Binary black holes: formation and observations



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Plan

- Binary black holes from current GW observations – confirmed expectations anf unexpected features
- Astrophysical binary BHs
- EM from binary BH+NS
- Primordial binary BHs

GW from coalescing compact binaries

 Waveform from two coalescing point-like masses is determined by a combination of component masses (the chirp mass)

$$M_{ch} = (\mu^3 M^2)^{1/5}$$
$$h \sim M_{ch}^{5/3} f^{2/3} / r$$

$$\mathcal{M} = \frac{(m_1 m_2)^{3/5}}{(m_1 + m_2)^{1/5}} = \frac{c^3}{G} \left(\frac{5}{96} \pi^{-8/3} f^{-11/3} \dot{f}\right)^{3/5}$$

LIGO Hanford USA



KAGRA Kamioka Japan

> LIGO Livingston USA

Virgo Pisa Italy

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Credit: LVC collab., Univ. of Tokyo

Current status of GW interferometers

- O3 LIGO/Virgo/GEO-600: April 1-October 3 2019
- Commissioning break since October 3 (~month)
- KAGRA underground GW interferometer started commissioning run on October 4, 2019

Current Detection horizon





https://www.gw-openscience.org/

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LVC O3 detections

 33 triggers, 21 BH+BH, 3 NS+NS, 4 NS+BH candidates https://gracedb.ligo.org

• No electromagnetic counterparts so far

7

GW 150914 template vs observations: 94(+2/-3)%





LIGO BHs

GWTC-1 Catalog arXiv:1811.12907

Parameters from GW observations



Event	m_1/M_{\odot}	m_2/M_{\odot}	\mathcal{M}/M_{\odot}	$\chi_{ m eff}$	$M_{\rm f}/{ m M}_{\odot}$	a_{f}	$E_{\rm rad}/({\rm M}_{\odot}c^2)$	$\ell_{\text{peak}}/(\text{erg s}^{-1})$	d_L/Mpc	z	$\Delta\Omega/deg^2$
GW150914	$35.6^{+4.8}_{-3.0}$	$30.6^{+3.0}_{-4.4}$	$28.6^{+1.6}_{-1.5}$	$-0.01\substack{+0.12\\-0.13}$	$63.1^{+3.3}_{-3.0}$	$0.69^{+0.05}_{-0.04}$	$3.1^{+0.4}_{-0.4}$	$3.6^{+0.4}_{-0.4} \times 10^{56}$	430^{+150}_{-170}	$0.09\substack{+0.03\\-0.03}$	180
GW151012	$23.3^{+14.0}_{-5.5}$	$13.6^{+4.1}_{-4.8}$	$15.2^{+2.0}_{-1.1}$	$0.04^{+0.28}_{-0.19}$	$35.7^{+9.9}_{-3.8}$	$0.67\substack{+0.13 \\ -0.11}$	$1.5^{+0.5}_{-0.5}$	$3.2^{+0.8}_{-1.7} imes 10^{56}$	1060^{+540}_{-480}	$0.21\substack{+0.09\\-0.09}$	1555
GW151226	$13.7^{+8.8}_{-3.2}$	$7.7^{+2.2}_{-2.6}$	$8.9^{+0.3}_{-0.3}$	$0.18^{+0.20}_{-0.12}$	$20.5^{+6.4}_{-1.5}$	$0.74\substack{+0.07\\-0.05}$	$1.0^{+0.1}_{-0.2}$	$3.4^{+0.7}_{-1.7} imes 10^{56}$	440^{+180}_{-190}	$0.09\substack{+0.04\\-0.04}$	1033
GW170104	$31.0^{+7.2}_{-5.6}$	$20.1^{+4.9}_{-4.5}$	$21.5^{+2.1}_{-1.7}$	$-0.04\substack{+0.17\\-0.20}$	$49.1^{+5.2}_{-3.9}$	$0.66\substack{+0.08\\-0.10}$	$2.2^{+0.5}_{-0.5}$	$3.3^{+0.6}_{-0.9}\times10^{56}$	960^{+430}_{-410}	$0.19\substack{+0.07 \\ -0.08}$	924
