

bla  $E_{10}$ ,  $K(E_{10})$  and the Standard Model

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Based on joint work with (in various combinations):

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по случаю столетнего юбилея

## Questions we would like to answer

- General Relativity (GR) and Quantum Theory?
- Resolution of singularities in GR?
- Structure of space-time: discrete, emergent,...?
- Resolution of singularities in QFT?
- UV completion of Standard Model (SM)?
- Why  $SU(3)_c \times SU(2)_w \times U(1)_Y$ ?
- Why three generations of spin- $\frac{1}{2}$  fermions?
- Dark Matter and Dark Energy?

... and most important: is there any way to validate/falsify any of the existing proposals?

## This Talk

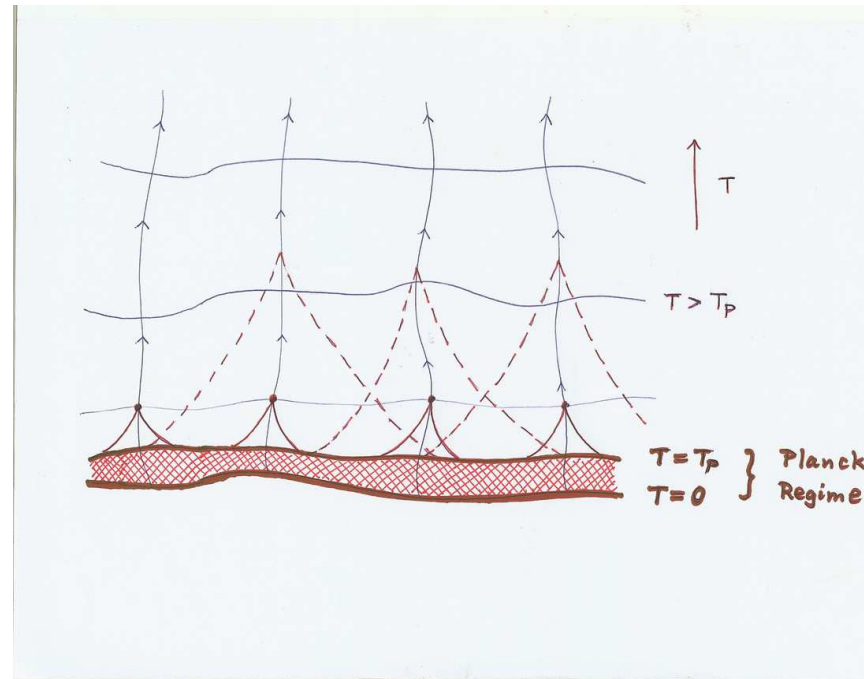
Far from a finalized proposal, but to point out the possibility that the way the SM gets linked to a Planck scale unified theory of quantum gravity may work in ways completely different from currently popular ideas.

Proposal makes use of several ingredients:

- BKL-type analysis of cosmological singularities
- The  $E_{10}/K(E_{10})$   $\sigma$ -model: an attempt to formulate M theory with *emergent* space-time and matter degrees of freedom
- Beyond, but staying close to, maximal ( $N=8$ ) supergravity

Main concern: finding *some* way to link these abstract considerations to ‘real physics’, that is, the SM or a minimal extension thereof, and thereby understand or derive it from a more fundamental theory.

## BKL and Spacelike Singularities



For  $T \rightarrow 0$  spatial points decouple and the system is effectively described by a continuous *superposition of one-dimensional systems*  $\rightarrow$  **effective dimensional reduction to  $D = 1$ !** [Belinski, Khalatnikov, Lifshitz (1972)]

## Habitat of Quantum Gravity

- Cosmological evolution as **one-dimensional motion** in the moduli space of  $d$ -geometries [Wheeler, DeWitt, ...]

$$\mathcal{M} \equiv \mathcal{G}^{(d)} = \frac{\text{Riem}(\Sigma)}{\text{Diff}(\Sigma)} = \frac{\{\text{spatial metrics } \mathbf{g}_{mn}(\mathbf{x})\}}{\{\text{spatial diffeomorphisms}\}}$$

