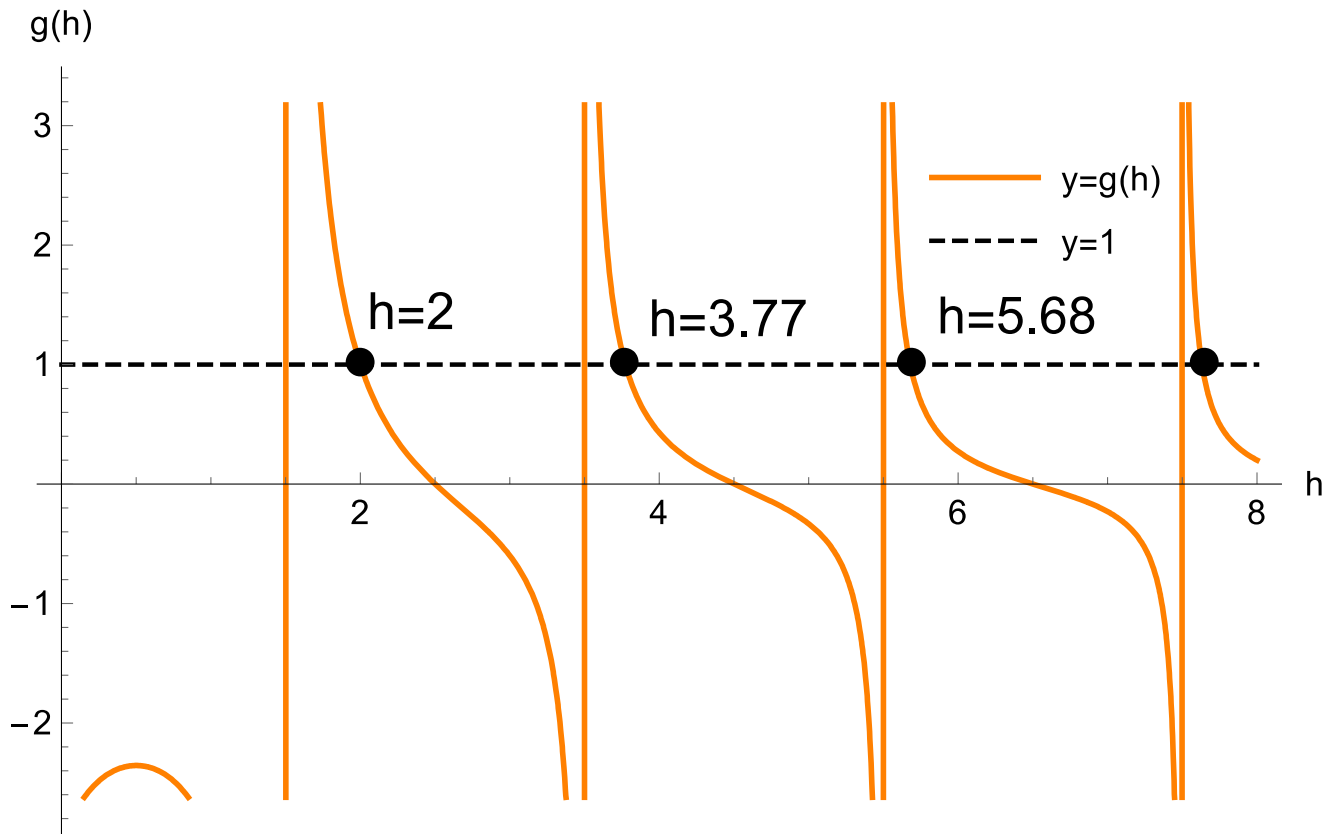
$$v(t_0, t_1, t_2) = g(h) \int dt_3 dt_4 K(t_1, t_2; t_3, t_4) v(t_0, t_3, t_4)$$

$$v(t_0, t_1, t_2) = \langle O_2^n(t_0) \psi^{abc}(t_1) \psi^{abc}(t_2) \rangle = \frac{\text{sgn}(t_1 - t_2)}{|t_0 - t_1|^h |t_0 - t_2|^h |t_1 - t_2|^{1/2-h}}$$

- Scaling dimensions of operators  $O_2^n = \psi^{abc} (D_t^n \psi)^{abc}$

$$g(h) = -\frac{3 \tan(\frac{\pi}{2}(h - \frac{1}{2}))}{2(h - 1/2)} = 1$$

- The first solution is  $h=2$ ; dual to JT gravity.



- The higher scaling dimensions are

$$h \approx 3.77, 5.68, 7.63, 9.60 \text{ approaching } h_n \rightarrow n + \frac{1}{2}$$

# Tetrahedral Bosonic QFT

- Action with a potential that is not positive definite IK, Tarnopolsky; Giombi, IK, Tarnopolsky

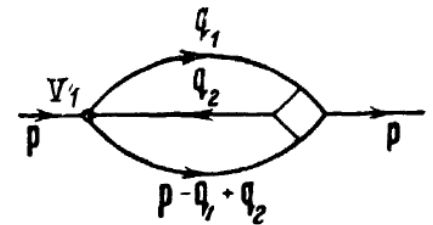
$$S = \int d^d x \left( \frac{1}{2} \partial_\mu \phi^{abc} \partial^\mu \phi^{abc} + \frac{1}{4} g \phi^{a_1 b_1 c_1} \phi^{a_1 b_2 c_2} \phi^{a_2 b_1 c_2} \phi^{a_2 b_2 c_1} \right)$$