GW170608	$10.9^{+5.3}_{-1.7}$	$7.6^{+1.3}_{-2.1}$	$7.9^{+0.2}_{-0.2}$	$0.03^{+0.19}_{-0.07}$	$17.8^{+3.2}_{-0.7}$	$0.69^{+0.04}_{-0.04}$	$0.9^{+0.05}_{-0.1}$	$3.5^{+0.4}_{-1.3} \times 10^{56}$	320^{+120}_{-110}	$0.07\substack{+0.02\\-0.02}$	396
GW170729	$50.6^{+16.6}_{-10.2}$	$34.3^{+9.1}_{-10.1}$	$35.7^{+6.5}_{-4.7}$	$0.36^{+0.21}_{-0.25}$	$80.3^{+14.6}_{-10.2}$	$0.81\substack{+0.07 \\ -0.13}$	$4.8^{+1.7}_{-1.7}$	$4.2^{+0.9}_{-1.5}\times10^{56}$	2750^{+1350}_{-1320}	$0.48\substack{+0.19\\-0.20}$	1033
GW170809	$35.2^{+8.3}_{-6.0}$	$23.8^{+5.2}_{-5.1}$	$25.0^{+2.1}_{-1.6}$	$0.07^{+0.16}_{-0.16}$	$56.4^{+5.2}_{-3.7}$	$0.70\substack{+0.08\\-0.09}$	$2.7^{+0.6}_{-0.6}$	$3.5^{+0.6}_{-0.9}\times10^{56}$	990^{+320}_{-380}	$0.20\substack{+0.05\\-0.07}$	340
GW170814	$30.7^{+5.7}_{-3.0}$	$25.3^{+2.9}_{-4.1}$	$24.2^{+1.4}_{-1.1}$	$0.07^{+0.12}_{-0.11}$	$53.4^{+3.2}_{-2.4}$	$0.72^{+0.07}_{-0.05}$	$2.7^{+0.4}_{-0.3}$	$3.7^{+0.4}_{-0.5} \times 10^{56}$	580^{+160}_{-210}	$0.12\substack{+0.03 \\ -0.04}$	87
GW170817	$1.46\substack{+0.12\\-0.10}$	$1.27\substack{+0.09\\-0.09}$	$1.186^{+0.001}_{-0.001}$	$0.00^{+0.02}_{-0.01}$	≤ 2.8	≤ 0.89	≥ 0.04	$\geq 0.1\times 10^{56}$	40^{+10}_{-10}	$0.01\substack{+0.00\\-0.00}$	16
GW170818	$35.5^{+7.5}_{-4.7}$	$26.8^{+4.3}_{-5.2}$	$26.7^{+2.1}_{-1.7}$	$-0.09^{+0.18}_{-0.21}$	$59.8_{-3.8}^{+4.8}$	$0.67\substack{+0.07\\-0.08}$	$2.7^{+0.5}_{-0.5}$	$3.4^{+0.5}_{-0.7} imes 10^{56}$	1020^{+430}_{-360}	$0.20\substack{+0.07\\-0.07}$	39
GW170823	$39.6^{+10.0}_{-6.6}$	$29.4_{-7.1}^{+6.3}$	$29.3^{+4.2}_{-3.2}$	$0.08^{+0.20}_{-0.22}$	$65.6^{+9.4}_{-6.6}$	$0.71^{+0.08}_{-0.10}$	$3.3^{+0.9}_{-0.8}$	$3.6^{+0.6}_{-0.9} \times 10^{56}$	1850^{+840}_{-840}	$0.34\substack{+0.13 \\ -0.14}$	1651

arXiv:1811.12907

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LIGO BH: Masses



LIGO BH: effective spins



Corollary:

- Larger masses (compared to BHs in XRBs)
- Low effective spins (but GW170729 and possibly GW151226)

1. Astrophysical binary BHs

- BH formation
 - Initial ZAMS mass
 - Initial rotation
 - Possible kick
- BH in binaries
 - From massive binary systems
 - In dense stellar clusters (dynamical)
 - Primordial BHs

BH formation from stars (solar metallicity)



Dependence on the metallicity and stellar wind mass loss



Fryer et al 2012, Giacobbo et al. 2018

PPISN prohibits formation of BH more massive than ~60 $\rm M_{\odot}$

Binary BH formation



Additional effects in binaries:

- Initial spin misalignment
- Tidal synchronization of the envelope
- Common envelope phase
- Star formation and metallicity history in galaxies

$$\Psi\left(z,\frac{Z}{Z_{\odot}}\right) = \psi(z)\Phi(Z/Z_{\odot}).$$

$$\Phi(Z/Z_{\odot}) = \frac{\hat{\Gamma}[0.84, (Z/Z_{\odot})^2 \ 10^{0.3z}]}{\Gamma(0.84)}$$

Predictions and surprises

- Binary BH+BH coalescences must be much more numerous and should be detected first (Tutukov, Yungelson 1993, Lipunov, Postnov, Prokhorov 1997,....Confirmed!)
- Mass of BH in LIGO binaries is up to ~50 M⊙ (surprise, but can be reconciled with stellar evolution), rumors that a 100 M⊙ was found in O3 (tbc) (a puzzle for stellar evolution – zerometallicity PopIII stars?)
- Low effective spins (surprise, but can be reconciled with binary evolution) (PK+'19, Fuller+19)

BH+BH mass and spin distributions before coalescence



Without fallback

+ fallback from envelope

PK+ 2019, Physics-Uspekhi (2019, No 11, in press) MNRAS 483, 3288-3306 (2019)

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5x10⁻⁴

Table 2. Candidate events in the full search of O1 and O2 data. Candidates are sorted by FAR evaluated for the entire b of templates. Note that ranking statistic and false alarm rate may not have a strictly monotonic relationship due to var data quality between sub-analyses. The mass and spin parameters listed are associated with the template waveform yield the highest ranked multi-detector event for each candidate, and may differ significantly from full Bayesian parameter estima. Masses are quoted in detector frame, and are thus larger than source frame masses by a factor (1 + z), where z is the so redshift.

Date designation	GPS time	$FAR^{-1}(y)$	Detectors	Ã	$ ho_H$	$ ho_L$	$ ho_V$	m_1	m_2	$\chi_{ m eff}$
170817 + 12:41:04 UTC	1187008882.45	> 10000	HL	180.46	18.6	24.3	-	1.5	1.3	-0.00
$150914 + 09:50:45 \mathrm{UTC}$	1126259462.43	> 10000	HL	93.82	19.7	13.4	-	44.2	32.2	0.09
170104 + 10:11:58 UTC	1167559936.60	> 10000	HL	35.54	9.0	9.6	-	47.9	16.0	0.03
170823 + 13:13:58 UTC	1187529256.52	> 10000	HL	55.04	6.3	9.2	-	68.9	47.2	0.23
$170814 + 10:30:43 \mathrm{UTC}$	1186741861.54	> 10000	HL	52.85	9.0	13.0	-	58.7	23.3	0.53
$151226 + 03:38:53 \mathrm{UTC}$	1135136350.65	> 10000	HL	42.90	10.7	7.4	-	14.8	8.5	0.24
$170809 {+} 08{:}28{:}21 \mathrm{UTC}$	1186302519.76	9400	HL	40.59	6.6	10.7	-	36.0	33.7	0.07
$170608 + 02:01:16 \mathrm{UTC}$	1180922494.49	$> 910^{a}$	HL	51.01	12.5	8.7	-	16.8	6.1	0.31
$151012 + 09:54:43 \mathrm{UTC}$	1128678900.45	220	HL	20.18	7.0	6.7	-	30.8	12.9	-0.05
170729 + 18:56:29 UTC	1185389807.33	6.4	HL	15.33	7.4	6.7	-	106.5	49.7	0.59
170121 + 21:25:36 UTC	1169069154.58	1.3	HL	15.76	5.1	8.7	-	40.4	13.6	-0.98
$170727 + 01:04:30 \mathrm{UTC}$	1185152688.03	.53	HL	13.75	4.5	6.9	-	65.2	26.5	-0.35
$170818 + 02:25:09 \mathrm{UTC}$	1187058327.09	.22	HL	13.29	4.4	9.4	-	53.7	27.4	0.07
$170722 + 08:45:14 \mathrm{UTC}$	1184748332.91	.11	HL	12.19	5.0	6.4	-	248.1	7.1	0.99
170321 + 03:13:21 UTC	1174101219.23	.1	HL	12.22	6.5	6.4	-	11.0	1.3	-0.89
$170310 + 09:30:52 \mathrm{UTC}$	1173173470.77	.07	HL	12.15	6.1	6.2	-	2.1	1.1	-0.20
$170809 + 03:55:52 \mathrm{UTC}$	1186286170.08	.07	LV	7.34	-	7.0	5.1	6.2	1.2	0.60
$170819 \pm 07:30:53 \mathrm{UTC}$	1187163071.23	.05	HV	11.35	6.3	-	6.7	135.2	2.5	0.85
$170618 + 20:00:39 \mathrm{UTC}$	1181851257.72	.05	HL	11.49	5.2	6.7	-	2.9	2.1	0.30
$170416 + 18:38:48 \mathrm{UTC}$	1176403146.15	.04	HL	11.21	5.1	6.9	-	7.8	1.1	-0.47
$170331 + 07:08:18 \mathrm{UTC}$	1174979316.31	.04	HL	11.03	5.2	7.0	-	3.9	1.1	-0.34
$151216 + 18:49:30 \mathrm{UTC}$	1134326987.60	.04	HL	11.54	6.1	6.0	-	13.9	5.0	-0.41
$170306 + 04:45:50 \mathrm{UTC}$	1172810768.08	.04	HL	11.47	4.8	7.3	-	26.4	1.8	0.23
151227 + 16:52:22 UTC	1135270359.27	.04	HL	11.75	7.3	4.6	-	154.5	4.9	1.00
170126 + 23:56:22 UTC	1169510200.17	.04	HL	11.61	6.4	5.7	-	4.9	1.3	0.79
$151202 + 01:18:13 \mathrm{UTC}$	1133054310.55	.03	HL	11.48	6.5	5.7	-	40.4	1.8	-0.26
170208 + 20:23:00 UTC	1170620598.15	.03	HL	11.12	6.8	5.4	-	6.9	1.0	0.09
170327 + 17:07:35 UTC	1174669673.72	.03	HL	10.65	6.0	6.2	-	40.1	1.0	0.97
170823 + 13:40:55 UTC	1187530873.86	.03	LV	9.30	-	8.0	5.8	117.9	1.3	0.98
$150928 + 10.49:00 \mathrm{UTC}$	1127472557.93	.03	HL	11.28	6.0	6.3	-	2.5	1.0	-0.70