- Formal canonical quantization  $\rightarrow$  **WDW equation**.
- Unification of space-time, matter and gravitation:  $\mathcal{M}$  should incorporate matter degrees of freedom in a natural manner (not simply  $\mathcal{M} = \mathcal{G}^{(3)} \times \mathcal{M}_{matter}$ ).
- Can we understand and ‘simplify’  $\mathcal{M}$  by means of embedding into a group theoretical coset  $G/K(G)$ ?
- Main conjecture:  $G = E_{10}$  and  $K(G) = K(E_{10})$
- Fits with conjectured emergence of  $E_{10}$  in reduction of maximal supergravity to  $D = 1$ . [Julia(1983)]

## Hamiltonian Constraint

Hamiltonian constraint ( $\rightarrow$  WDW operator)

$$\mathcal{H} = \kappa G_{mn pq}(\mathbf{g}) \Pi^{mn} \Pi^{pq} - \frac{1}{2\kappa} \sqrt{\mathbf{g}} R^{(d)}(\mathbf{g}) + \dots$$

with DeWitt metric  $G_{mn pq} = \mathbf{g}^{-1/2}(\mathbf{g}_{mp}\mathbf{g}_{nq} + \mathbf{g}_{mq}\mathbf{g}_{np} - \mathbf{g}_{mn}\mathbf{g}_{pq})$ .

**BKL limit: reduce to one spatial point and diagonal metric degrees of freedom  $\mathbf{g}_{mn}(t) = \delta_{mn} \exp(\beta^m(t))$**

$$\mathcal{H}_{\text{red}} = G_{mn} \pi^m \pi^n + V_{\text{eff}}(\beta)$$

with *Lorentzian* (indefinite) metric  $G_{mn}$  on  $\mathbb{R}^d$

Effective potential  $V_{\text{eff}}$  simplifies in near singularity limit

$$V_{\text{eff}}(\beta) = \sum_A \Theta_{\infty}(w_A(\beta))$$

‘Sharp wall potentials’  $\leftrightarrow$  wall forms  $w_A(\beta) \equiv G_{mn} w_A^m \beta^n$   
constrain motion in DeWitt mini-superspace

## The Group Theory Connection

- Identify space of diagonal degrees of freedom with Cartan subalgebra (CSA) of some Lie algebra.
- DeWitt metric on  $\{\beta^m\} \equiv$  Cartan Killing metric
- Leading wall forms associated with *simple roots* of some indefinite Kac Moody algebra (KMA)

$$A_{ij} = G^{mn}(w_i)_m(w_j)_n \quad (G_{mn} = \text{DeWitt metric!})$$

*e.g.* KMA =  $AE_3$  for Einstein gravity ( $D = 4$ ) and KMA =  $E_{10}$  for maximal supergravity ( $D = 11$ ).

[Damour, Henneaux, PRL86(2001)4749]

- ‘Cosmobilliards’ take place in Weyl chamber of KMA  $\Rightarrow$  chaotic oscillations if KMA is *hyperbolic*.

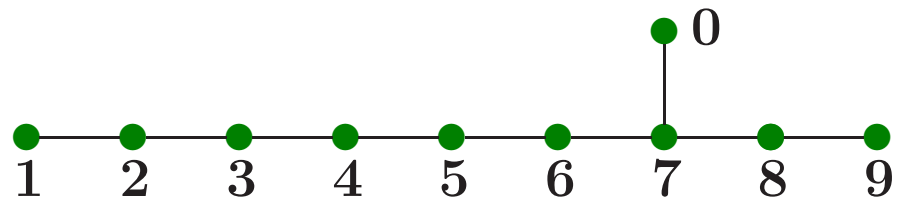
[Damour, Henneaux, Julia, HN:PLB509(2001)323]

- $E_{10}$  is maximally extended hyperbolic KMA: contains *all* simply laced hyperbolic KMAs. [S.Viswanath,0801.2586]



## What is $E_{10}$ ?

$E_{10}$  is the ‘group’ associated with the Kac-Moody Lie algebra  $\mathfrak{g} \equiv \mathfrak{e}_{10}$  defined via the Dynkin diagram [e.g. Kac]