- Schwinger-Dyson equation for 2pt function Patashinsky, Pokrovsky (1963)

$$G^{-1}(p) = -\lambda^2 \int \frac{d^d k d^d q}{(2\pi)^{2d}} G(q) G(k) G(p + q + k)$$

- Has solution

$$G(p) = \lambda^{-1/2} \left( \frac{(4\pi)^d d \Gamma(\frac{3d}{4})}{4\Gamma(1 - \frac{d}{4})} \right)^{1/4} \frac{1}{(p^2)^{\frac{d}{4}}}$$





# Spectrum of two-particle spin zero operators

- Schwinger-Dyson equation

$$\int d^d x_3 d^d x_4 K(x_1, x_2; x_3, x_4) v_h(x_3, x_4) = g(h) v_h(x_1, x_2)$$

$$K(x_1, x_2; x_3, x_4) = 3\lambda^2 G(x_{13}) G(x_{24}) G(x_{34})^2$$

$$v_h(x_1, x_2) = \frac{1}{[(x_1 - x_2)^2]^{\frac{1}{2}(\frac{d}{2} - h)}}$$

$$g_{\text{bos}}(h) = -\frac{3\Gamma\left(\frac{3d}{4}\right) \Gamma\left(\frac{d}{4} - \frac{h}{2}\right) \Gamma\left(\frac{h}{2} - \frac{d}{4}\right)}{\Gamma\left(-\frac{d}{4}\right) \Gamma\left(\frac{3d}{4} - \frac{h}{2}\right) \Gamma\left(\frac{d}{4} + \frac{h}{2}\right)}$$

- In  $d < 4$  the first solution is complex  $\frac{d}{2} + i\alpha(d)$

# Complex Fixed Point in 4- $\epsilon$ Dimensions

- The tetrahedron

$$O_t(x) = \phi^{a_1 b_1 c_1} \phi^{a_1 b_2 c_2} \phi^{a_2 b_1 c_2} \phi^{a_2 b_2 c_1}$$

mixes at finite N with the pillow and double-sum operators

$$O_p(x) = \frac{1}{3} \left( \phi^{a_1 b_1 c_1} \phi^{a_1 b_1 c_2} \phi^{a_2 b_2 c_2} \phi^{a_2 b_2 c_1} + \phi^{a_1 b_1 c_1} \phi^{a_2 b_1 c_1} \phi^{a_2 b_2 c_2} \phi^{a_1 b_2 c_2} + \phi^{a_1 b_1 c_1} \phi^{a_1 b_2 c_1} \phi^{a_2 b_1 c_2} \phi^{a_2 b_2 c_2} \right),$$

$$O_{ds}(x) = \phi^{a_1 b_1 c_1} \phi^{a_1 b_1 c_1} \phi^{a_2 b_2 c_2} \phi^{a_2 b_2 c_2}$$

- The renormalizable action is

$$S = \int d^d x \left( \frac{1}{2} \partial_\mu \phi^{abc} \partial^\mu \phi^{abc} + \frac{1}{4} (g_1 O_t(x) + g_2 O_p(x) + g_3 O_{ds}(x)) \right)$$

- The large N scaling is

$$g_1 = \frac{(4\pi)^2 \tilde{g}_1}{N^{3/2}}, \quad g_2 = \frac{(4\pi)^2 \tilde{g}_2}{N^2}, \quad g_3 = \frac{(4\pi)^2 \tilde{g}_3}{N^3}$$

- The 2-loop beta functions and fixed points:

$$\tilde{\beta}_t = -\epsilon \tilde{g}_1 + 2\tilde{g}_1^3,$$

$$\tilde{\beta}_p = -\epsilon \tilde{g}_2 + \left(6\tilde{g}_1^2 + \frac{2}{3}\tilde{g}_2^2\right) - 2\tilde{g}_1^2 \tilde{g}_2,$$

$$\tilde{\beta}_{ds} = -\epsilon \tilde{g}_3 + \left(\frac{4}{3}\tilde{g}_2^2 + 4\tilde{g}_2 \tilde{g}_3 + 2\tilde{g}_3^2\right) - 2\tilde{g}_1^2(4\tilde{g}_2 + 5\tilde{g}_3)$$

$$\tilde{g}_1^* = (\epsilon/2)^{1/2}, \quad \tilde{g}_2^* = \pm 3i(\epsilon/2)^{1/2}, \quad \tilde{g}_3^* = \mp i(3 \pm \sqrt{3})(\epsilon/2)^{1/2}$$

- The scaling dimension of  $\phi^{abc} \phi^{abc}$  is

$$\Delta_O = d - 2 + 2(\tilde{g}_2^* + \tilde{g}_3^*) = 2 \pm i\sqrt{6\epsilon} + \mathcal{O}(\epsilon)$$

# A Richer Set of Models

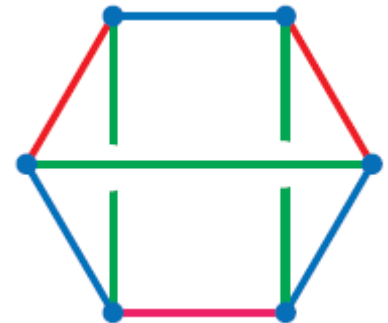
- The tetrahedral interaction is the simplest possibility of obtaining a solvable large  $N$  tensor model.
- There are many others!
- For the interaction of order  $2n$  the maximal tensor rank is  $2n-1$ . When it is lower, the theory may be called “subchromatic.” Prakash, Sinha
- It is helpful to choose the dominant interaction to be **Maximally Single Trace (MST)**. Ferrari, Rivasseau, Valette; IK, Pallegar, Popov

