

# The Floquet spectrum of superconducting multiterminal quantum dots

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## THE THEORY OF A FERMI LIQUID (THE PROPERTIES OF LIQUID $^3\text{He}$ AT LOW TEMPERATURES)

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Translated by M. G. Priestley

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Rep. Prog. Phys. 22, 329 (1959)

# The Josephson effect



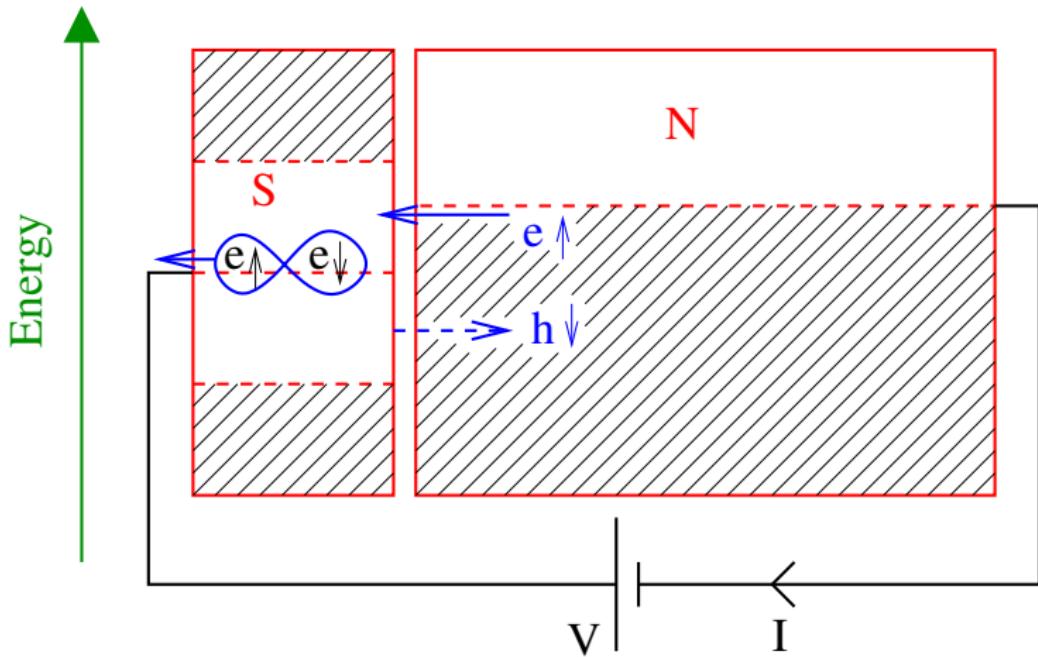
$$I = I_c \sin(\varphi_a - \varphi_b)$$

$$\frac{d}{dt}(\varphi_a - \varphi_b) = \frac{2e(V_a - V_b)}{\hbar}$$

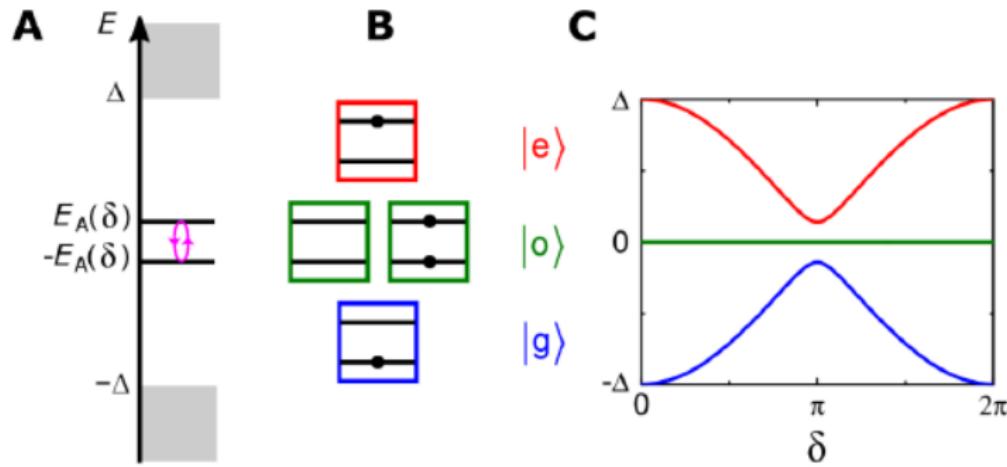
A **dc voltage bias**  $V = V_a - V_b$  generates an **ac current** at the Josephson frequency  $\omega_J$ :

$$\omega_J = \frac{2eV}{\hbar}$$

# Andreev reflection

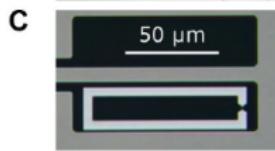
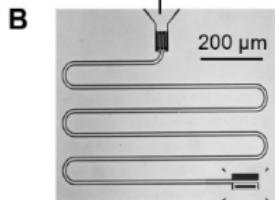
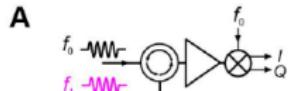


# Andreev qubits in superconducting quantum point contacts

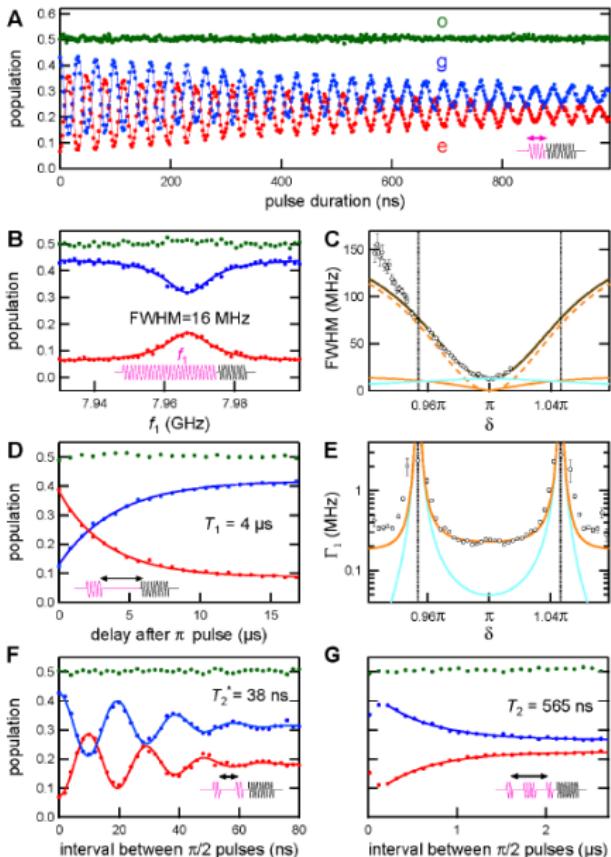


from C. Janvier et al. Science **349**, 1199, (2015)