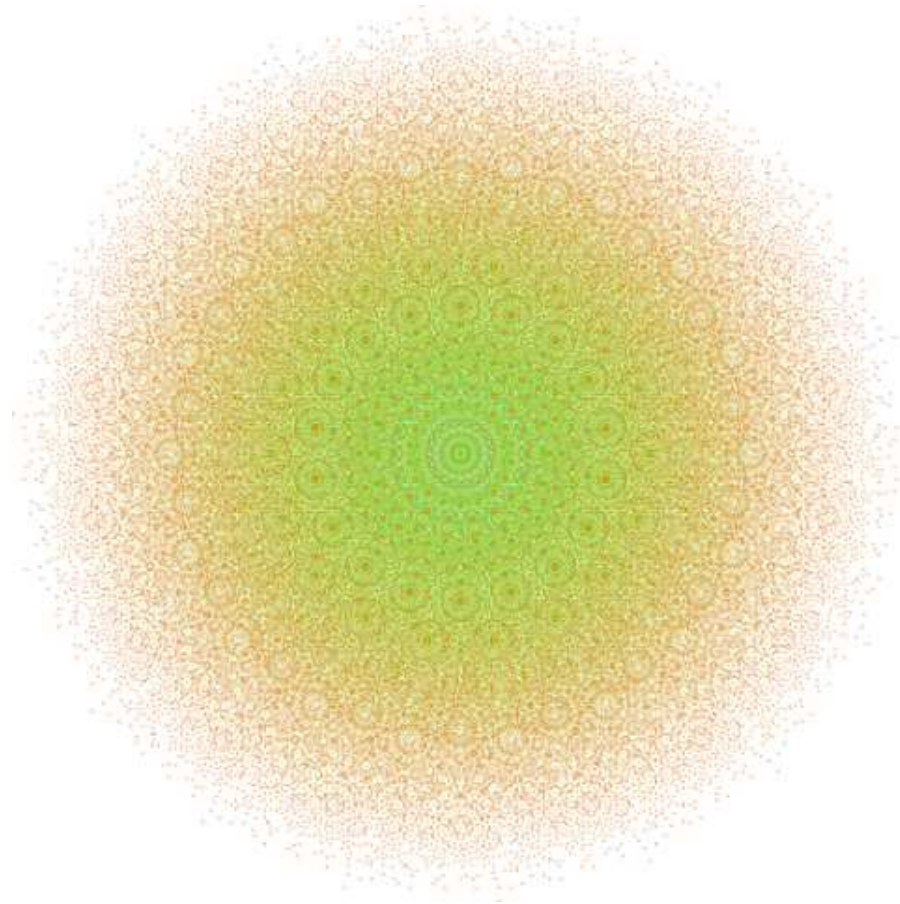


Defined by generators  $\{e_i, f_i, h_i\}$  and relations via Cartan matrix  $A_{ij}$  (‘Chevalley-Serre presentation’)

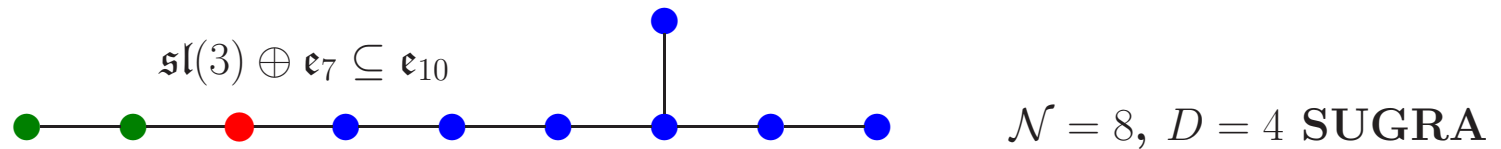
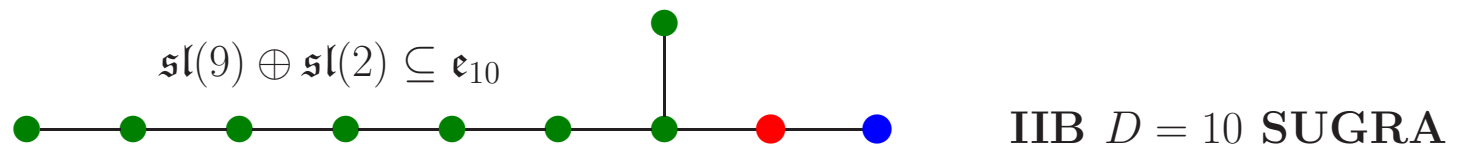
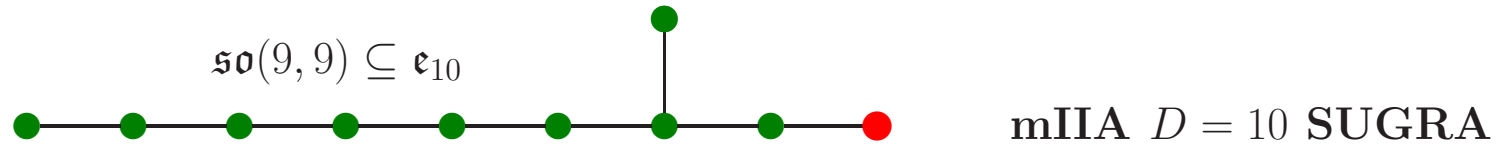
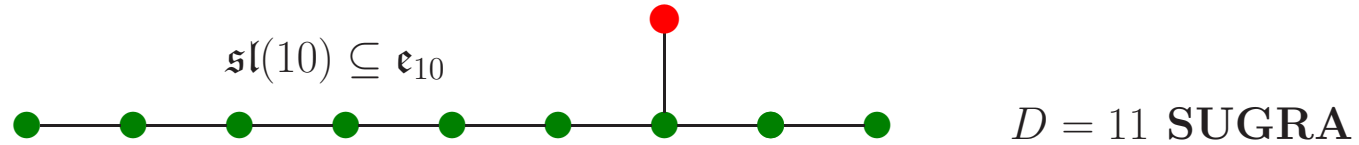
$$\begin{aligned} [h_i, h_j] &= 0, & [e_i, f_j] &= \delta_{ij} h_i, \\ [h_i, e_j] &= A_{ij} e_j, & [h_i, f_j] &= -A_{ij} f_j, \\ (\text{ad } e_i)^{1-A_{ij}} e_j &= 0 & (\text{ad } f_i)^{1-A_{ij}} f_j &= 0. \end{aligned}$$