# Prismatic Bosonic QFT

- Large N limit dominated by the positive sextic “prism” interaction Giombi, IK, Popov, Prakash, Tarnopolsky

$$S = \int d^d x \left( \frac{1}{2} (\partial_\mu \phi^{abc})^2 + \frac{g_1}{6!} \phi^{a_1 b_1 c_1} \phi^{a_1 b_2 c_2} \phi^{a_2 b_1 c_2} \phi^{a_3 b_3 c_1} \phi^{a_3 b_2 c_3} \phi^{a_2 b_3 c_3} \right)$$

- It is subchromatic and MST (erasing any color leaves the diagram connected).
- To obtain the large N solution it is convenient to rewrite



$$S = \int d^d x \left( \frac{1}{2} (\partial_\mu \phi^{abc})^2 + \frac{\lambda}{3!} \phi^{a_1 b_1 c_1} \phi^{a_1 b_2 c_2} \phi^{a_2 b_1 c_2} \chi^{a_2 b_2 c_1} - \frac{1}{2} \chi^{abc} \chi^{abc} \right)$$

- Tensor counterpart of a bosonic SYK-like model.

Murugan, Stanford, Witten

- The IR solution in general dimension:

$$3\Delta_\phi + \Delta_\chi = d, \quad d/2 - 1 < \Delta_\phi < d/6$$

$$\frac{\Gamma(\Delta_\phi)\Gamma(d - \Delta_\phi)}{\Gamma(\frac{d}{2} - \Delta_\phi)\Gamma(-\frac{d}{2} + \Delta_\phi)} = 3 \frac{\Gamma(3\Delta_\phi)\Gamma(d - 3\Delta_\phi)}{\Gamma(\frac{d}{2} - 3\Delta_\phi)\Gamma(-\frac{d}{2} + 3\Delta_\phi)}$$

- In  $d = 3 - \epsilon$

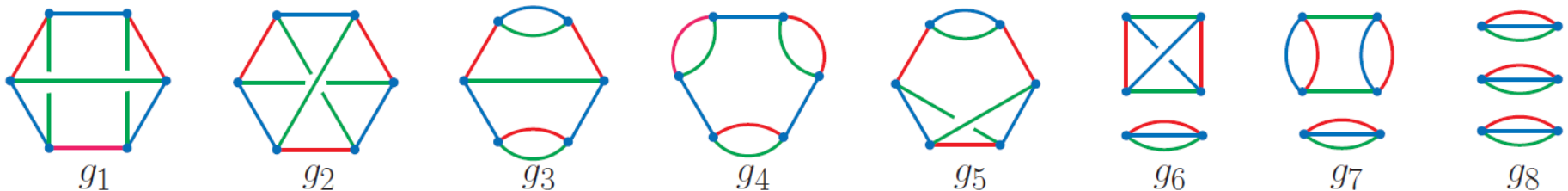
$$\Delta_\phi = \frac{1}{2} - \frac{\epsilon}{2} + \epsilon^2 - \frac{20\epsilon^3}{3} + \left(\frac{472}{9} + \frac{\pi^2}{3}\right)\epsilon^4 + \left(7\zeta(3) - \frac{12692}{27} - \frac{56\pi^2}{9}\right)\epsilon^5 + O(\epsilon^6)$$

- For  $d=2.9$  find numerically

$$\Delta_\phi = 0.456264, \quad \Delta_\chi = 1.53121$$

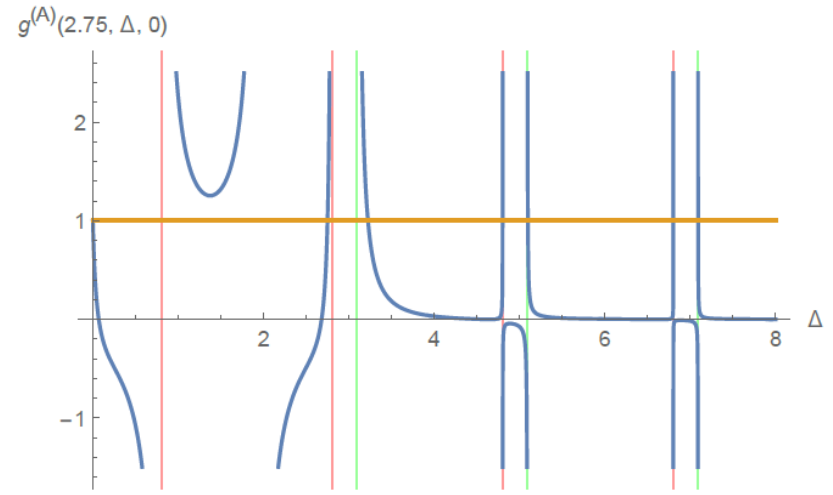
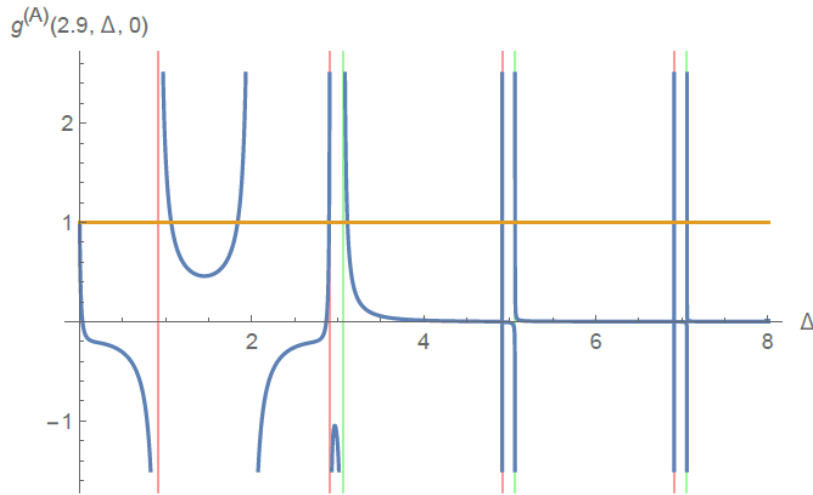
# Finite N