# Andreev bound-states in superconducting weak links

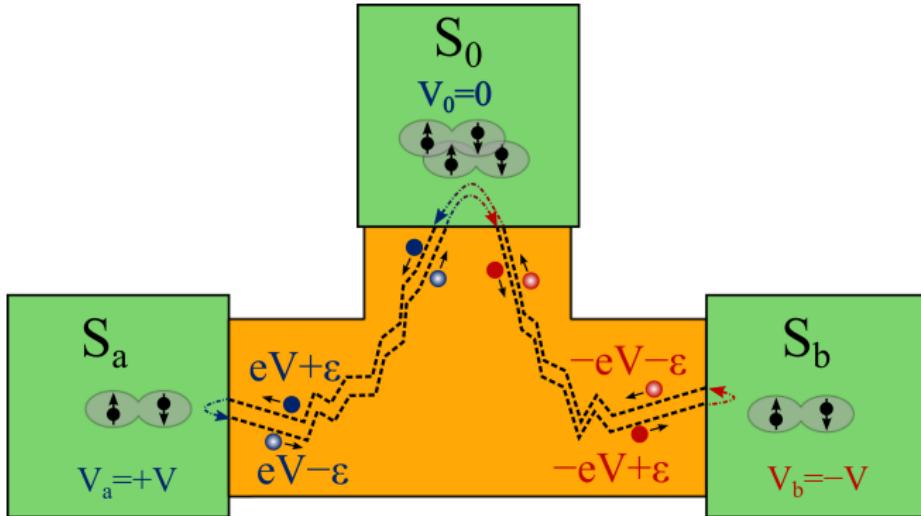


C. Janvier et al.  
Science **349**, 1199,  
(2015)



# Quartets in Metallic Structures

A.H. Pfeffer, J.E. Duvauchelle, H. Courtois, R. Mélin, D. Feinberg and F. Lefloch,  
PRB '14

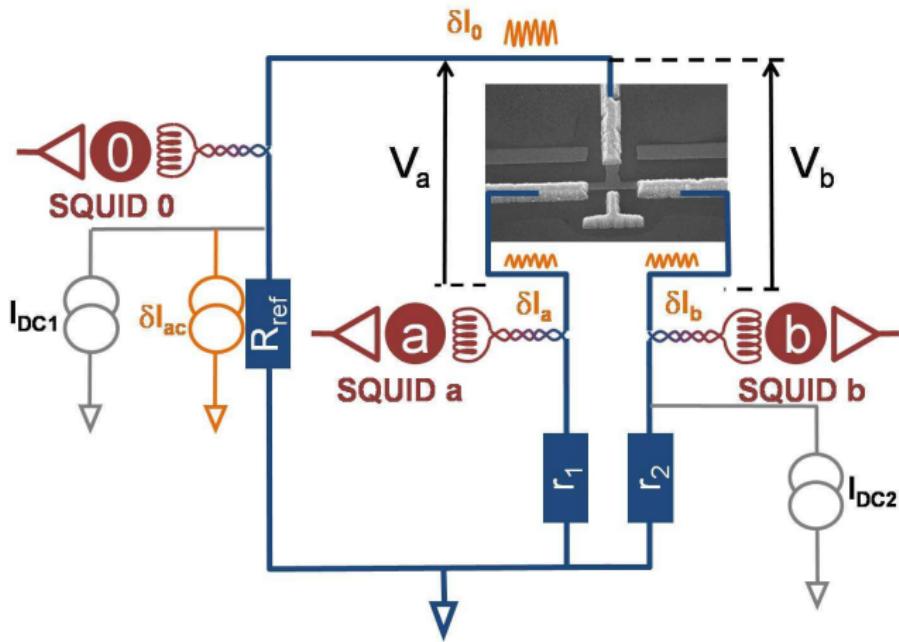


## Theoretical calculation

- Perturbative expansion in the tunnel amplitudes
- ⇒ Diffusion modes, evaluated in the ladder approximation

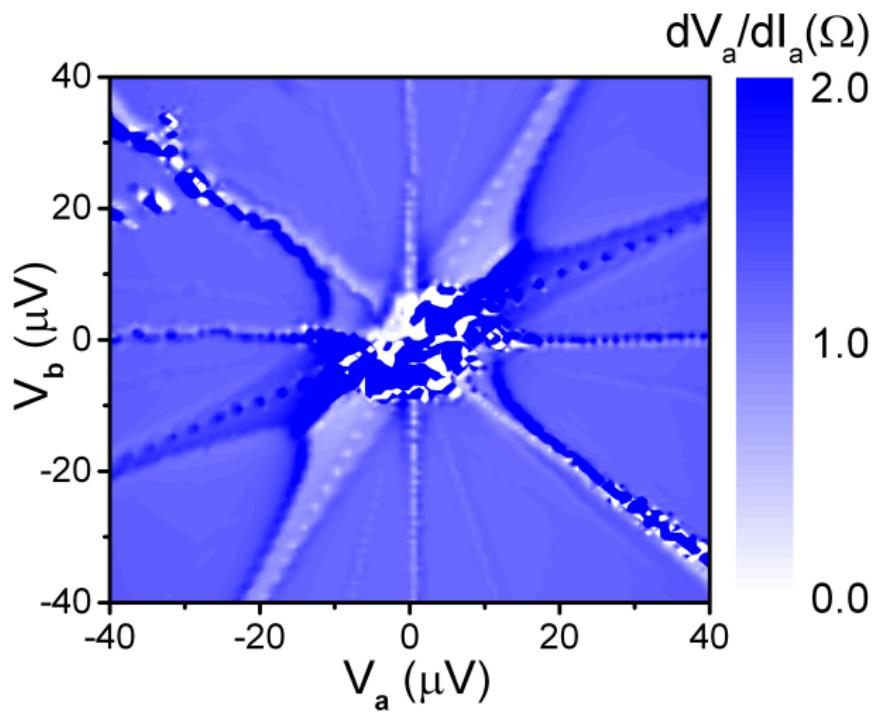
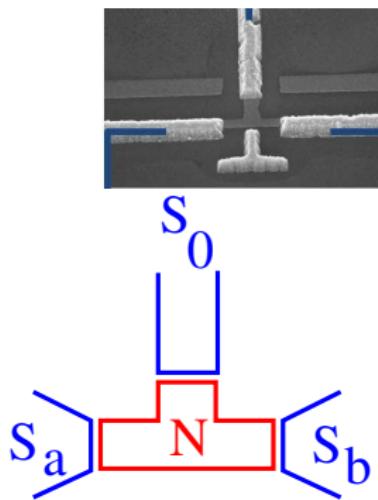
# Experimental Set-up

A.H. Pfeffer, J.E. Duvauchelle, H. Courtois, and F. Lefloch



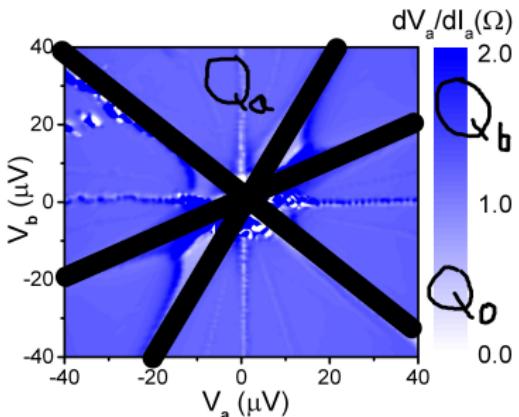
# Resonances for a Bijunction ( $T = 200$ mK)

A.H. Pfeffer, J.E. Duvauchelle, H. Courtois, R. Mélin, D. Feinberg, F. Lefloch,  
PRB '14



# Resonances for a Bijunction

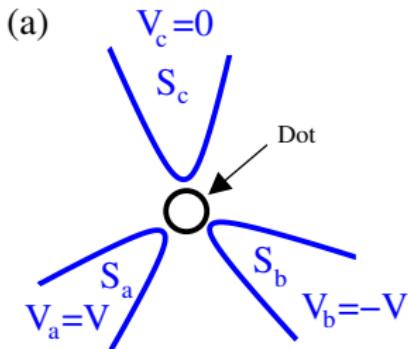
A.H. Pfeffer, J.E. Duvauchelle, H. Courtois, R. Mélin, D. Feinberg, F. Lefloch,  
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## Three additional resonance lines

- $2V_0 = V_a + V_b; 2V_a = V_0 + V_b; 2V_b = V_0 + V_a$
- Just permutation of the 3 terminals → equivalent resonances
- Are they due to quartets or to classical synchronization by an external impedance ?

