



Aalto University

from synthetic gravity to general relativity

G. Volovik

18.10.2019 Chernogolovka

Landau Institute

RUSSIAN ACADEMY OF SCIENCES

L. D Landau
INSTITUTE FOR
THEORETICAL
PHYSICS



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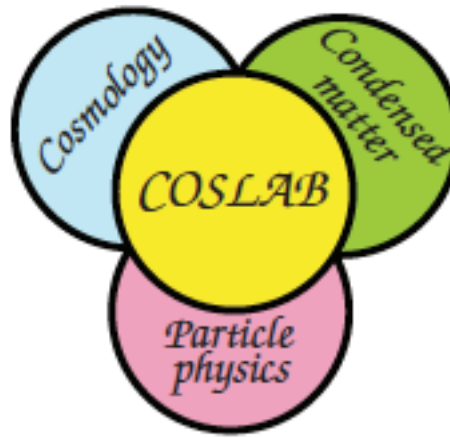
COSLAB (Cosmology in Laboratory) workshop, Finland, August 17-22, 2004

European Research Council



European
Commission

Horizon 2020
European Union funding
for Research & Innovation



COSLAB (COSMOLOGY IN THE LABORATORY)

Coordinators: **Grigory Volovik** (LTL) and **Tom Kibble** (Imperial College, London, UK)

Funding: ESF, Physical and Engineering Sciences

Duration: 1.7. 2001 - 30.6. 2006

Participants: 14 groups from European universities and research institutes in 12 countries.

Gravity as flow of vacuum: Tolman law in superfluid hydrodynamics

СОВРЕМЕННЫЕ
ПРОБЛЕМЫ
ФИЗИКИ

И. М. ХАЛАТНИКОВ

ВВЕДЕНИЕ В ТЕОРИЮ
СВЕРХТЕКУЧЕСТИ

phonon free energy in moving superfluid

v - velocity of moving superfluid vacuum

c - speed of sound (analog of speed of light)

$$F_{\text{ph}}(T, v) = \frac{F_{\text{ph}}(T)}{\left(1 - \frac{v^2}{c^2}\right)^2} \sim \left(\frac{T}{\sqrt{1 - \frac{v^2}{c^2}}}\right)^4$$

$$F_{\text{ph}}(T, v) \sim \left(\frac{T}{\sqrt{g_{00}}}\right)^4, \quad g_{00}(\mathbf{r}) = 1 - \frac{v^2(\mathbf{r})}{c^2}$$

$$T(\mathbf{r}) = T / \sqrt{g_{00}(\mathbf{r})}$$

Tolman law in GR

Издательство «Наука».

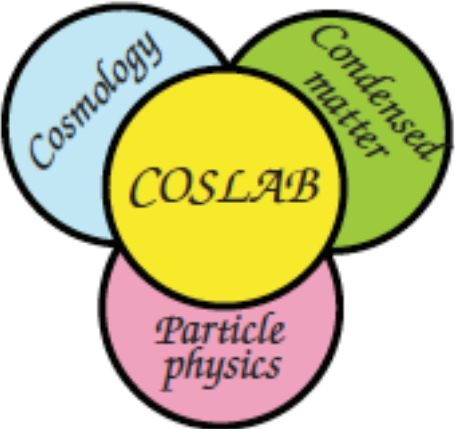
Главная редакция физико-математической литературы, 1965

Black hole analog in moving liquid

W. G. Unruh (1981)

Experimental black-hole evaporation?

Phys. Rev. Lett. 46, 1351



Painleve-Gulstrand metric - fluid metric

$$ds^2 = - dt^2 (c^2 - v^2) + 2 v dr dt + dr^2 + r^2 d\Omega^2$$

$\xi_{00} \uparrow$
 \uparrow
 ξ_{0r}

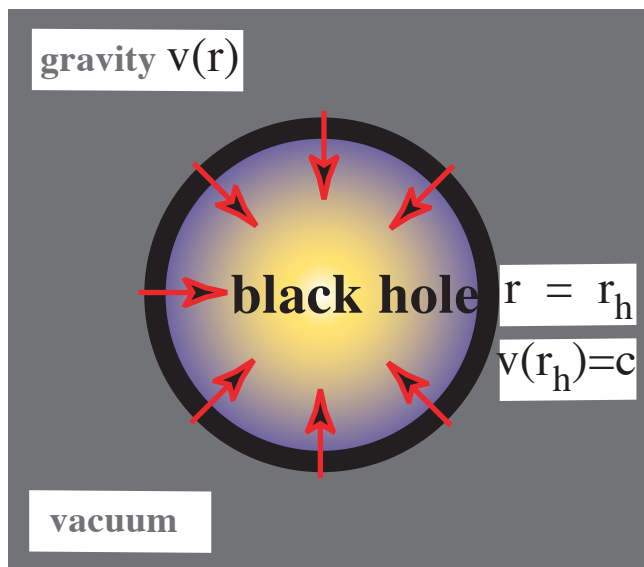
$$v^2(r) = \frac{2GM}{r} = c^2 \frac{r_h}{r}$$

Painleve-Gulstrand metric - fluid metric

$$ds^2 = - dt^2 (c^2 - v^2) + 2 v dr dt + dr^2 + r^2 d\Omega^2$$

g_{00} \uparrow \uparrow g_{0r}

$$v^2(r) = \frac{2GM}{r} = c^2 \frac{r_h}{r}$$

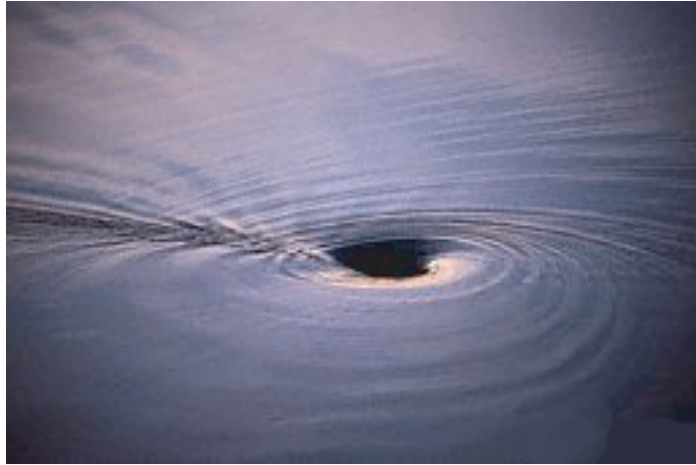


horizon at $g_{00} = 0$ (or $v(r_h) = c$)