- The  $3\text{-}\varepsilon$  expansion at finite  $N$  may be generated using standard perturbation theory.
- Need to include 7 more  $O(N)^3$  invariant operators.



- The 8 beta functions have a “prismatic” fixed point” for  $N > 53$ . At large  $N$  the scaling dimensions there agree with the Schwinger-Dyson results.

- Dimensions of bilinear operators in  $d=2.9$  and  $2.75$



- The first root has expansion

$$\Delta_{\phi^2} = 1 - \epsilon + 32\epsilon^2 - \frac{976\epsilon^3}{3} + \left( \frac{30320}{9} + \frac{32\pi^2}{3} \right) \epsilon^4 + O(\epsilon^5)$$

- For  $1.6799 < d < 2.8056$   $\Delta_{\phi^2}$  becomes complex

$$\frac{d}{2} + i\alpha(d)$$



# Complex CFTs

- May appear after two real fixed points have merged. Dymarsky, IK, Roiban; Rastelli, Pomoni; Kaplan, Lee, Son, Stephanov; Gorbenko, Rychkov, Zan; Grabner, Gromov, Kazakov, Korchemsky; ...
- Correspond to (weakly) first-order transitions.
- Imaginary parts of scaling dimensions may be as small as

$$e^{-Nf(d)}$$

- Appear in the  $O(N)$  model in  $4 < d < 6$  due to the instanton effects McKane, Wallace, de Alcantara Bonfim (1984); Giombi, Huang, Klebanov, Pufu Tarnopolsky (2019)
- For large  $N$  an operator of dimension  $\frac{d}{2} + i\alpha(d)$  corresponds in dual AdS to a scalar violating the Breitenlohner-Freedman stability bound:

$$m^2 < -d^2/4$$

# Two-Flavor $O(N)^3$ Model

- Interaction of **two** rank-3 Majorana tensors with  $O(N)^3$  symmetry, with a parameter  $\alpha$

Kim, IK, Tarnopolsky, Zhao

$$H = \frac{g}{4} \left( \psi_1^{a_1 b_1 c_1} \psi_1^{a_1 b_2 c_2} \psi_1^{a_2 b_1 c_2} \psi_1^{a_2 b_2 c_1} + \psi_2^{a_1 b_1 c_1} \psi_2^{a_1 b_2 c_2} \psi_2^{a_2 b_1 c_2} \psi_2^{a_2 b_2 c_1} \right)$$

$$+ \frac{g\alpha}{2} \left( \psi_1^{a_1 b_1 c_1} \psi_1^{a_1 b_2 c_2} \psi_2^{a_2 b_1 c_2} \psi_2^{a_2 b_2 c_1} + \psi_1^{a_1 b_1 c_1} \psi_2^{a_1 b_2 c_2} \psi_1^{a_2 b_1 c_2} \psi_2^{a_2 b_2 c_1} + \psi_1^{a_1 b_1 c_1} \psi_2^{a_1 b_2 c_2} \psi_2^{a_2 b_1 c_2} \psi_1^{a_2 b_2 c_1} \right)$$

- Reduces to the bipartite model for  $\alpha = -1$
- Melonic S-D equations give 2-pt function

$$G(t_2 - t_1) = - \left( \frac{1}{4\pi(3\alpha^2 + 1)g^2 N^3} \right)^{\frac{1}{4}} \frac{\text{sgn}(t_2 - t_1)}{|t_2 - t_1|^{1/2}}$$

# Discrete Symmetries

- Particle-hole symmetry  $\mathcal{P} = K \prod_I (\psi^I + \bar{\psi}^I)$

$$\psi^I = \frac{1}{\sqrt{2}}(\psi_1^I + i\psi_2^I), \quad \bar{\psi}^I = \frac{1}{\sqrt{2}}(\psi_1^I - i\psi_2^I)$$

$$KiK = -i, \quad K\psi^I K = \psi^I, \quad K\bar{\psi}^I K = \bar{\psi}^I$$

- The fermion number  $Q$  is conserved mod 4

$$Q = i\psi_1^I \psi_2^I = \frac{1}{2}[\bar{\psi}^I, \psi^I]$$

$$H = \frac{1}{4!} J_{IJKL} \left( \frac{1-3\alpha}{2} (\psi^I \psi^J \psi^K \psi^L + \bar{\psi}^I \bar{\psi}^J \bar{\psi}^K \bar{\psi}^L) + 3(1+\alpha) \bar{\psi}^I \bar{\psi}^J \psi^K \psi^L \right)$$