# Main questions addressed in this work



- Properties of **out of equilibrium steady state** at finite dc voltage bias ?
- Manifestations of quartet physics ?
- Main outcome: out of equilibrium generalizations of Andreev bound-states: **Floquet-Wannier-Stark resonances**.

## General remarks

- dc voltages generate time-dependent phases:  
$$\varphi_j(t) = \varphi_j(0) + \frac{2eV_j}{\hbar}t$$
- Possibility to get time-periodic hamiltonians  $H(\varphi)$ :
  - Two terminal case
  - Three terminal case in "quartet" configuration:  $V_a = V$ ,  $V_b = -V$ ,  $V_c = 0$
- Analogy with band-structure theory:  $\varphi \leftrightarrow k$ 
  - Time dependent view-point: **Bloch oscillations**
  - Static view-point: **Wannier-Stark ladders**

# Floquet theory for time periodic Hamiltonians

- $H(\varphi)$   $2\pi$ -periodic in  $\varphi$ ,  $H(\varphi) = \sum_m e^{-im\varphi} H_m$
- $\varphi = \omega_0 t$ ,  $\omega_0 = 2\pi/T$
- Quasi-periodic solutions of the Schrödinger equation:

$$|\chi(t)\rangle = e^{-iEt} \sum_m e^{-im\omega_0 t} |\chi_m\rangle$$

- Maps to a steady state problem in  $\mathcal{H}_{\text{Large}} = \mathcal{H}_{\text{Phys}} \otimes l^2(\mathbb{Z})$

$$(E + m\omega_0) |\chi_m\rangle = \sum_n H_n |\chi_{m-n}\rangle$$

- Translational symmetry in  $m$  is broken by a linear potential  $-m\omega_0$

# Wannier-Stark ladders in $\mathcal{H}_{\text{Large}}$

If  $\{|\chi_{\textcolor{red}{m}}\rangle\}$  gives an eigenstate with energy  $E$ , the translated family  $\{|\tilde{\chi}_{\textcolor{red}{m}}\rangle\}$  with  $|\tilde{\chi}_{\textcolor{red}{m}}\rangle = |\chi_{\textcolor{red}{m}+\textcolor{blue}{n}}\rangle$  is also an eigenstate with energy  $\tilde{E} = E + \textcolor{blue}{n}\omega_0$ .

Redundancy in  $\mathcal{H}_{\text{Large}}$ :  $(\{|\chi_{\textcolor{red}{m}}\rangle\}, E)$  and  $(\{|\tilde{\chi}_{\textcolor{red}{m}}\rangle\}, \tilde{E})$  generate the same Floquet state  $|\chi(t)\rangle$  in  $\mathcal{H}_{\text{Phys}}$ .

# Quantum dynamics in $\mathcal{H}_{\text{Large}}$ : Bloch oscillations

- At  $\omega_0 = 0$ , eigenstates in  $\mathcal{H}_{\text{Large}}$  are plane waves, of the form  $|\chi(\varphi)\rangle \otimes |\varphi\rangle$ , with  $|\varphi\rangle = \sum_{\mathbf{m}} e^{-i\mathbf{m}\varphi} |\mathbf{m}\rangle$ . Then:

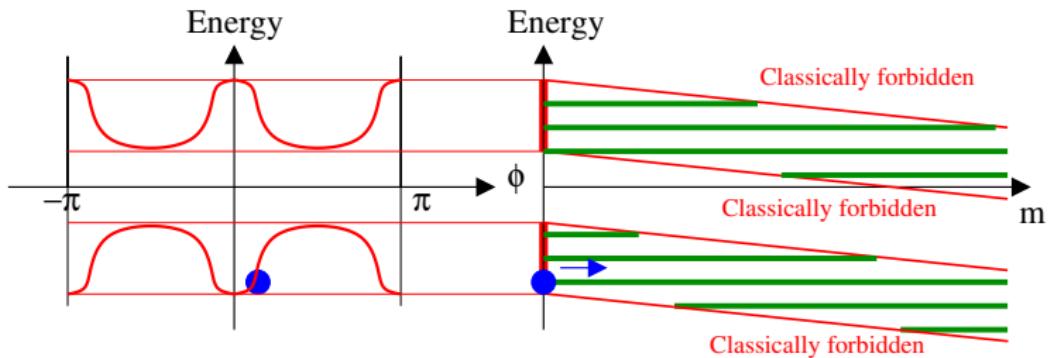
$$H(\varphi)|\chi(\varphi)\rangle = E(\varphi)|\chi(\varphi)\rangle$$

- Dynamics:** If  $|\Psi(t=0)\rangle = |\chi(0)\rangle \otimes |\varphi_0\rangle$ , then

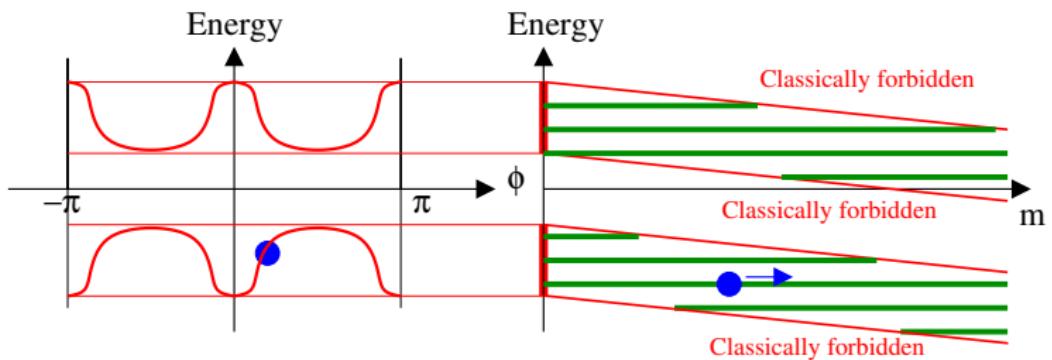
$$|\Psi(t)\rangle = |\chi(t)\rangle \otimes |\varphi(t)\rangle \quad \begin{aligned} \varphi(t) &= \varphi_0 + \omega_0 t \\ i\frac{d|\chi(t)\rangle}{dt} &= H(\varphi(t))|\chi(t)\rangle \end{aligned}$$

- If  $|\chi(t)\rangle$  is a **Floquet state**, we get a **periodic evolution** in  $\mathcal{H}_{\text{Large}}$ , with frequency  $\omega_0$ .