Superfluid hydrodynamics as 1/2 of GR

Superfluids

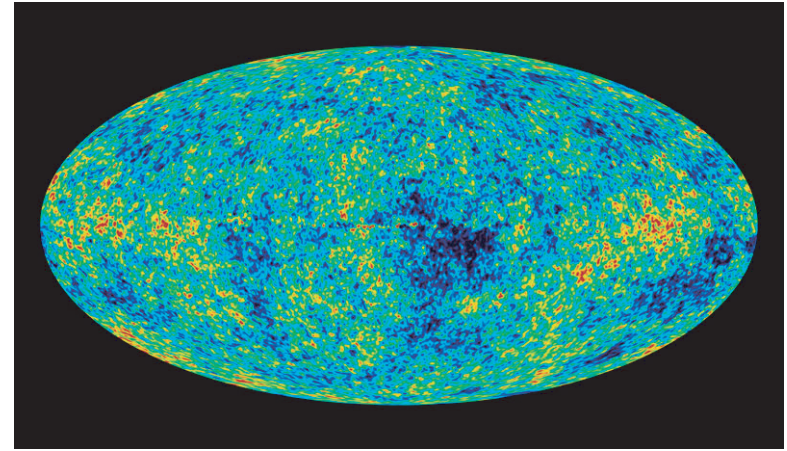


acoustic gravity



metric theories of gravity

Universe



general relativity



geometry of effective space time
for matter (phonons)

$$g_{\mu\nu}$$

geometry of space time
for matter



geodesics for phonons

$$ds^2 = g_{\mu\nu} dx^\mu dx^\nu = 0$$

geodesics for photons

equation
for phonons

$$T_{;\nu}^{\mu\nu} \text{ Matter} = 0$$

equation
for matter

Khalatnikov

"Theory of superfluidity"

Nauka, 1971

1/2 of GR



where is another 1/2 of GR ?

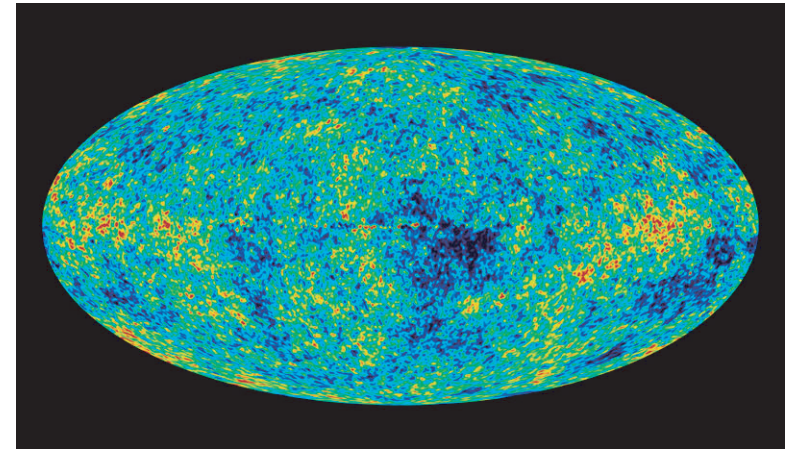


what about the other 1/2 of GR ?

superfluid component



quantum vacuum



equation
for phonons

$$T_{;v}^{\mu\nu} \text{ Matter} = 0$$

equation
for matter

at first glance no connections

equation for superfluid velocity

$$\dot{\mathbf{v}}_s + \nabla(\mu + \mathbf{v}_s^2/2) = 0$$

equation for mass density

$$\dot{\rho} + \nabla \cdot (\rho \mathbf{v}_s + \mathbf{P}^{\text{Matter}}) = 0$$