# Bilinear Operators

- The scaling dimensions of

$$\begin{aligned} O_1^{2n+1} &= \psi_1 \partial_\tau^{2n+1} \psi_1 + \psi_2 \partial_\tau^{2n+1} \psi_2 , & O_2^{2n+1} &= \psi_1 \partial_\tau^{2n+1} \psi_1 - \psi_2 \partial_\tau^{2n+1} \psi_2 , \\ O_3^{2n+1} &= \psi_1 \partial_\tau^{2n+1} \psi_2 + \psi_2 \partial_\tau^{2n+1} \psi_1 , & O_4^{2n} &= \psi_1 \partial_\tau^{2n} \psi_2 - \psi_2 \partial_\tau^{2n} \psi_1 , \end{aligned}$$

are determined from

$$(g_1(h), g_2(h), g_3(h), g_4(h)) = \left( g_A(h), \frac{1 - \alpha^2}{1 + 3\alpha^2} g_A(h), \frac{2\alpha(1 + \alpha)}{1 + 3\alpha^2} g_A(h), \frac{6\alpha(1 - \alpha)}{1 + 3\alpha^2} g_S(h) \right)$$

$$g_A(h) = -\frac{3 \tan(\frac{\pi}{2}(h - \frac{1}{2}))}{2(h - 1/2)}$$

$$g_S(h) = -\frac{1 \tan(\frac{\pi}{2}(h + \frac{1}{2}))}{2(h - 1/2)}$$

# Duality

- Use transformation  $\psi_1 = \frac{1}{\sqrt{2}}(\tilde{\psi}_1 + \tilde{\psi}_2)$ ,  $\psi_2 = \frac{1}{\sqrt{2}}(\tilde{\psi}_1 - \tilde{\psi}_2)$
- Find equivalence  $(g, \alpha) \sim (g', \alpha')$

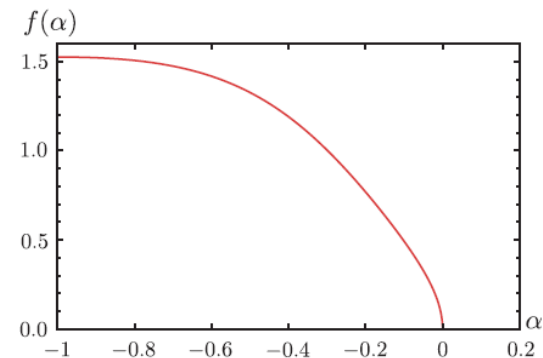
$$g' = \frac{(3\alpha + 1)g}{2} \quad \alpha' = \frac{-\alpha + 1}{3\alpha + 1}$$

- Apart from overall scaling of energies, can restrict to  $-1 \leq \alpha \leq \frac{1}{3}$

- For  $\alpha < 0$  operator Q has complex dimension  $\frac{1}{2} \pm i f(\alpha)$  where  $f \tanh(\pi f/2) = \frac{3\alpha^2 - 3\alpha}{3\alpha^2 + 1}$

- For small  $\alpha$

$$f(\alpha) = \sqrt{\frac{-6\alpha}{\pi}} (1 + O(\alpha))$$



# Coupled SYK Models

- To study low-energy spectrum numerically, replace the two-flavor tensor model by its SYK counterpart.
- Double SYK model with a quartic coupling

$$H = \frac{1}{4!} J_{ijkl} (\chi_1^i \chi_1^j \chi_1^k \chi_1^l + \chi_2^i \chi_2^j \chi_2^k \chi_2^l + 6\alpha \chi_1^i \chi_1^j \chi_2^k \chi_2^l)$$

- A generalization of the Gross-Rosenhaus two-flavor model.
- Gives the same large N S-D equations and scaling dimensions as the tensor model.

# Green's Functions

- Allow for both diagonal and off diagonal:

$$G_{ab}(\tau, \tau') = \frac{1}{N_{\text{SYK}}} \langle T \chi_a^i(\tau) \chi_b^i(\tau') \rangle$$

- General properties

$$G_{11}(\tau) = -G_{11}(-\tau) , \quad G_{22}(\tau) = -G_{22}(-\tau) , \quad G_{12}(\tau) = -G_{21}(-\tau)$$

- Additional discrete symmetry implies

$$G_{12}(-\tau) = -G_{21}(\tau) = G_{12}(\tau) , \quad G_{22}(\tau) = G_{11}(\tau)$$

$$\begin{aligned} -\frac{\beta S_{\text{eff}}}{N_{\text{SYK}}} = & \log \text{Pf}(\delta_{ab} \partial_\tau - \Sigma_{ab}) - \beta \int_0^\beta d\tau \left( \Sigma_{11} G_{11} + \Sigma_{12} G_{12} \right. \\ & \left. - \frac{J^2}{4} \left( (1 + 3\alpha^2)(G_{11}^4 + G_{12}^4) + 12\alpha(1 - \alpha)G_{11}^2 G_{12}^2 \right) \right) \end{aligned}$$