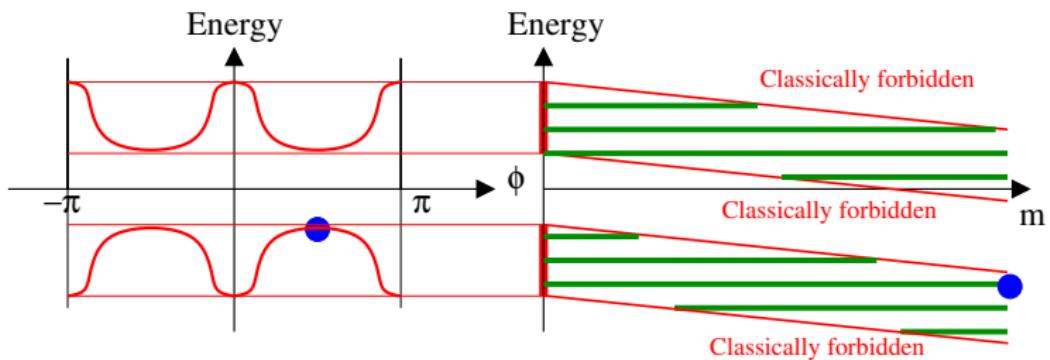
# Connection between Bloch oscillations and Wannier-Stark ladders



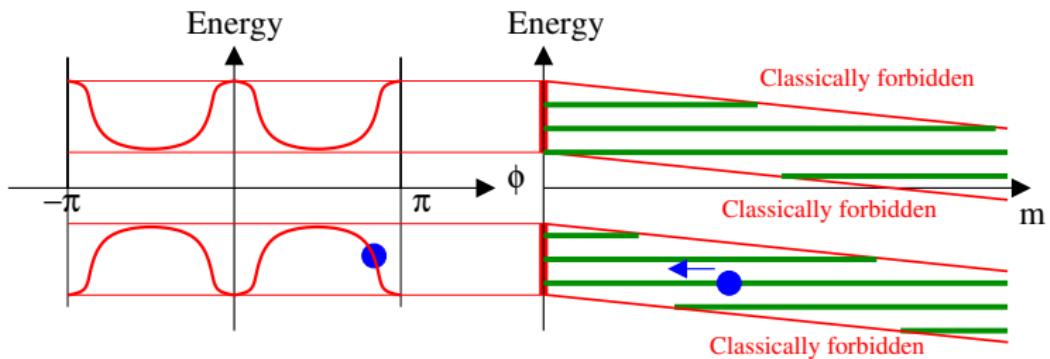
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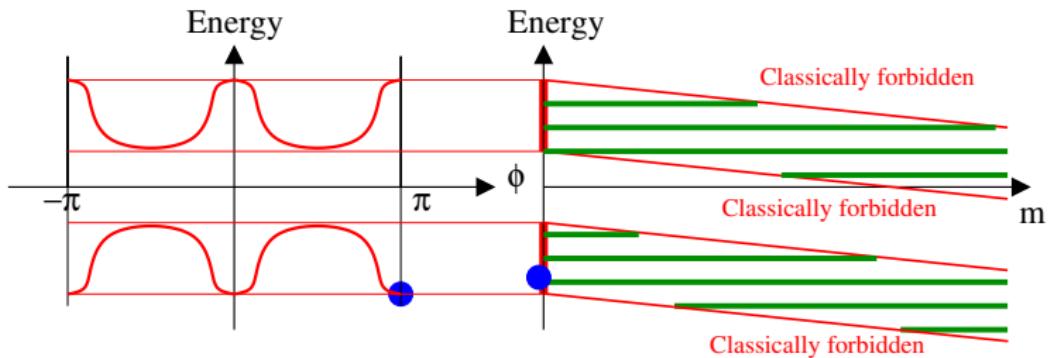
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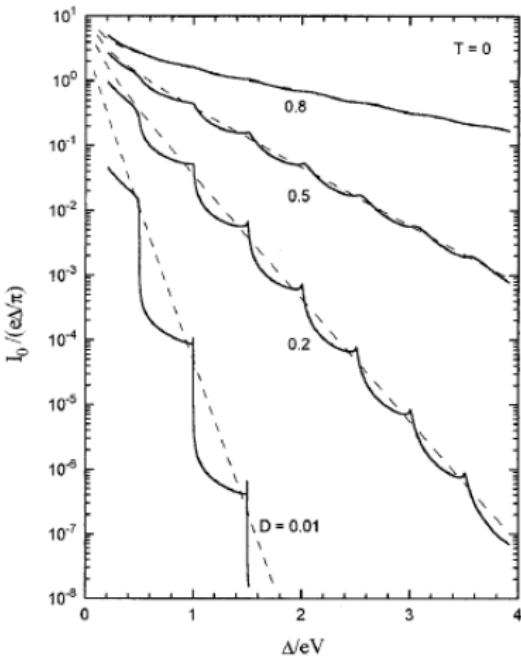
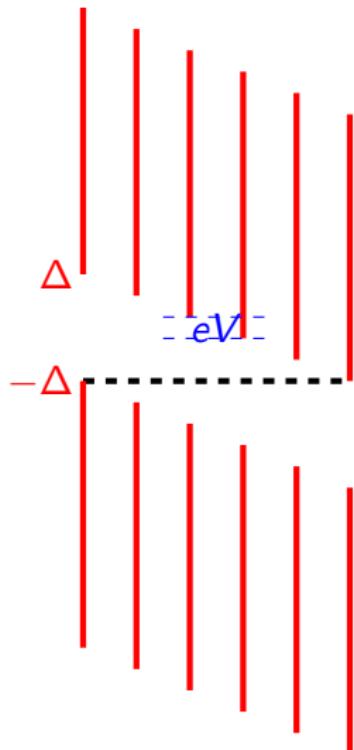
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# Connection between Bloch oscillations and Wannier-Stark ladders

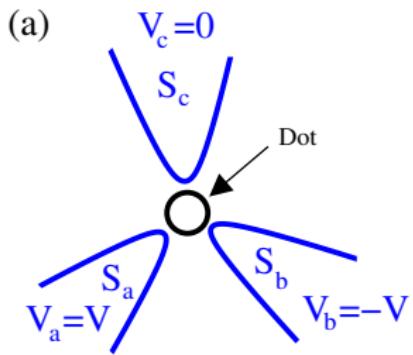


# Multiple Andreev reflections



Bratus et al. Phys. Rev. B **55**,  
12666 (1997)

# Model Hamiltonian



$$\begin{aligned} H(t) = & \sum_{jk\sigma} \epsilon_k c_{jk\sigma}^\dagger c_{jk\sigma} + \Delta_j c_{jk\uparrow}^\dagger c_{j-k\downarrow}^\dagger + \Delta_j^* c_{j-k\downarrow} c_{jk\uparrow} \\ & + J_{jk} (e^{-i\frac{e}{\hbar}V_j t} c_{jk\sigma}^\dagger d_\sigma + e^{i\frac{e}{\hbar}V_j t} d_\sigma^\dagger c_{jk\sigma}) \end{aligned}$$