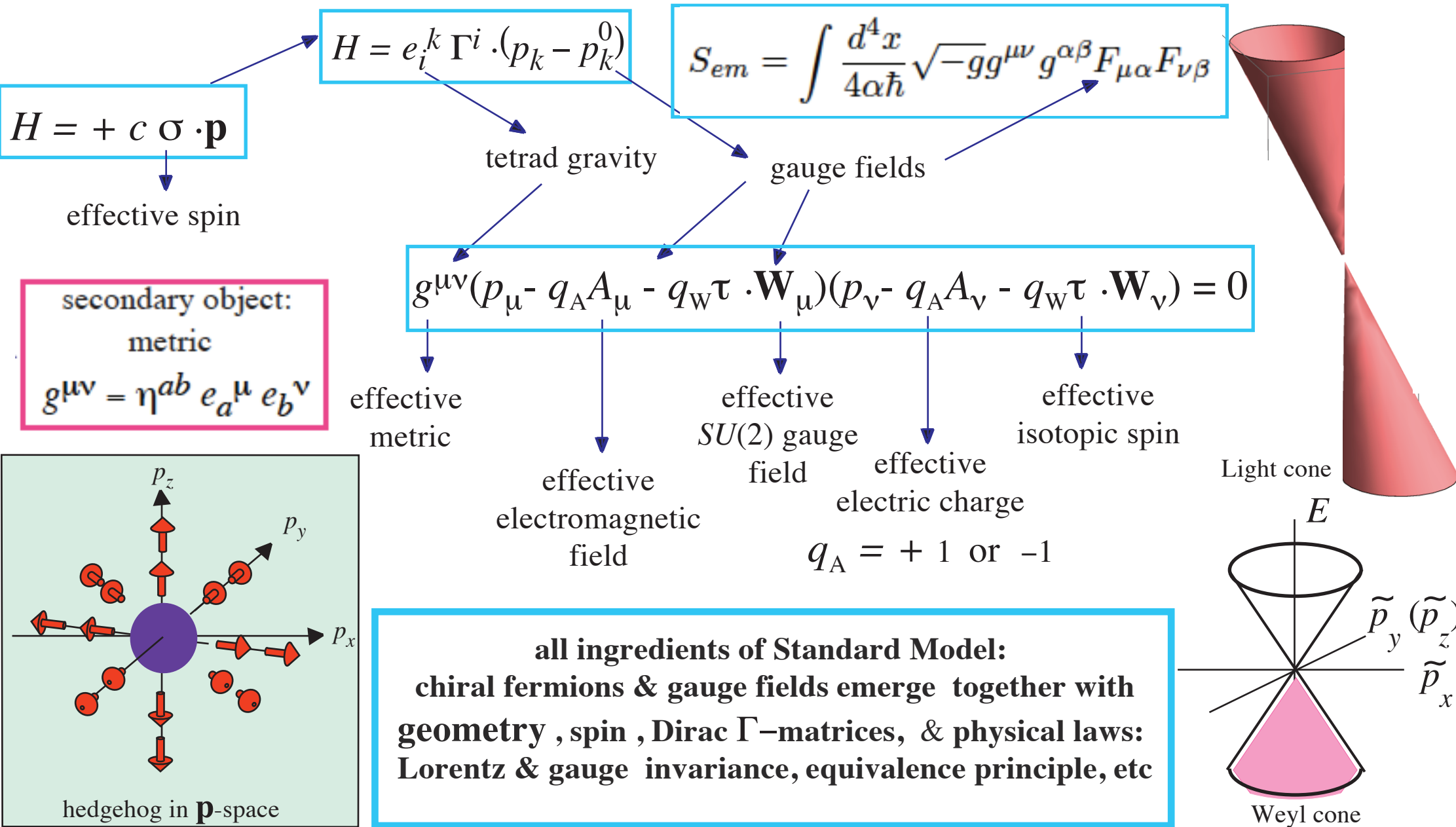
Einstein equations for metric field

$$\frac{1}{8\pi G} (R_{\mu\nu} - g_{\mu\nu} R/2) = \Lambda g_{\mu\nu} + T_{\mu\nu}^{\text{Matter}}$$

Gravity emerging near Weyl points in Weyl semimetals & superfluids

topological origin of emergent relativity, gauge fields, tetrad gravity, chirality, spin ..

Atiyah-Bott-Shapiro construction: expansion of Hamiltonian near the node in terms of Dirac Γ -matrices



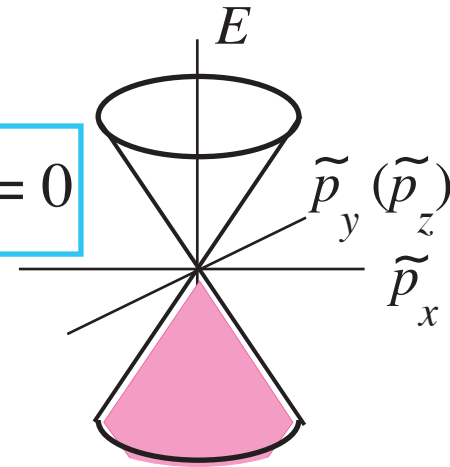
General relativity vs hydrodynamics

secondary object:
metric

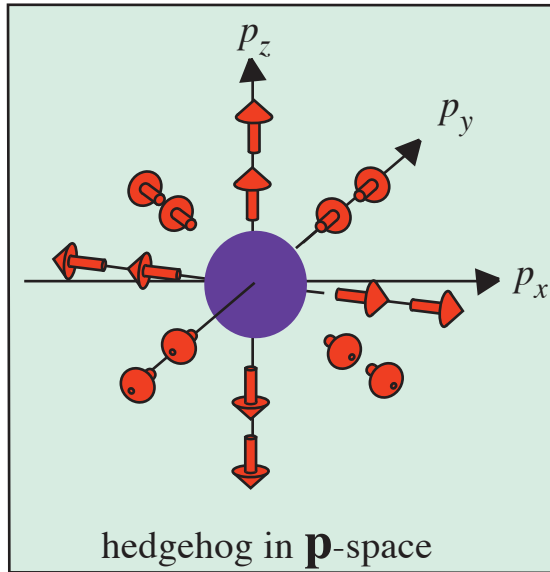
$$g^{\mu\nu} = \eta^{ab} e_a^\mu e_b^\nu$$

$$g^{\mu\nu} (p_\mu - q_A A_\mu - q_W \boldsymbol{\tau} \cdot \mathbf{W}_\mu) (p_\nu - q_A A_\nu - q_W \boldsymbol{\tau} \cdot \mathbf{W}_\nu) = 0$$

$$S_{em} = \int \frac{d^4x}{4\alpha\hbar} \sqrt{-g} g^{\mu\nu} g^{\alpha\beta} F_{\mu\alpha} F_{\nu\beta}$$



Weyl cone



hedgehog in \mathbf{p} -space

what are equations for metric (tetrad)?

UV cut-off
 \gg
Lorentz violating scale

$$\dot{\mathbf{v}}_s + \nabla(\mu + \mathbf{v}_s^2/2) = 0$$

$$\dot{\rho} + \nabla \cdot (\rho \mathbf{v}_s + \mathbf{P}^{\text{Matter}}) = 0$$

UV cut-off
 \ll
Lorentz violating scale

$$\frac{1}{8\pi G} (R_{\mu\nu} - g_{\mu\nu} R/2) = \Lambda g_{\mu\nu} + T_{\mu\nu}^{\text{Matter}}$$

Nieh-Yan anomaly in GR & hydrodynamics

Nieh & Yan

J. Math. Phys. 23, 373 (1982)

Ann. Phys. 138, 237 (1982)