$\mathfrak{e}_{10}$  is the free Lie algebra generated by  $\{e_i, f_i, h_i\}$  modulo these relations  $\rightarrow$  infinite dimensional as  $A_{ij}$  is *indefinite*  $\rightarrow$  Lie algebra of *exponential growth* !

# A planar slice through the $E_{10}$ root system



## Duality symmetries: all in one?



## Example: $SL(10)$ level decomposition

- Decomposition w.r.t.  $SL(10)$  subgroup in terms of  $SL(10)$  tensors  $\rightarrow$  *level expansion* [Damour, Hennaux, HN(2002)]

$$\alpha = \ell\alpha_0 + \sum_{j=1}^9 m^j \alpha_j \quad \Rightarrow \quad E_{10} = \bigoplus_{\ell \in \mathbb{Z}} E_{10}^{(\ell)}$$

- Up to  $\ell \leq 3$  basic fields of  $D = 11$  SUGRA together with their magnetic duals (spatial components)

$\ell = 0$	$G_{mn}$	Graviton
$\ell = 1$	$A_{mnp}$	3-form
$\ell = 2$	$A_{m_1 \dots m_6}$	dual 6-form
$\ell = 3$	$h_{m_1 \dots m_8 n}$	dual graviton

- Analysis up to level  $\ell \leq 28$  yields 4 400 752 653 representations (Young tableaux) of  $SL(10)$  [Fischbacher, HN:0301017]
- Lie algebra structure (structure constants, etc.) understood only up to  $\ell \leq 4$ . **Also: no matter where you stop it will get even more complicated beyond!**

## Tantalizing Hints, Persistent Questions

- Recover bosonic multiplets and dynamics of maximal supergravities by appropriately ‘slicing’  $E_{10}$ .
- $E_{10}$  ‘knows all’ about supersymmetry  $\rightarrow$  may well *supersede supersymmetry* as a unifying principle!
- Quantum Gravity: old problems in a new guise!

### BUT

- No concrete realization of KMA (after 50 years!)
- Physical significance of higher level representations?
- How is (de-)emergence of space-time realized?
- How is UV completion of SM achieved?

While it may take a long time to resolve these questions there is some progress on another front....

## Fermions and $K(E_{10})$

... probably a key issue for further progress...

Important point: maximally supersymmetric theories *not* based on (hypothetical) superextensions of  $E_n$ :

- There is no proper superextension of  $E_n$  for any  $n$ .
- For  $D \geq 3$  supergravity fermions transform in *maximal compact subgroup*  $K(E_n) \subset E_{n(n)}$ , e.g.

$$K(E_7) \equiv SU(8) \quad \text{fermions} \in \mathbf{8} \text{ and } \mathbf{56}$$

$$K(E_8) \equiv Spin(16)/Z_2 \quad \text{fermions} \in \mathbf{16}_v \text{ and } \mathbf{128}_c$$

- The associated (double-valued) fermion representations are not ‘liftable’ to  $E_n$  representations
- Expect all of this to remain true for  $K(E_{10}) \subset E_{10}$ .