# Floquet quasi-particle operators

$$i \frac{d}{dt} \gamma^\dagger(t) = [H(t), \gamma^\dagger(t)]$$

$$\gamma^\dagger(t) = u(t)d_\uparrow^\dagger + v(t)d_\downarrow + \sum_{jk} (u_{jk}(t)c_{jk\uparrow}^\dagger + v_{jk}(t)c_{j-k\downarrow})$$

Periodic case:  $\omega_j = \frac{e}{\hbar} V_j = s_j \omega_0$ ,  $s_j$  integer. Then:

$$u(t) = e^{-iEt} \sum_m e^{-im\omega_0 t} u(m)$$

$$u_{jk}(t) = e^{-iEt} \sum_m e^{-im\omega_0 t} u_{jk}(m)$$

## Elimination of the reservoir amplitudes

$$\begin{aligned} & \{E + \textcolor{red}{m}\omega_0 - \sum_j G_j(E + (\textcolor{red}{m} + s_j)\omega_0)\} u(\textcolor{red}{m}) + \\ & \sum_j F_j(E + (\textcolor{red}{m} + s_j)\omega_0) v(\textcolor{red}{m} + 2s_j) = 0 \\ & \sum_j F_j^*(E + (\textcolor{red}{m} - s_j)\omega_0) u(\textcolor{red}{m} - 2s_j) + \\ & \{E + \textcolor{red}{m}\omega_0 - \sum_j G_j(E + (\textcolor{red}{m} - s_j)\omega_0)\} v(\textcolor{red}{m}) = 0 \end{aligned}$$

Here,  $G_j(E)$  and  $F_j(E)$  are ordinary and anomalous Green's functions in the leads. We get a problem of **two** coupled Wannier-Stark ladders. **Notation:**  $\Psi_m = (u(m), v(m))^T$ .

## A few properties of $G_j(E)$ and $F_j(E)$

$$G_j(E) = \sum_k J_{jk}^2 \frac{E + \epsilon_k}{E^2 - \epsilon_k^2 - |\Delta_j|^2}$$
$$F_j(E) = \sum_k J_{jk}^2 \frac{\Delta_j}{E^2 - \epsilon_k^2 - |\Delta_j|^2}$$

- $G_j(E)$  and  $F_j(E)$  are *real* as long as  $E$  lies inside the BCS gap, i.e.  $|E| < |\Delta|$ .
- The imaginary part of  $G_j(E)$  and  $F_j(E)$  has a singular threshold behavior proportional to  $(E - |\Delta|)^{-1/2}$ , reflecting the BCS singularity in the quasi-particle continua at the gap.

# Difference equations: $|E + \xi| < \Delta$

$$M_0(m)\Psi_m - M_+(m+1)\Psi_{m+2} - M_-(m-1)\Psi_{m-2} = 0$$

$$M_0(m) = \begin{pmatrix} (E + \xi) \left( 1 + \frac{\sum_j \Gamma_j}{\sqrt{\Delta^2 - (E + \xi)^2}} \right) & -\frac{\Gamma_c \Delta}{\sqrt{\Delta^2 - (E + \xi)^2}} \\ -\frac{\Gamma_c \Delta}{\sqrt{\Delta^2 - (E + \xi)^2}} & (E + \xi) \left( 1 + \frac{\sum_j \Gamma_j}{\sqrt{\Delta^2 - (E + \xi)^2}} \right) \end{pmatrix}$$

$$M_+(m) = \begin{pmatrix} 0 & \frac{\Gamma_b \Delta e^{i\varphi_b}}{\sqrt{\Delta^2 - (E + \xi)^2}} \\ \frac{\Gamma_a \Delta e^{-i\varphi_a}}{\sqrt{\Delta^2 - (E + \xi)^2}} & 0 \end{pmatrix}$$

$$M_-(m) = \begin{pmatrix} 0 & \frac{\Gamma_a \Delta e^{i\varphi_a}}{\sqrt{\Delta^2 - (E + \xi)^2}} \\ \frac{\Gamma_b \Delta e^{-i\varphi_b}}{\sqrt{\Delta^2 - (E + \xi)^2}} & 0 \end{pmatrix}$$

# Difference equations: $|E + \xi| > \Delta$

$$M_0(m)\Psi_m - M_+(m+1)\Psi_{m+2} - M_-(m-1)\Psi_{m-2} = 0$$

$$M_0(m) = \begin{pmatrix} (E + \xi) \left( 1 + i \frac{\sum_j \Gamma_j}{\sqrt{(E+\xi)^2 - \Delta^2}} \right) & -\frac{i \Gamma_c \Delta}{\sqrt{(E+\xi)^2 - \Delta^2}} \\ -\frac{i \Gamma_c \Delta}{\sqrt{(E+\xi)^2 - \Delta^2}} & (E + \xi) \left( 1 + i \frac{\sum_j \Gamma_j}{\sqrt{(E+\xi)^2 - \Delta^2}} \right) \end{pmatrix}$$

$$M_+(m) = \begin{pmatrix} 0 & \frac{i \Gamma_b \Delta e^{i \varphi_b}}{\sqrt{(E+\xi)^2 - \Delta^2}} \\ \frac{i \Gamma_a \Delta e^{-i \varphi_a}}{\sqrt{(E+\xi)^2 - \Delta^2}} & 0 \end{pmatrix}$$

$$M_-(m) = \begin{pmatrix} 0 & \frac{i \Gamma_a \Delta e^{i \varphi_a}}{\sqrt{(E+\xi)^2 - \Delta^2}} \\ \frac{i \Gamma_b \Delta e^{-i \varphi_b}}{\sqrt{(E+\xi)^2 - \Delta^2}} & 0 \end{pmatrix}$$

# Semi-classical approximation

First transform  $m$  into a continuous variable  $\xi$ :

$\epsilon = 2\omega_0$ ,  $m\omega_0 = \xi$ , the difference equation becomes:

$$M_0(\xi)\Psi(\xi) - M_+(\xi + \frac{\epsilon}{2})\Psi(\xi + \epsilon) - M_-(\xi - \frac{\epsilon}{2})\Psi(\xi - \epsilon) = 0.$$

Semi-classical Ansatz:

$$\Psi(\xi) = e^{i\frac{\theta(\xi)}{\epsilon}} \chi(\xi),$$

where  $\chi(\xi)$  can be expanded as a series in  $\epsilon$ :

$$\chi(\xi) = \sum_{n=0}^{\infty} \epsilon^n \chi_n(\xi)$$

# Classical limit

Consider  $\epsilon \rightarrow 0$ . Then:

$$L_0(\xi, \theta'(\xi))\chi_0(\xi) = 0,$$

where:

$$L_0(\xi, \theta'(\xi)) = M_0(\xi) - e^{i\theta'(\xi)}M_+(\xi) - e^{-i\theta'(\xi)}M_-(\xi).$$

Setting  $k(\xi) = \theta'(\xi)$ , this defines a curve in classical phase-space  $(\xi, k)$ , obtained by imposing:

$$\det \left( M_0(\xi) - e^{ik}M_+(\xi) - e^{-ik}M_-(\xi) \right) = 0$$

More explicitly:

$$E + \xi = \pm E_A(k)$$

Basis for tilted band picture.