Nieh-Yan gravitational anomaly in terms of tetrads, torsion, curvature & UV cut-off

$$\partial_{\mu} J_5^{\mu} = \frac{\Lambda^2}{4\pi^2} N(\mathbf{r}, t)$$

$$N = \mathcal{T} \wedge \mathcal{T} + e \wedge e \wedge R$$

Nissinen & GV, arXiv:1909.08936

thermal Nieh-Yan anomaly in terms of tetrads, torsion, curvature & temperature

$$\partial_{\mu} J_5^{\mu} = \gamma T^2 N(\mathbf{r}, t)$$

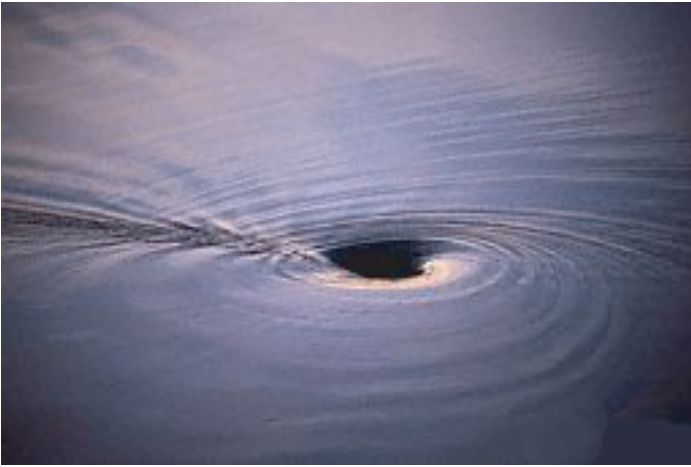
hydrodynamics

$$\nabla_{\mu} j_5^{\mu} = -\frac{T^2}{12} \left(1 + \frac{m^*}{m} \right) N(\mathbf{r}, t)$$

GR

$$\nabla_{\mu} j_5^{\mu} = -\frac{T^2}{12} N(\mathbf{r}, t)$$

Gibbs-Duhem relation, self-sustained vacuum & cosmological constant



$$\dot{\mathbf{v}}_s + \nabla(\mu + \mathbf{v}_s^2/2) = 0$$

$$\dot{\rho} + \nabla \cdot (\rho \mathbf{v}_s + \mathbf{P}^{\text{Matter}}) = 0$$

thermodynamic potential

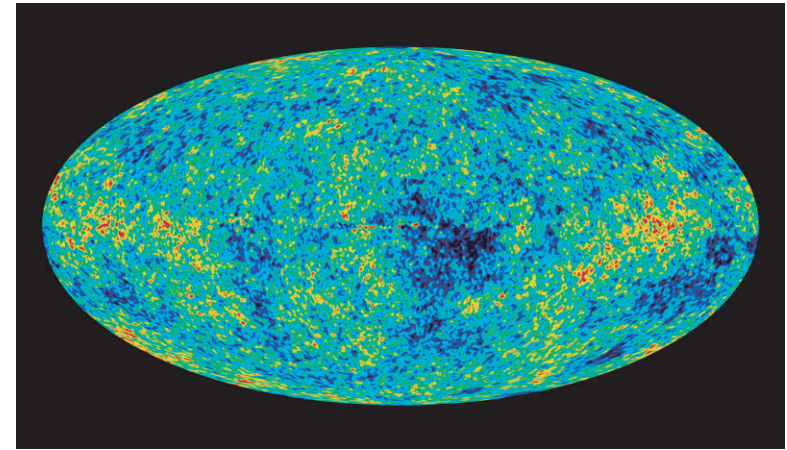
$$\varepsilon(\rho) - \mu\rho = -P$$

plays the role of vacuum energy

$$\varepsilon_{\text{vac}}(\rho) = \varepsilon(\rho) - \mu\rho = -P_{\text{vac}}$$

in thermodynamic equilibrium
the self-sustained liquid has:

$$\varepsilon_{\text{vac}}(\rho) = 0$$



$$\frac{1}{8\pi G}(\mathbf{R}_{\mu\nu} - g_{\mu\nu}\mathbf{R}/2) = \Lambda g_{\mu\nu} + T_{\mu\nu}^{\text{Matter}}$$

vacuum energy & cosmological constant

$$\varepsilon_{\text{vac}} = -P_{\text{vac}} = \Lambda$$

**if quantum vacuum is self-sustained,
then due Gibbs-Duhem relation
the cosmological constant Λ is zero
in thermodynamic equilibrium**

Vacuum energy in cond-mat Quantum Field Theory

$$H_{\text{QFT}} = H - \mu N$$

$$H_{\text{QFT}} = \int d^3x \psi^\dagger \left(-\frac{\Delta}{2m} - \mu \right) \psi + \iint d^3x d^3y U(x-y) \psi^\dagger(x) \psi^\dagger(y) \psi(y) \psi(x)$$

Macroscopic (thermodynamic) approach for vacuum (ground state) energy

$$\epsilon_{\text{vac}} V = \langle H_{\text{QFT}} \rangle_{\text{vac}} = \langle H \rangle_{\text{vac}} - \mu \langle N \rangle_{\text{vac}}$$

thermodynamic Gibbs-Duhem relation

$$\langle H \rangle - \mu \langle N \rangle - TS = -pV$$

$$T = 0$$

$$\epsilon_{\text{vac}} = -p_{\text{vac}}$$

all quantum vacua
(relativistic and non-relativistic)
obey the same equation of state

thermodynamic
infrared
scale

“Planck”
ultraviolet
scale

“Planck”
ultraviolet
scale

thermodynamic compensation
of zero point
vacuum energy

**self-sustained vacuum can be described e.g. by variable
suggested by Hawking - the 4-form field**

action

$$S = \int dV dt \varepsilon(q)$$

$$q^2 = - \frac{1}{24} F_{\kappa\lambda\mu\nu} F^{\kappa\lambda\mu\nu}$$

$$F_{\kappa\lambda\mu\nu} = \nabla_{[\kappa} A_{\lambda\mu\nu]}$$

$$F^{\kappa\lambda\mu\nu} = q e^{\kappa\lambda\mu\nu}$$

Maxwell equation

$$\nabla_{\kappa} (F^{\kappa\lambda\mu\nu} q^{-1} d\varepsilon/dq) = 0$$



$$\nabla_{\kappa} (d\varepsilon/dq) = 0$$



$$d\varepsilon/dq = \mu$$

**integration constant μ in dynamics
becomes chemical potential in thermodynamics**

4-form field $F_{\kappa\lambda\mu\nu}$ is an example of conserved charge q in relativistic vacuum