## What is $K(E_{10})$ ?

For  $E_{10}$  the ‘maximal compact’ subalgebra is defined as fixed point algebra of the Chevalley involution

$$\omega(e_j) = -f_j, \quad \omega(f_j) = -e_j, \quad \omega(h_j) = -h_j$$

together with invariance property  $[\omega(x), \omega(y)] = \omega([x, y])$

$$\Rightarrow E_{10} = K(E_{10}) \oplus K(E_{10})^\perp, \quad x = \omega(x) \text{ for } x \in K(E_{10})$$

This definition is analogous to the corresponding one for the finite-dimensional case, e.g.  $x = \omega(x) \in \mathfrak{so}(n) \subset \mathfrak{sl}(n)$  for  $\omega(x) = -x^T$ , with corresponding decomposition  $\mathfrak{sl}(n) = \mathfrak{so}(n) \oplus \mathfrak{so}(n)^\perp$

Consequently,  $K(E_{10})$  is generated by  $x_i := e_i - f_i = \omega(x_i)$  with Berman-Serre relations

$$\begin{aligned} [x_i, x_j] &= 0 && \text{if } i \text{ and } j \text{ are non-adjacent} \\ [x_i, [x_i, x_j]] + x_j &= 0 && \text{if } i \text{ and } j \text{ are adjacent} \end{aligned}$$

**Theorem:** *each set of  $\{x_i\}$  satisfying the above relations provides a realization of  $K(E_{10})$ .* [S.Berman(1989)]

**But:**  $K(E_{10})$  is  $\infty$ -dimensional and a very strange beast!

- $K(E_{10})$  has finite-dimensional (unfaithful) representations
- $\Rightarrow K(E_{10})$  is *not* simple ( $\equiv$  has non-trivial ideals)
- No faithful fermionic (double-valued) representations are known!

**More specifically:** *Rarita-Schwinger* (RS) representation  $\rightarrow$  8 gravitinos and 56 spin- $\frac{1}{2}$  fermions of maximal  $N = 8$  supergravity *at one spatial point* form an unfaithful irreducible spinorial representation of  $K(E_{10})$ .

Complete breaking of  $N = 8$  supersymmetry: absorb eight Goldstinos to get eight massive gravitinos  $\Rightarrow$

*Idem* for 8 *massive* gravitinos and 48 spin- $\frac{1}{2}$  fermions  $\cong 3 \times 16$  quarks and leptons?!?



## $N = 8$ Supergravity: a strange coincidence?

$SO(8) \rightarrow SU(3) \times U(1)$  breaking and ‘family-color locking’

$$\begin{array}{llll}
 (u, c, t)_L : & \mathbf{3}_c \times \bar{\mathbf{3}}_f \rightarrow \mathbf{8} \oplus \mathbf{1}, & +\frac{1}{2} = \frac{2}{3} - q \\
 (\bar{u}, \bar{c}, \bar{t})_L : & \bar{\mathbf{3}}_c \times \mathbf{3}_f \rightarrow \mathbf{8} \oplus \mathbf{1}, & -\frac{1}{2} = -\frac{2}{3} + q \\
 (d, s, b)_L : & \mathbf{3}_c \times \mathbf{3}_f \rightarrow \mathbf{6} \oplus \bar{\mathbf{3}}, & -\frac{1}{6} = -\frac{1}{3} + q \\
 (\bar{d}, \bar{s}, \bar{b})_L : & \bar{\mathbf{3}}_c \times \bar{\mathbf{3}}_f \rightarrow \bar{\mathbf{6}} \oplus \mathbf{3}, & +\frac{1}{6} = \frac{1}{3} - q \\
 (e^-, \mu^-, \tau^-)_L : & \mathbf{1}_c \times \mathbf{3}_f \rightarrow \mathbf{3}, & -\frac{5}{6} = -1 + q \\
 (e^+, \mu^+, \tau^+)_L : & \mathbf{1}_c \times \bar{\mathbf{3}}_f \rightarrow \bar{\mathbf{3}}, & +\frac{5}{6} = 1 - q \\
 (\nu_e, \nu_\mu, \nu_\tau)_L : & \mathbf{1}_c \times \bar{\mathbf{3}}_f \rightarrow \bar{\mathbf{3}}, & -\frac{1}{6} = 0 - q \\
 (\bar{\nu}_e, \bar{\nu}_\mu, \bar{\nu}_\tau)_L : & \mathbf{1}_c \times \mathbf{3}_f \rightarrow \mathbf{3}, & +\frac{1}{6} = 0 + q
 \end{array}$$