# Interpretation of $k$ and $E_A(k)$

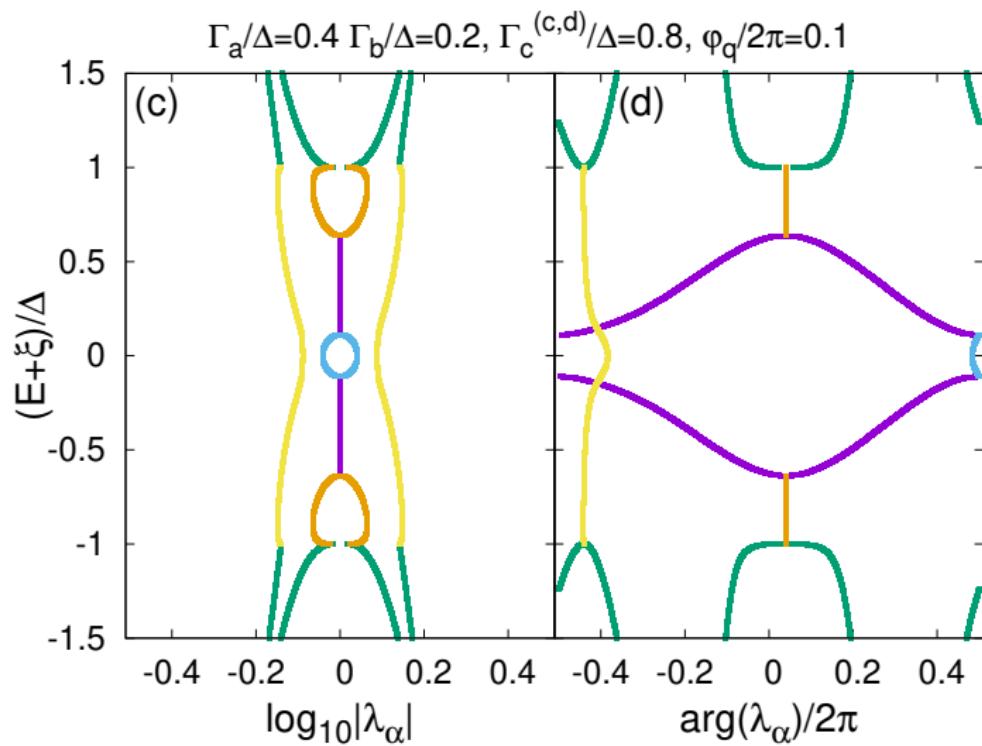
**Static limit:** plane-wave solutions  $\Psi_m = \exp(ikm/2) \Psi$ , which correspond to quasiparticle operators for **static** Bogoliubov-De Gennes Hamiltonians with superconducting order-parameter phases:  $\varphi_j(k) = \varphi_j + s_j k$  where  $V_j = s_j V$  on lead  $S_j$ ,  $s_j \in \{\pm 1, 0\}$ .



**Adiabatic approximation:**  $k = 2\omega_0 t$ , and at each time  $t$ , the system is in an **eigenstate** of  $H(t)$ .

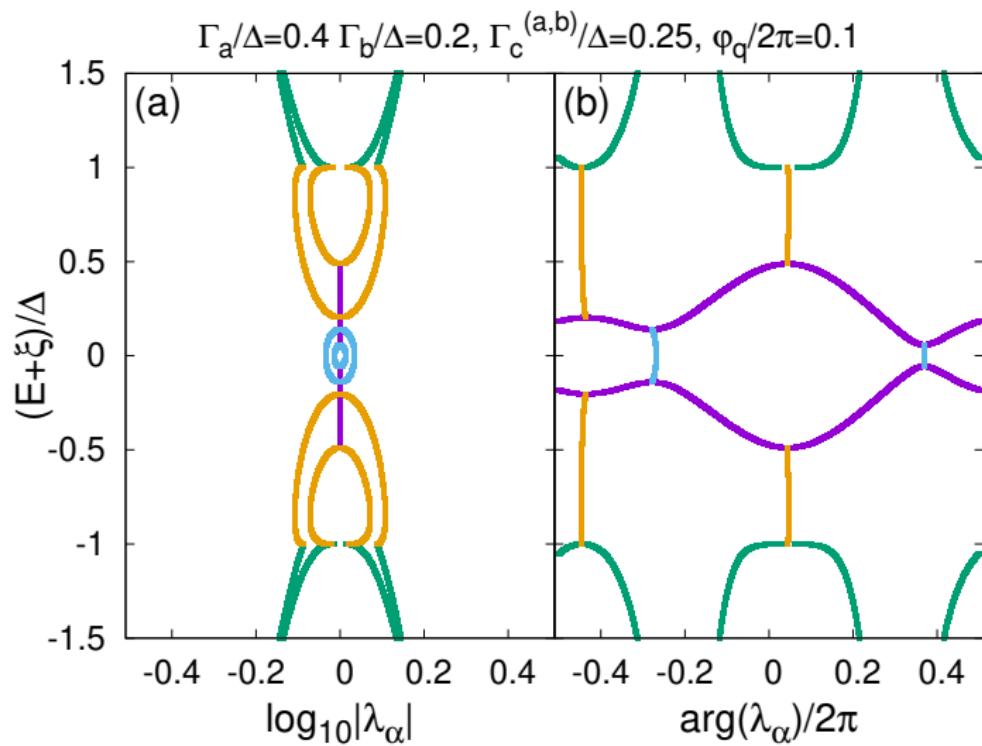
$E = \pm E_A(k)$  : energy dispersion relation of the doublet of Andreev bound state bands.

# Example of Andreev band structure (one local minimum)



$$\lambda_\alpha = e^{ik_\alpha}$$

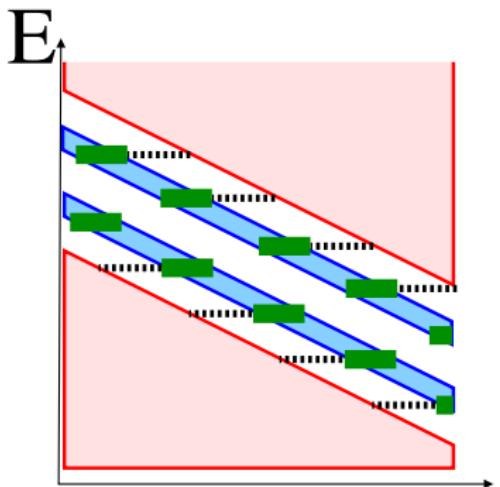
# Example of Andreev band structure (two local minima)



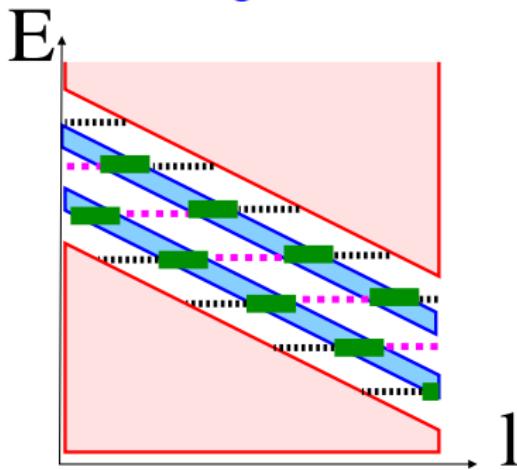
$$\lambda_\alpha = e^{ik_\alpha}$$

# Floquet-Wannier-Stark-Andreev Ladders

Non-coinciding resonances



Coinciding resonances



- Tunneling between ladders and continua  
⇒ Finite width of FWS-Andreev resonances