lesson from cond-mat: cosmological constant vs vacuum energy

action in q-theory

$$S = \int d^4x (-g)^{1/2} [\varepsilon(q) + KR] + S_{\text{matter}}$$

motion
equation

$$d\varepsilon/dq = \mu$$

Einstein
equations

$$K(Rg_{\mu\nu} - 2R_{\mu\nu}) + (\varepsilon - \mu q)g_{\mu\nu} = T_{\mu\nu}$$

Einstein
tensor

cosmological

matter

$$\text{term } \Lambda g_{\mu\nu} \neq \varepsilon g_{\mu\nu}$$

**cosmological constant is not equal to vacuum energy:
cosmological constant equals the thermodynamic potential**

**vacuum energy is determined by UV physics, it is large
thermodynamic potential is determined by IR physics,
it is small in equilibrium**

dynamics of q in curved space: relaxation of Λ at fixed $\mu=\mu_0$

Maxwell
equations

$$d\varepsilon/dq + R dK/dq = \mu_0$$

Einstein
equations

$$K(Rg_{\mu\nu} - 2R_{\mu\nu}) + g_{\mu\nu} \Lambda(q) - 2(\nabla_\mu \nabla_\nu - g_{\mu\nu} \nabla^\lambda \nabla_\lambda) K = T_{\mu\nu}^{\text{matter}}$$

$$\Lambda(q) = \varepsilon(q) - \mu_0 q$$

dynamic
solution

$$q(t) - q_0 \sim q_0 \frac{\sin \omega t}{t}$$

$$\Lambda(t) \sim \omega^2 \frac{\sin^2 \omega t}{t^2}$$

$$H(t) = \frac{\dot{a}(t)}{a(t)} = \frac{2}{3t} (1 - \cos \omega t)$$

$$\omega \sim E_{\text{Planck}}$$

similar to scalar field with mass $M \sim E_{\text{Planck}}$
A.A. Starobinsky, Phys. Lett. **B 91**, 99 (1980)

Relaxation of Λ (generic q-independent properties)

$$\Lambda(t) \sim \omega^2 \frac{\sin^2 \omega t}{t^2}$$

cosmological "constant"

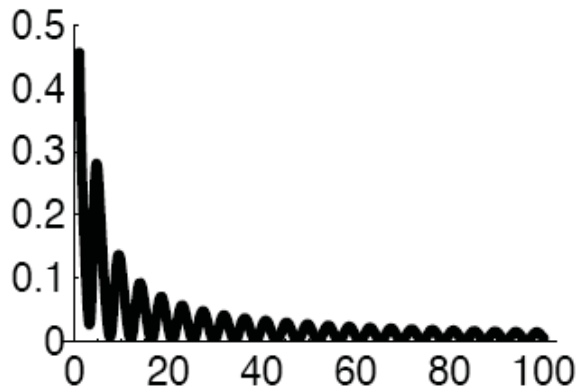
$$H(t) = \frac{\dot{a}(t)}{a(t)} = \frac{2}{3t} (1 - \cos \omega t)$$

Hubble parameter

$$\omega \sim E_{\text{Planck}}$$

$$G(t) = G_N \left(1 + \frac{\sin \omega t}{\omega t} \right)$$

Newton "constant"



$$\langle \Lambda(t_{\text{Planck}}) \rangle \sim E_{\text{Planck}}^4$$

$$\Lambda(t = \infty) = 0$$

natural solution of the main cosmological problem ?

**Λ relaxes from natural Planck scale value
to natural zero value**

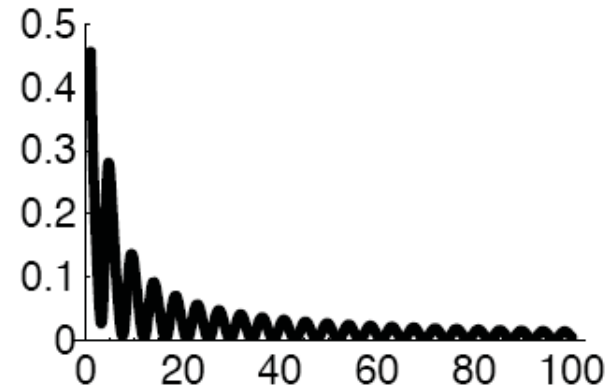


present value of Λ

$$\Lambda(t) \sim \omega^2 \frac{\sin^2 \omega t}{t^2}$$

$$\omega \sim E_{\text{Planck}}$$

**dynamics of Λ :
from Planck to present value**



$$\langle \Lambda(t_{\text{Planck}}) \rangle \sim E_{\text{Planck}}^4$$

$$\langle \Lambda(t_{\text{present}}) \rangle \sim E_{\text{Planck}}^2 / t_{\text{present}}^2 \sim 10^{-120} E_{\text{Planck}}^4$$

coincides with present value of dark energy
something to do with coincidence problem ?



Dynamical evolution of Λ similar to that of gap Δ in superconductors after kick

dynamics of Λ in cosmology

$$\Lambda(t) \sim \omega^2 \frac{\sin^2 \omega t}{t^2}$$

$$\omega \sim E_{\text{Planck}}$$

F.R. Klinkhamer & G.E. Volovik
 Dynamic vacuum variable &
 equilibrium approach in cosmology
 PRD **78**, 063528 (2008)
 Self-tuning vacuum variable &
 cosmological constant,
 PRD **77**, 085015 (2008)