- The Schwinger-Dyson equations become

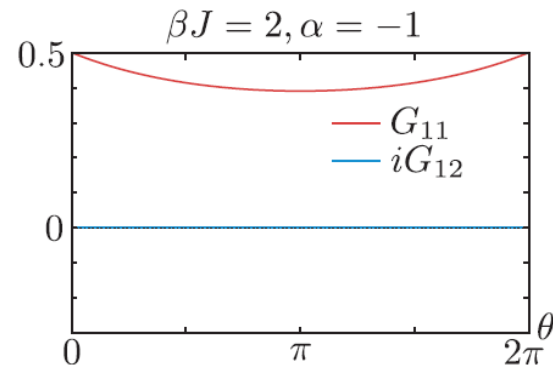
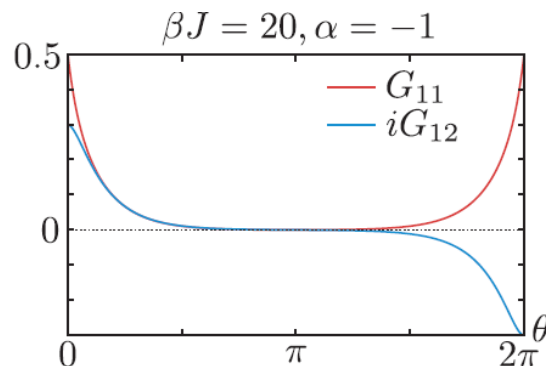
$$\partial_\tau G_{11}(\tau) - \int d\tau' (\Sigma_{11}(\tau - \tau')G_{11}(\tau') - \Sigma_{12}(\tau - \tau')G_{12}(\tau')) = \delta(\tau)$$

$$\partial_\tau G_{12}(\tau) - \int d\tau' (\Sigma_{11}(\tau - \tau')G_{12}(\tau') + \Sigma_{12}(\tau - \tau')G_{11}(\tau')) = 0 ,$$

$$J^{-2}\Sigma_{11}(\tau) = (1 + 3\alpha^2)G_{11}^3(\tau) + 6\alpha(1 - \alpha)G_{11}(\tau)G_{12}^2(\tau)$$

$$J^{-2}\Sigma_{12}(\tau) = (1 + 3\alpha^2)G_{12}^3(\tau) + 6\alpha(1 - \alpha)G_{11}^2(\tau)G_{12}(\tau)$$

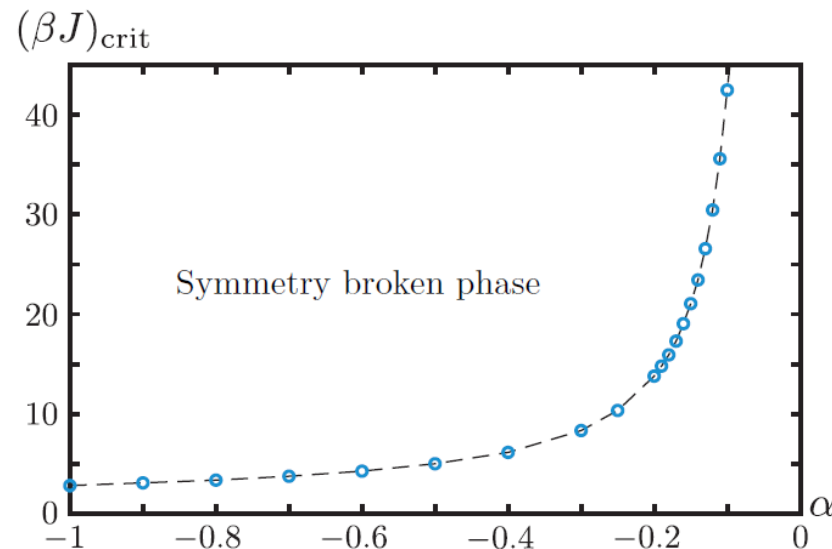
- Solutions exhibit a phase transition





# (Nearly) Conformal Window

- The critical temperature depends on alpha

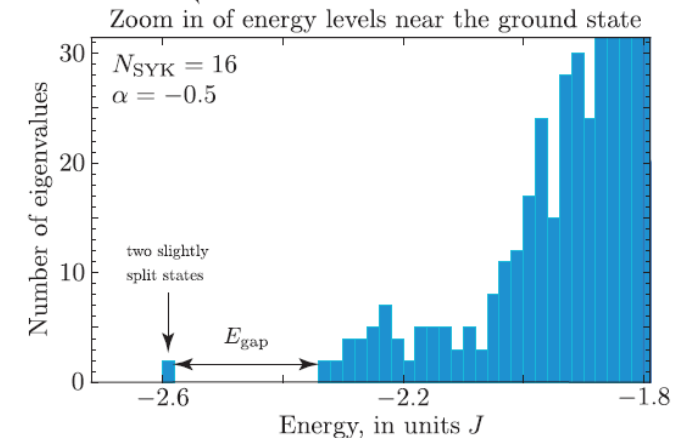
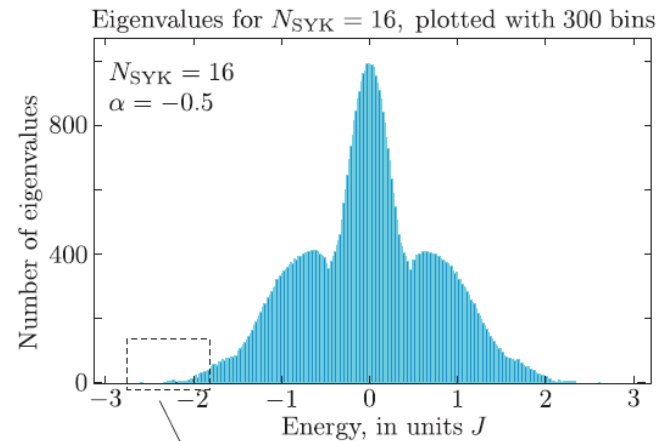
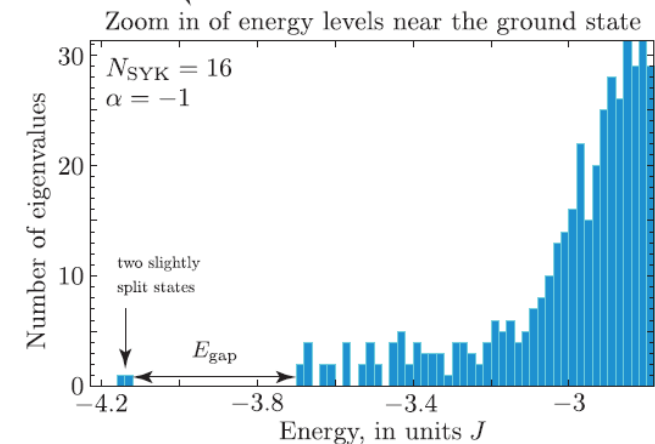
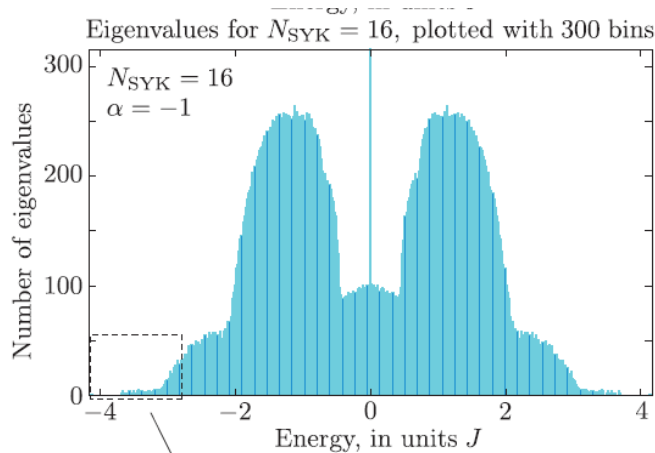