Supergravity and Standard Model assignments agree  
if spurion charge is chosen as  $q = \frac{1}{6}$  [Gell-Mann (1983)]

Realized at  $SU(3) \times U(1)$  stationary point! [Warner, HN, NPB259(1985)412]

## Embedding SM Symmetries into $K(E_{10})$

[Meissner,HN: Phys.Rev.D91(2015)065029]

Spurion charge shift can be realised as  $\exp(\frac{1}{6}\omega\mathcal{I})$

$$\mathcal{I} = \frac{1}{2}(T \wedge \mathbf{1} \wedge \mathbf{1} + \mathbf{1} \wedge T \wedge \mathbf{1} + \mathbf{1} \wedge \mathbf{1} \wedge T + T \wedge T \wedge T) \Rightarrow \mathcal{I}^2 = -1$$

acting on 56 fermions  $\chi^{ijk}$  in  $\mathbf{8} \wedge \mathbf{8} \wedge \mathbf{8}$  of  $SU(8)$ , with

$$T = \begin{pmatrix} 0 & -1 & 0 & 0 & 0 & 0 & 0 & 0 \\ 1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & -1 & 0 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & -1 & 0 & 0 \\ 0 & 0 & 0 & 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & -1 \\ 0 & 0 & 0 & 0 & 0 & 0 & 1 & 0 \end{pmatrix} \quad [= \text{imaginary unit for } SU(3) \times U(1)]$$

$\mathcal{I}$  is *not* in  $SU(8) \equiv K(E_7)$  ... but it is in  $K(E_{10})!$

Also need to extend action of  $\mathcal{I}$  to gravitinos.

## Why $\mathcal{I}$ belongs to $K(E_{10})$

[Kleinschmidt,HN:Phys.Lett.B747 (2015)]

**D=11 fermions in Coulomb gauge split as** ( $\hat{a} = 1, 2, 3; \bar{a} = 4, \dots, 10$ )

$$\Psi_A^a = (\Psi_{\alpha i}^{\hat{a}}, \Psi_{\alpha i}^{\bar{a}}) \quad \text{with } i, j = 1, \dots, 8 \text{ and } \alpha = 1, 2, 3, 4$$

**$N=8$  supergravity fermions from  $D=11$  gravitino** [Cremmer, Julia(1979)]

$$\psi_{\hat{a}\alpha}^i \propto \Psi_{\alpha i}^{\hat{a}} - \frac{1}{2} \sum_{\bar{c}=4}^{10} \Gamma_{ij}^{\bar{c}} (\gamma^5 \gamma_{\hat{a}} \Psi_j^{\bar{c}})_{\alpha} \quad , \quad \chi^{ijk} \propto \sum_{\bar{a}=4}^{10} \Gamma_{[ij}^{\bar{a}} \Psi_{k]\alpha}^{\bar{a}}$$

**With redefined variables  $\Phi_A^a = \Gamma_{AB}^a \Psi_B^a$  (no summation!)** [Damour, Hillmann]

$$\delta \chi_{ijk} = (T \wedge T \wedge T)_{ijk}{}^{lmn} \chi_{lmn} \quad \leftrightarrow \quad \delta \Phi_{i\alpha}^a = T_{ij} \Phi_{j\alpha}^a \quad (*)$$

Latter formula provides **a realization of  $\mathcal{I}$  on *all* fermions.**

**For any *real*  $E_{10}$  root  $\alpha$  we have (with  $\alpha^a \equiv G^{ab} \alpha_b$ )** [Kleinschmidt,HN]

$$\delta(\alpha) \Phi_A^a = \left( -\frac{1}{2} \alpha^a \alpha_b + \frac{1}{4} \delta_b^a \right) \Gamma(\alpha)_{AB} \Phi_B^b$$

Thus need only find linear combination to reproduce (\*), which is possible because there are *infinitely many* real roots in  $E_{10}$ .

The proof requires over-extended root of  $E_{10} \Rightarrow$  no way to realise  $q$ -shift with finite-dimensional  $\mathbf{R}$  symmetries!