nonequilibrium vacuum with $\Lambda \sim E_{\text{Planck}}^4$

superconductor with nonequilibrium gap Δ

dynamics of Δ in superconductor

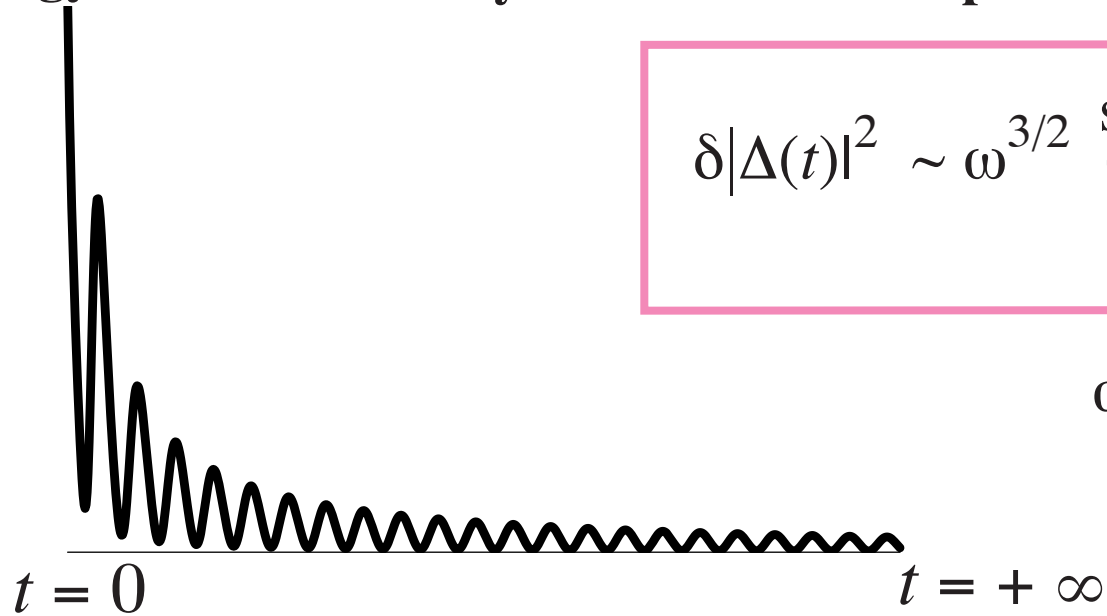
$$\delta|\Delta(t)|^2 \sim \omega^{3/2} \frac{\sin \omega t}{t^{1/2}}$$

$$\omega = 2\Delta$$

equilibrium vacuum with $\Lambda = 0$

ground state of superconductor

$$\varepsilon(t) - \varepsilon_{\text{vac}} \sim \omega \frac{\sin^2 \omega t}{t}$$



initial states:

final states:

V. Gurarie, Nonequilibrium dynamics of weakly and strongly paired superconductors: 0905.4498

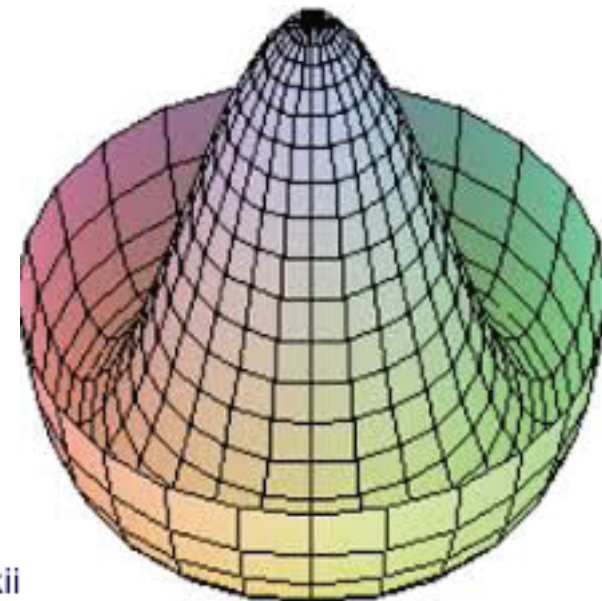
A.F. Volkov & S.M. Kogan, JETP **38**, 1018 (1974)

Barankov & Levitov, ...

from super-fluid vacuum



to super-plastic vacuum



I.E. Dzyaloshinskii & GV 1980
Poisson brackets
in condensed matter physics

Richard Klemm	George Crabtree	Ernst Brandt	Helmut Gor'kov	Lev	David Bishop	David Nelson	Michael Tinkham	Valerii Vinokur	David Khmelnitskii
Grigorii Volovik	Boris Shklovskii	Boris Altshuler	Alexei Abrikosov		Phil W. Anderson		Igor' Dzyaloshinskii		



Klinkhamer & GV
Tetrads & q-theory
2019

Nissinen & GV
Elasticity tetrads,
mixed anomalies,
(3+1)-d QHE
2019

tetrads of elasticity

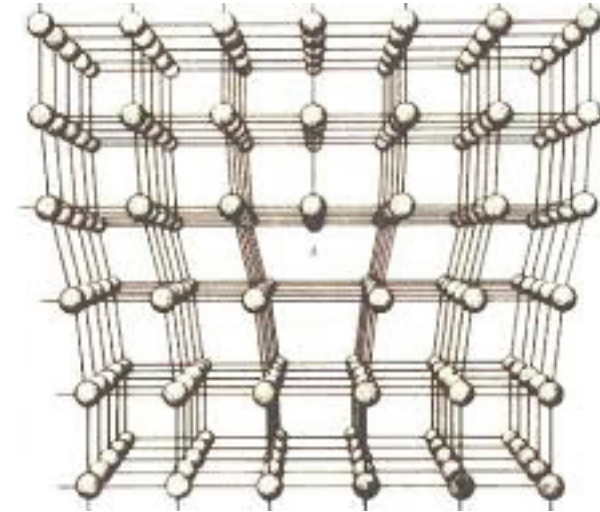
system of 3 deformed crystallographic planes,
surfaces of constant phase

$$X^a(x) = 2\pi n^a, n^a \in \mathbb{Z}, \text{ with } a = 1, 2, 3, \text{ in three dimensions}$$

intersections of 3 surfaces
are points of deformed crystal lattice

The elasticity tetrads are gradients of the three U(1)
fields X^a , $a = 1, 2, 3$,

$$E_\mu^a(x) = \partial_\mu X^a(x)$$



metric & distance (interval in GR)