New candidates from O1 and O2

1910.05331

Independent detections: a new trend?



FIG. 7: Binary black holes events reported from O1 and O2, in the plane of source-frame total mass vs. effective spin. In blue are shown the 10 BBH events reported in GWTC-1 [1], all of them are certainly astrophysical in origin ($p_{astro} = 1$). Color coded by p_{astro} are shown 7 additional events with $p_{astro} > 0.5$ that our previous searches found [2, 4]. In black we show GW170817A. Displayed are 1σ probability contours, i.e. enclosing $1 - e^{-1/2} \approx 0.39$ of the probability distribution.

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1910.09528

2. BH+NS systems



Detection rate BH+BH, BH+NS



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1907.04218

EM emission from NS+BH





R_{tid}>R_{ISCO}

R_{tid}<R_{ISCO}

 $R_{tid} \sim R_{ISCO}$

Kyotoku+'11

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Mass shedding and tidal disruption

$$r_{\rm ISCO}/M = 3 + Z_2 \mp \sqrt{(3 - Z_1)(3 + Z_1 + 2Z_2)}$$
$$Z_1 \equiv 1 + (1 - \chi_1^2)^{1/3} \times \left[(1 + \chi_1)^{1/3} + (1 - \chi_1)^{1/3} \right]$$
$$Z_2 \equiv \sqrt{3\chi_1^2 + Z_1^2}$$



R_{tid}~R_{ns}(M_{bh}/M_{ns})^{1/3}
 Mass shedding if
 R_{tid}>R_{ISCO}

- Depends on NS compactness C=M_{ns}/R_{ns} (EOS)
- Tidal parameter $\Lambda = 2k_2/(3C^5)$
- Depends on the BH spin