- For  $0 \leq \alpha \leq 1/3$  there is no symmetry breaking.
- The low-T entropy does not vary (g-theorem)

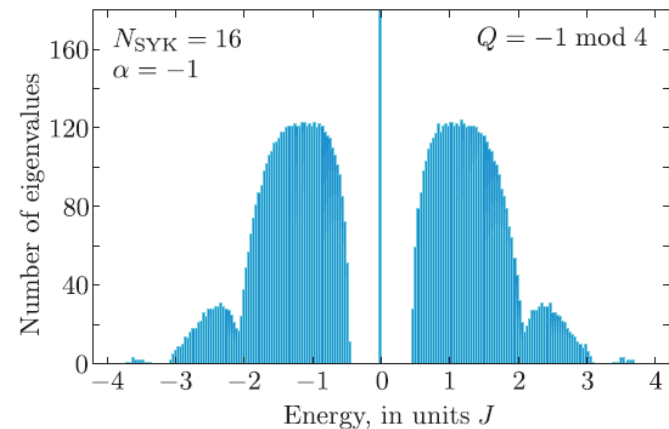
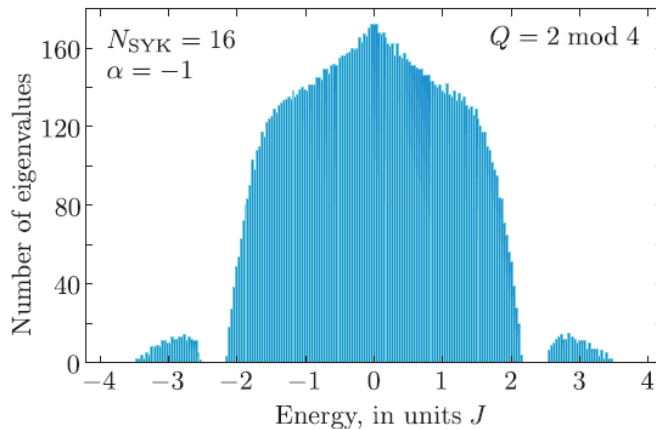
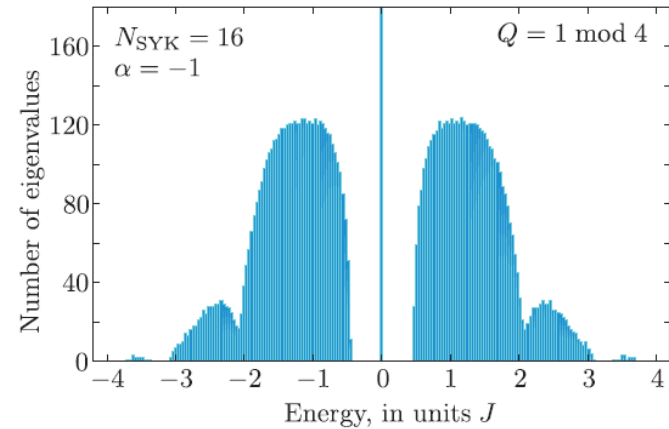
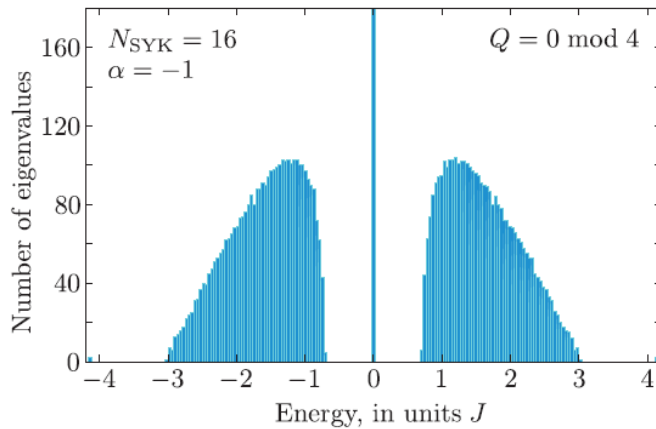
$$S_0 = 2c_0 N, \quad c_0 = \frac{1}{8} \log 2 + \frac{K}{2\pi} \approx 0.2324$$