- Tunneling between ladders and continua
- Inter-ladder tunneling  
⇒ Landau-Zener-Stückelberg transitions

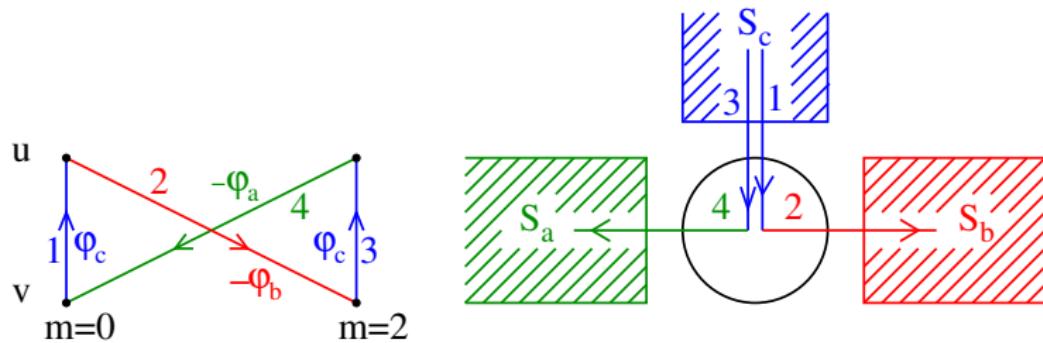
# Differences Between 2 and 3 Terminals

- Ladders parameterized by the quartet phase

$$\varphi_Q = \varphi_a + \varphi_b - 2\varphi_c$$

⇒ Level crossings as a function of  $\varphi_Q$

- Phase-sensitive Multiple Andreev reflections



- 2 Cooper pairs from  $S_c$  are transferred, one to  $S_a$ , one to  $S_b$ .
- Process involves an amplitude  $\exp\{i(2\varphi_c - \varphi_a - \varphi_b)\}$ .

# Berry phase signature on the Floquet spectrum I

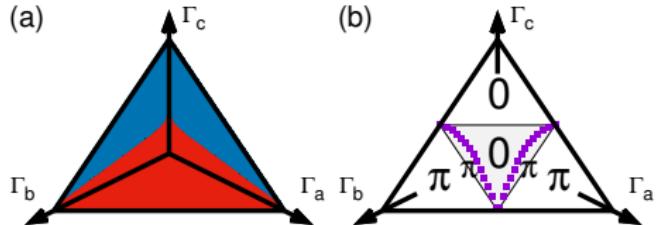
In the limit of **very small voltage**, one can neglect **inter-band tunneling**. This leads to **Bohr-Sommerfeld quantization condition**:

$$E = \sigma \langle E_A \rangle - (2n + W) \omega_0, \quad \sigma = \pm 1$$

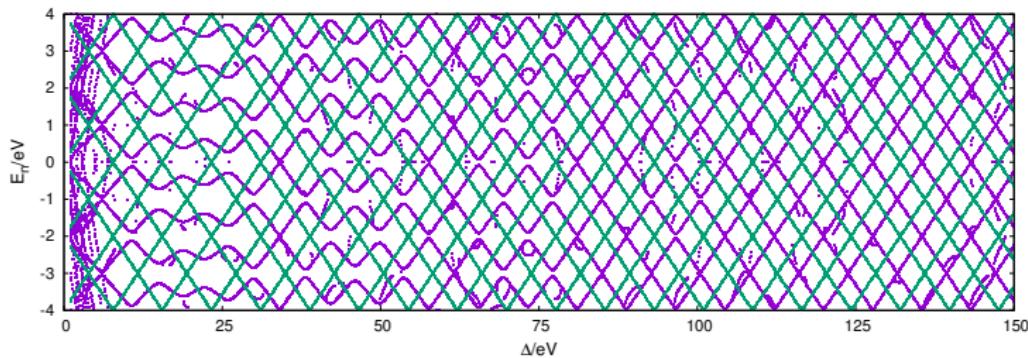
- Suggests to plot  $E/\omega_0$  versus  $1/\omega_0$ .
- Slope measures  $\langle E_A \rangle$ , and intercept is sensitive to  $W$ .
- $W$  is the Berry phase accumulated by the Nambu spinor  $\Psi(k)$  as  $k$  runs from  $-\pi$  to  $\pi$ . It is the **winding number** of the parametrized curve in  $\mathbb{C}$  defined by:  
$$k \rightarrow \Gamma(k) = \Gamma_a e^{i(\varphi_a - k)} + \Gamma_b e^{i(\varphi_b + k)} + \Gamma_c e^{i\varphi_c}.$$
- $L_0(\xi, k) \simeq \begin{pmatrix} E + \xi & -\Gamma(k) \\ -\Gamma(k)^* & E + \xi \end{pmatrix}$
- $W$  jumps when  $\Gamma(k) = 0$  for some  $k$ : **gap closing** condition.

# Berry phase signature on the Floquet spectrum II

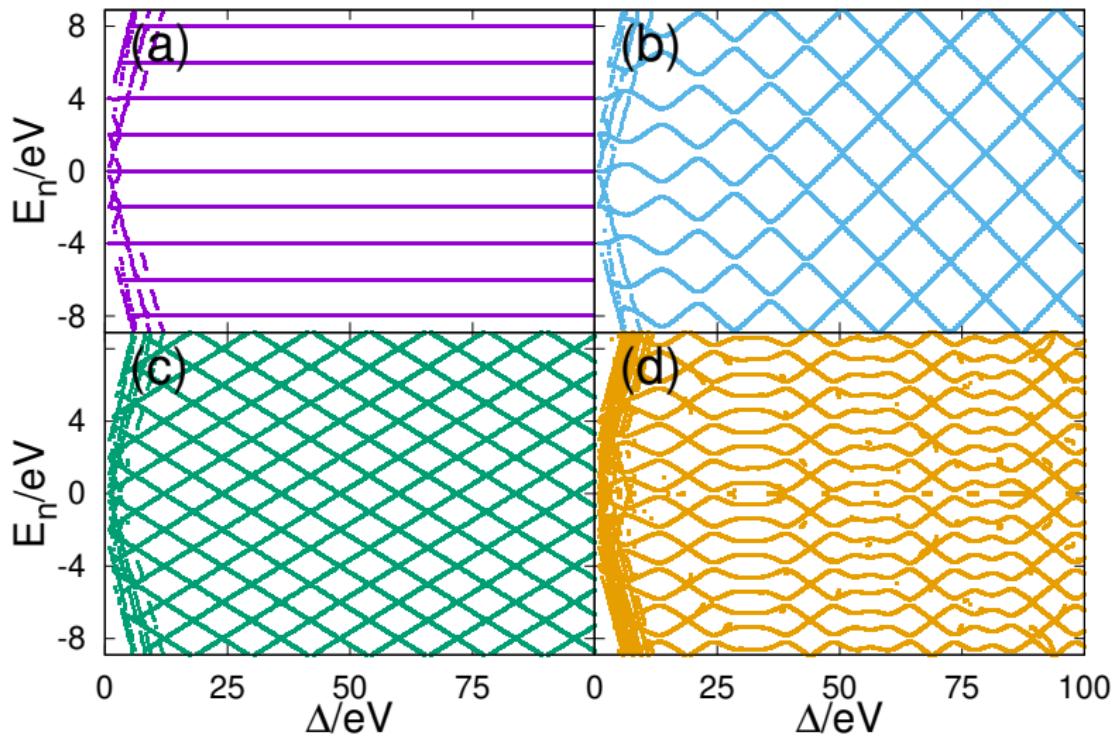
Phase diagrams: (a) number of minima in  $E_A(k)$ , (b)  $W$ .