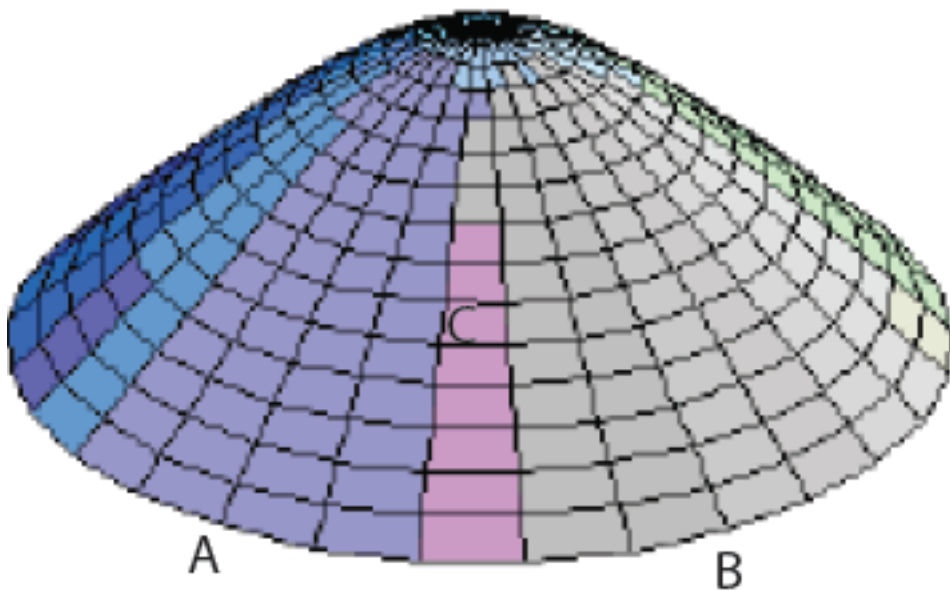
$$g_{\mu\nu} = E_\mu^a E_\nu^b \eta_{ab}$$

$$dn^2 = g_{\mu\nu} dx^\mu dx^\nu$$

distance (interval) is dimensionless

expressed in terms of lattice points:

$$AB = 5 \quad (AC)^2 = 2^2 + 5^2$$



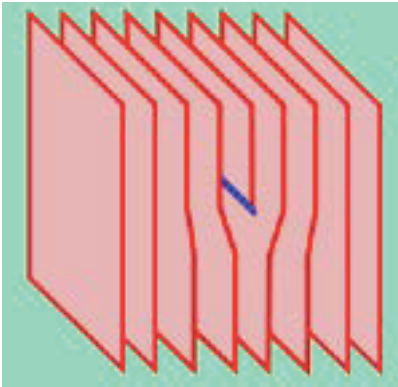
elasticity gauge fields

The elasticity tetrads are gradients of the three U(1) gauge fields X^a , $a = 1, 2, 3$,

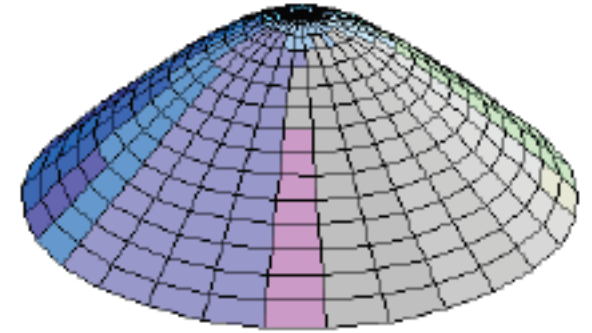
$$E_{\mu}^a(x) = \partial_{\mu} X^a(x)$$

the field strength of these gauge fields -- torsion

$$T_{kl}^a = (\partial_k E_l^a - \partial_l E_k^a)$$



torsion describes dislocations



tetrads as gauge fields contribute to quantum anomalies

J. Nissinen & GV,
Elasticity tetrads,
mixed axial-gravitational anomalies,
& (3+1)-d quantum Hall effect,
PR Research **1**, 023007 (2019)

elasticity tetrads & (3+1)-d Quantum Hall Effect

Intrinsic (2+1)-d QHE

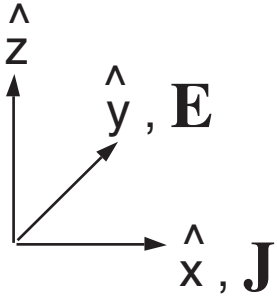
$$S_{CS} = \frac{e^2}{16\pi} N e^{\mu\nu\lambda} \int d^2x dt A_\mu F_{\nu\lambda}$$

\mathbf{p} -space invariant

\mathbf{r} -space invariant

$$N = \frac{1}{8\pi^2} \epsilon_{ij} \int_{-\infty}^{\infty} d\omega \int_{BZ} dS \times \text{Tr}[(G\partial_\omega G^{-1})(G\partial_{k_i} G^{-1})(G\partial_{k_j} G^{-1})]$$

electric current $J_x = \delta S_{CS} / \delta A_x = \frac{e^2}{4\pi} N E_y$



quantized intrinsic Hall conductivity
(without external magnetic field)

$$\sigma_{xy} = \frac{e^2}{4\pi} N$$

contribution of elasticity gauge to anomaly: (3+1)-d QHE & axial-gravitational anomaly

Intrinsic (3+1)-d QHE

elasticity tetrads have dimension of momentum,

no material parameters in action

only topological numbers

as in (2+1) QHE

$$S_{(3+1)\text{-d}}[A_\mu] = \frac{1}{8\pi^2} \sum_{a=1}^3 N_a \int d^4x E_\mu^a \epsilon^{\mu\nu\alpha\beta} A_\nu \partial_\alpha A_\beta$$

elasticity gauge

electromagnetic gauge

$$N_a = \frac{1}{8\pi^2} \epsilon_{ijk} \int_{-\infty}^{\infty} d\omega \int_{\text{BZ}} dS_a^i \\ \times \text{Tr}[(G\partial_\omega G^{-1})(G\partial_{k_i} G^{-1})(G\partial_{k_j} G^{-1})]$$

momentum integral is over 2-D torus of the cross-section of BZ normal to a given tetrad

$$\frac{d\sigma_{ij}}{dE_k^a} = \frac{e^2}{2\pi h} \epsilon_{ijk} N_a$$

J. Nissinen & GV,

Elasticity tetrads,

mixed axial-gravitational anomalies,

& (3+1)-d quantum Hall effect,

PR Research 1, 023007 (2019)

response of conductivity to deformation is quantized

some other anomalies with elasticity gauge fields

elasticity gauge

electromagnetic gauge

$$S_{3+1D}[A] \propto N_1 \int d^3x dt \epsilon^{\mu\nu\alpha\beta} e_{abc} E_\mu^a E_\nu^b E_\alpha^c A_\beta$$

$$N_1 = \frac{1}{2\pi i} \int d\omega \text{Tr} G(k_x, \omega) \partial_\omega G^{-1}(k_x, \omega)$$