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ightarrow

Mass ejection 'Dynamical' (merger) + 'viscous' (disc)

$$Y_e = \frac{n_e}{n_p + n_n} = \frac{n_p}{n_p + n_n}$$

Type of binary	$\operatorname{Remnant}$	$M_{ m ej,dyn}$	$M_{\rm ej,vis}$	$Y_{e,\mathrm{dyn}}$	$Y_{e,vis}$	$\langle v_{\rm ej} \rangle$
Low- m BNS	SMNS	$O(10^{-3})$	$O(10^{-2})$	0.05 - 0.5	0.3 - 0.5	0.15
Mid- m BNS (stiff EOS)	HMNS	$O(10^{-3})$	$O(10^{-2})$	0.05 - 0.5	0.2 - 0.5	0.15
Mid- m BNS (soft EOS)	HMNS	$\sim 10^{-2}$	$O(10^{-2})$	0.05 - 0.5	0.2 - 0.5	0.20
High-m BNS $(q \sim 1)$	BH	$< 10^{-3}$	$< 10^{-3}$			
High- <i>m</i> BNS $(q \ll 1)$	BH	$O(10^{-3})$	$\lesssim 10^{-2}$	0.05 - 0.1	0.05 - 0.3	0.30
BH-NS	BH	0-0.1	0-0.1	0.05 - 0.1	0.05 - 0.3	0.30

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1908.02350

- Mass ejection depends on the total mass M before the coalescence, binary mass ratio, component spins and tidal deformation (EOS)
- Final BH mass and spin, emitted GW energy
 → from numerical relativity simulations (Jimenez-Forteza+'18)
- Account for NS EOS → from NR simulations of BH+NS mergings (Zappa+'19)

Final spin of BH

0.09 $\Lambda = 100$ 0.08 $\Lambda = 300$ 0.07 -Λ = 1000 $\Lambda = 2000$ 0.06 a_{BH0} PDF 0.05 0.04 0.03 0.02 0.01 0.00 0.0 0.2 0.4 0.6 0.8 1.0 a_{BH}

Initial BH spin from population synthesis (PK+'19)

Final BH spin is almost insensitive to uncertain NS EOS!

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Residual disk mass



PK+'19, SvAL in press

- M_{disk} is determined by the mass ratio
- Strongly depends on NS EOS!
- Only large deformations (hard EOS) with A>1000 can give rise to interesting disk masses

3000 enomPNR henomDNRT SEOBNRT 2500TaylorF2 2000 MSIB Z 1500 Less compact 1000 More compact 500600 800 1000 1200 1400 1600 200400 Λ_1

Constraints from GW170817: ~200<∧< ~1600

1805.11579

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BZ jet

$$\begin{split} L_{BZ} &\sim B_d^{\ 2} M^2 \Omega_H^2 f(\Omega_H) \\ B^2 &\sim \dot{M}_{accr} / M^2 \\ \dot{M}_{accr} &\sim M_{disk} / t_{accr} \\ E_{BZ} &= \varepsilon M_{disk} \Omega_H^2 f(\Omega_H) \\ \varepsilon &= 0.015 \quad (Barberi + '19) \end{split}$$

BZ jet kinetic energy



NS plunging into BH (q>5)



NS plunging into BH (q>5)

• A rotating BH in a magnetic field can acquire electric charge (Wald 1974)

$$\begin{aligned} Q_{\rm W,max} &\simeq \frac{2G}{c^3} J \times B_{\rm S,NS} = \frac{2G^2}{c^4} a M^2 B_{\rm S,NS} \\ &= 4.4 \times 10^{24} a \left(\frac{M}{10 M_{\odot}}\right)^2 \frac{B_{\rm S,NS}}{10^{12} \rm G} \text{ e.s.u.}, \end{aligned}$$

 There can be EM emission associated with charged BH (Levin+'18, Shu-Quing Zhong+'19...)