# Exact Diagonalizations

- When  $-1 \leq \alpha < 0$  there are two nearly degenerate lowest states followed by a gap:

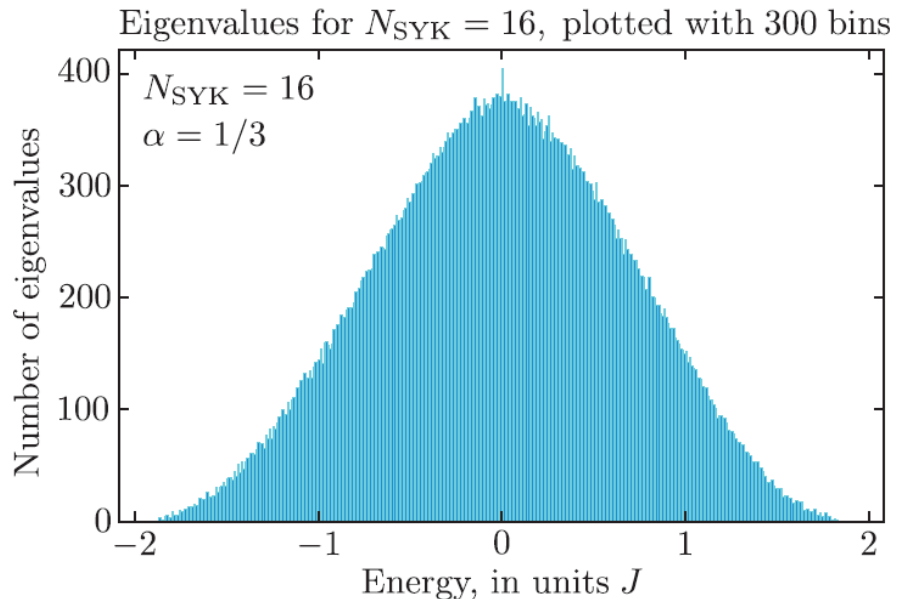


- The spectrum breaks up into 4 sectors due to the conservation of  $Q \bmod 4$ .



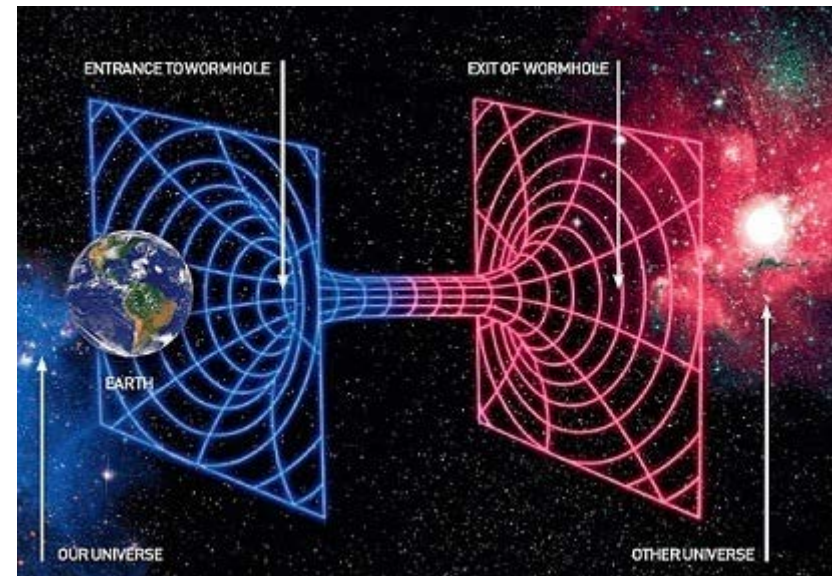
# Symmetry Breaking

- The two lowest states show the breaking of  $Z_2$  symmetry for  $-1 \leq \alpha < 0$
- For  $0 \leq \alpha \leq 1/3$  there is no gap.
- No symmetry breaking:



# Dual of a Wormhole?

- We can interpret the gapped phase with small low-T entropy as the dual of a traversable wormhole geometry. Maldacena, Qi
- It appears only for one sign of the coupling:  
$$\alpha < 0$$
- As in the Gao-Jafferis-Wall model.



# Conclusions

- The  $O(N)^3$  fermionic tensor quantum mechanics seems to be the closest **non-random** counterpart of the basic SYK model for Majorana fermions.
- Solution of S-D equations indicates a (nearly) conformal phase with real scaling dimensions.
- Bosonic or fermionic generalizations can lead to **formally complex scaling dimensions** with real part  $d/2$ , indicating an **instability** of the conformal phase.

- Studied quantum mechanics of **two** rank-3 Majorana tensors with  $O(N)^3$  symmetry and quartic terms coupling the two, and its SYK counterpart.
- Find a “(nearly) conformal window” for positive coupling.
- A complex scaling dimension appears only for negative coupling, where the true low T phase exhibits the symmetry breaking. Numerical calculations also indicate a **gapped spectrum**.
- Relation to the Gao-Jafferis-Wall wormhole construction?
- Physical applications?