More properly, this representation is acted on by

$$\mathcal{Q}_{RS} = K(E_{10})/\mathcal{N}_{RS} = SO(32, 288)$$

where  $\mathcal{N}_{RS}$  is the ‘normal subgroup’ generated by the RS ideal in  $K(E_{10})$  – but  $\mathcal{Q}_{RS}$  is *not* a subgroup of  $K(E_{10})$ .

In recent work we have been able to embed full SM group  $SU(3)_c \times SU(2)_w \times U(1)_Y$  into  $\mathcal{Q}_{RS}$  together with a family symmetry  $SU(3)_f$  which does *not* commute with electroweak symmetries. [\[Meissner,HN, PRL121\(2018\)091601\]](#)

**Big open questions:** how does  $K(E_{10})$  ‘unfold’ to give rise to spatial dependence and space-time symmetries via infinite chain of finite groups  $\mathcal{Q}_{RS} < \dots < K(E_{10})$  ??  
And how is  $K(E_{10})$  broken to SM symmetries??

# Curious Gravitinos

[K.Meissner,HN: PRD100(2019)035001]

Under  $SU(3)_c \times U(1)_{em}$  gravitinos transform as

$$\left(\mathbf{3}_c, \frac{1}{3}\right) \oplus \left(\bar{\mathbf{3}}_c, -\frac{1}{3}\right) \oplus \left(\mathbf{1}_c, \frac{2}{3}\right) \oplus \left(\mathbf{1}_c, -\frac{2}{3}\right)$$

Unusual features:

- strong and electromagnetic interactions  $\Rightarrow$
- would have been seen *unless* mass is very high, and cosmological abundance *extremely low*
- would be stable against decay into SM matter because of peculiar quantum numbers

[  $\rightarrow$  very different from gravitinos in  $N = 1$  SUGRA models, which are uncharged under SM symmetries, and interact only weakly ]

## Not the usual Dark Matter Candidate

- No SUSY: all gravitinos have masses  $\sim M_{\text{PL}}$
- Split as  $3 \oplus \bar{3} \oplus 1 \oplus 1$  under  $\text{SU}(3)$   $\rightarrow$  could form color singlet bound states with ordinary quarks.
- Fractionally charged  $\Rightarrow$  stable despite large mass!
- Despite strong and electromagnetic interactions can easily pass through Earth because of large mass.
- Non-relativistic  $\Rightarrow$  time of flight measurements?
- DM mass density in solar system  $\sim 10^6 \text{ GeV}/\text{m}^3 \Rightarrow 10^{-13} \text{ gravitinos}/\text{m}^3 \Rightarrow \text{flux } L \lesssim 10^{-9} \text{ m}^{-2}\text{s}^{-1} \rightarrow$   
DM detector would get hit only extremely rarely.
- Idea: look for long ionized tracks in *ultrastable* material (rock, diamond,...?)  $\rightarrow$  need a ‘*paleo-detector*’

[see e.g.: J.Bramante et al., 1803.08044[hep-ph]; S.Baum et al., 1806.05991[astro-ph.CO]]

# Explaining UHECRs?

[K.Meissner, HN: JCAP1909(2019)041]

*New mechanism:* color triplet gravitinos could explain observed UHECR events via gravitino-antigravitino annihilation in the ‘skin’ of neutron stars, provided

- Gravitinos get absorbed into stars ...
- ... and get ‘condensed’ in neutron stars so as to enable them to annihilate in appreciable rates

New features:

- could explain dominant appearance of ions (rather than protons) towards very highest energies
- with some ‘reasonable’ assumptions calculated event rates come close to the ones observed at Pierre Auger Observatory (in Argentina)
- Hints of  $E_{10}$  and  $K(E_{10})$  ‘in the sky’?

## Summary and Outlook

- $E_{10}$  and  $K(E_{10})$  unify and generalize known duality symmetries of supergravity and string theory.
- All results obtained so far indicate that  $E_{10}$  requires a setting beyond known concepts of space and time.
- $\Rightarrow$  quantum field theory, general covariance and local supersymmetry would have to be *emergent*.
- However: explaining how this emergence works in detail remains an outstanding challenge!
- Intriguing links between  $K(E_{10})$  and SM fermions:  
 $\rightarrow$  can  $E_{10}$  and  $K(E_{10})$  *supersede supersymmetry* as a guiding principle towards unification?
- Ultimate hope: no multiverse, but an actual explanation why low energy world is the way it is...