NS rotation and magnetic field before the coalescence



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Wald BH charge

 $Q_w = (2G/c^3)JB$ $J = a^*GM_{BH}^2/c$ $Q_{RN} = 2\sqrt{G}M_{BH} \approx 10^{30}(M_{BH}/M_{\odot})$

$$\tilde{q}_W = \frac{a^*b}{\sqrt{4\pi\alpha}} \left(\frac{m_e}{m_{Pl}}\right)^2 \left(\frac{M_{BH}}{m_{Pl}}\right) \approx 10^{-6} a^* b \left(\frac{M_{BH}}{M_{\odot}}\right)$$

Dimensionless Wald charge

$$\mu_{W,max} = \frac{J_{BH}Q_{W,max}}{M_{BH}c} \approx 5 \times 10^{30} a^{*2} b_s \left(\frac{R_{NS}}{10 \text{ km}}\right)^3$$

BGH dipole magnetic moment due to Wald charge before coalescence



Maximum EM luminosity of BH after coalescence

$$L_{W,max} \sim 10^{42} [\text{эрг/c}] a^{*4} b_s^2 a_f^{*4} \frac{(R_{NS}/10 \text{км})^6}{(M_{BH}^f/10 M_{\odot})^4}$$



Takeaway messages:

- NS+BH rate is one order of magnitude smaller than BH+BH rate, ~ a few is expected within LVC O3 detection horizon (as of 19/10/19, 4 candidates out of 33 detections)
- Disk formation form NS tidal disruption mostly depends on (uncertain) NS EOS
- 1-10 % of NS+BH coalescences with tidally disrupted NS can launch relativistic BZ jets and produce short (likely subluminous) GRBs
- More exotic (but less secure) mechanisms of EM radiation from high-mass-ratio NS+BH plunges are not excluded

3. Massive BH+BH: New physics ?

- Stellar-mass primordial black holes:
 - Can be formed in the early Universe in different models (Carr, Hawking'74)
 - Can be in binaries (Nakamura+'97)
 - Can naturally explain low spins of observed BH+BH (Bird+'16, Blinnikov+'16,...)
 - Can substantially contribute to dark matter
 - Can be seeds for growth of SMBH in galactic centers

Particular model: primordial BH in the modified supersymmetric baryogenesis scenario (Affleck-Dine mechanism)

 Dolgov + (1993, 2009): inflation field coupled with renormalizable scalar baryon field

$$U(\chi, \Phi) = U_{\Phi}(\Phi) + U_{\chi}(\chi) + \lambda_1 (\Phi - \Phi_1)^2 |\chi|^2,$$

 High-B bubbles with almost modelindependent mass distribution

$$\frac{dn}{dM} = C \exp\left[-\gamma \ln^2(M/M_{\rm max})\right]$$

 Small-scale B –number fluctuations originally are isocurvature perturbations, but after QCD phase transition @ 100-200 MeV are transformed into large density perturbations at astrophysically large but cosmologically small scales (Dolgov, Silk, PRD47 (1993) 4244)

 High-B bubbles could form primordial BHs, compact stellar-mass objects or dense primordial gas clouds. Primordial BHs can be seeds for early galaxy formation (Dolgov+ Nucl.Phys. B807 (2009) 229, Dolgov, Blinnikov PRD89 (2014) 021301,Carr,Silk 2019...)

Estimate of mass distribution

 $\varrho_c = 1.4 \times 10^{11} M_\odot \mathrm{Mpc}^{-3}$

$$\varrho_m = 4 \times 10^{10} M_{\odot} \mathrm{Mpc}^{-3}$$

 $\frac{dn}{dM} = \mu^2 \exp\left[-\gamma \,\ln^2(M/M_{max})\right]$

$$\mu = \mu_{43} \times 10^{-43} / \text{ Mpc}$$

(in units c=h=1)

- A. Fraction of DM in MACHOs is 0.1 for mass range 0.1-1 Msun
- B. Primordial BH make up to all cosmological DM
- C. Density of primordial BH with M> 10⁴ = density of observed large galaxies



Blinnikov, Dolgov, PK, Porayko 2016 JCAP 11 036

Present constraints (modeldependent)



1-100 M • PBH are still in the open window!

Murgia+'19



1/100-1/1000 for log-normal PBH mass distribution with $M_0^{-5-10} M_{\odot}$

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(PK+ in preparation)

GW road map (official)



Conclusions

- BH+BH coalescences became routine (once per a few days) GW detections
- Masses and spins of (confirmed) BH+BH can be adequately explained by the standard astrophysical formation from massive binary star evolution
- Primordial stellar mass BHs among detected sources are not ruled out. Detection of ~100 M⊙ BH (tbc) would challenge astrophysical explanation and may point to other BH formation channels (PopIII? PBH?)
- First O3 LVC publications by the end of this year stay tuned!