connection to Luttinger theorem

elasticity gauge

electromagnetic gauge

$$S_{3+1D} = \frac{1}{2(2\pi)^2} N^c \int d^3x dt \epsilon^{\mu\nu\alpha\beta} e_{abc} E_\mu^a E_\nu^b F_{\alpha\beta}$$

$$P^a = E_i^a P^i \propto V_{BZ} N^a$$

Song, He, Vishwanath & Wang,
Electric polarization as a
nonquantized topological response
& boundary Luttinger theorem,
arXiv:1909.08637

topological contribution to polarization
& to electric charge of dislocation

quantization of response of polarization
to deformation of Brillouin zone volume

$$\frac{dP^a}{dV_{BZ}} \propto N^a$$

elasticity tetrads & gravity in superplastic vacuum

$$g_{\mu\nu} = E_{\mu}^a E_{\nu}^b \eta_{ab}$$

$$dn^2 = g_{\mu\nu} dx^{\mu} dx^{\nu}$$

interval is dimensionless

no equilibrium lattice size \longrightarrow no Planck scale

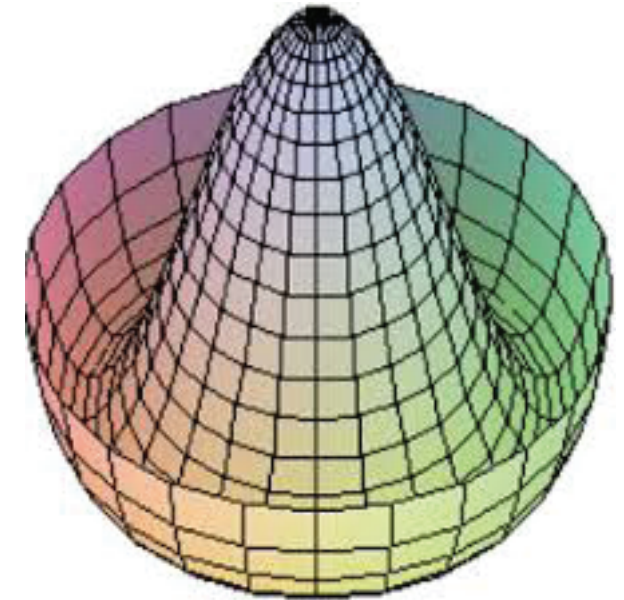
all physical parameters in SM and GR are dimensionless

curvature, Newton constant ξ cosmological constant in Einstein action

$$S[E_{\mu}^a] = \int_{M^{3,1}} d^4x |E| (KR + \Lambda)$$

are dimensionless:

$$[R] = [K] = [\Lambda] = 1$$

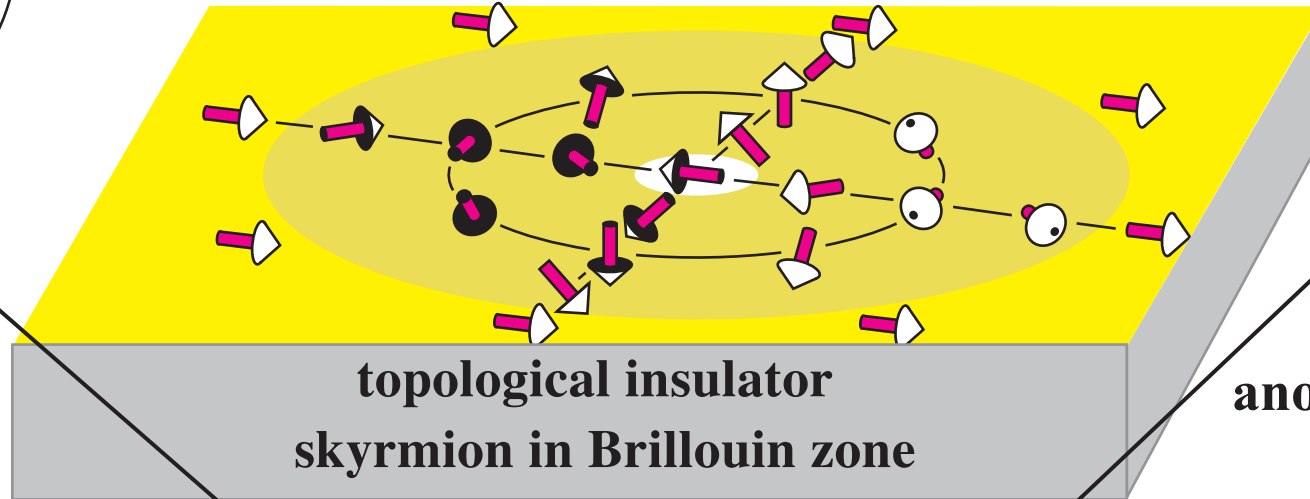


tetrads triad & topology

quantized reponse to deformations

Elasticity

3D anomalous QHE



mixed axial-gravitational anomaly

elasticity tetrads

Quantum gravity

$$[R] = [K] = [\Lambda] = 1$$

$$S[E_\mu^a] = \int_{M^{3,1}} d^4x |E| (KR + \Lambda)$$

$$\frac{d\sigma_{ij}}{dE_k^a} = \frac{e^2}{2\pi h} \epsilon_{ijk} N_a$$

skyrmion charge

$$N_a = \frac{1}{8\pi^2} \epsilon_{ijk} \int_{-\infty}^{\infty} d\omega \int_{\text{BZ}} dS_a^i \times \text{Tr}[(G\partial_\omega G^{-1})(G\partial_{k_i} G^{-1})(G\partial_{k_j} G^{-1})]$$

$$\frac{1}{8\pi^2} \sum_{a=1}^3 N_a \int d^4x E_\mu^a \epsilon^{\mu\nu\alpha\beta} A_\nu \partial_\alpha A_\beta$$

**conclusion
in the center of quantum triad**

Gravity

Superfluids



sectors in
Landau Institute

plasma and lasers
quantum field theory
quantum mesoscopics
mathematical physics
nonequilibrium physics
gravitation and cosmology
problems of modern mathematics
electronic and optical properties of solids

QFT