Floquet spectrum on a case with  $W = 1$ .

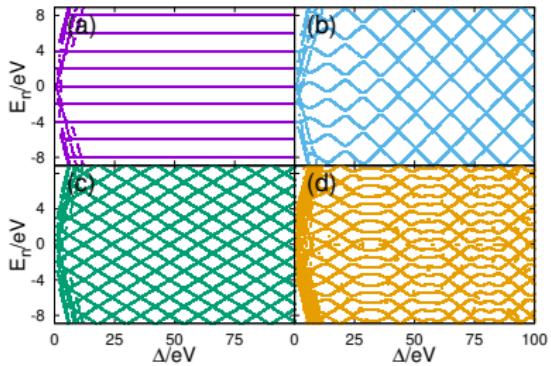
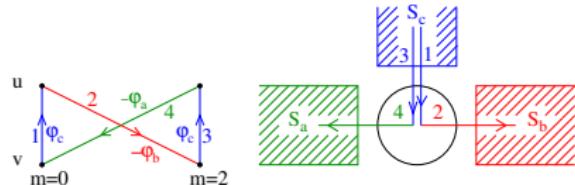


# Other examples of Floquet spectrum



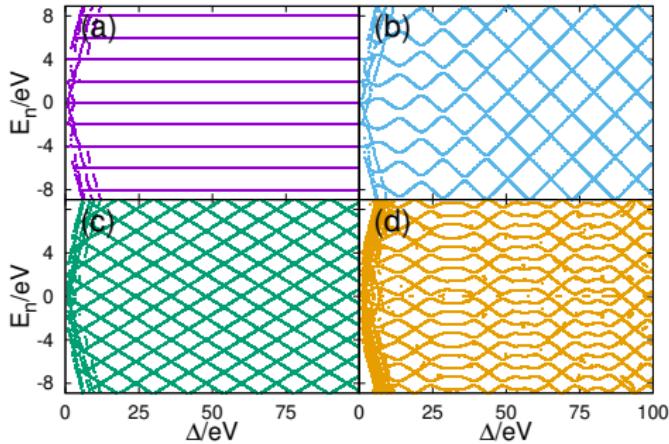
# Floquet spectrum: two terminals

Cases (a) and (b)  $\rightarrow 4\omega_0$ -periodicity! Because of the absence of "vertical rungs" (Andreev processes involving reservoir  $c$ ), there exist two decoupled blocks in  $\mathcal{H}_{\text{Large}}$ .



# Floquet spectrum: Cases (a) and (c): decoupled ladders

Symmetric configurations:  $\Gamma_a = \Gamma_b$  and  $\varphi_Q = 0$ . Then  $\sigma^x$  commutes with  $M_0(m)$  and  $M_{\pm}(m)$ , which leads to two **decoupled** ladders.



# Single particle properties

Dressed quasi-particle operators take the form

$$\gamma_{jk\sigma}^\dagger(t) = \gamma_{jk\sigma}^{\dagger(0)}(t) + e^{-iE_{jk}t} \sum_m e^{-im\omega_0 t} \left( u_{jk}(m) d_\sigma^\dagger + \sigma v_{jk}(m) d_{-\sigma} \right) + \dots$$

The stationary state  $|\mathcal{S}\rangle$  is defined by:

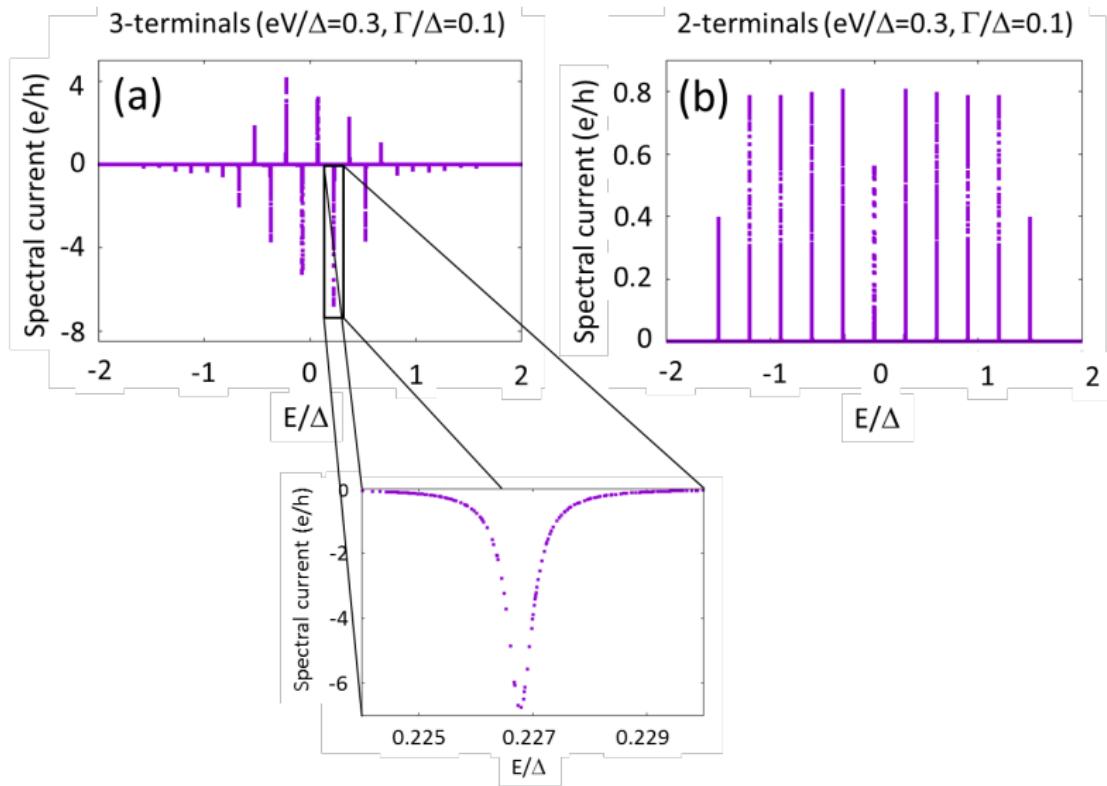
$$\gamma_{jk\sigma} |\mathcal{S}\rangle = 0$$

Then:

$$\langle S | d_{\sigma H}^\dagger(t) d_{\sigma H}(t) | S \rangle = \sum_{m,n} e^{-i(m-n)\omega_0 t} \sum_{j,k} v_{jk}(m) v_{jk}(n)^*$$

- dc average involves a sum over  $k$  which exhibits resonances when  $E_{jk} = \pm E_R + p\omega_0$ ,  $p$  integer.
- Harmonic content related to shape of Floquet-Wannier-Stark wave-functions.

# Spectral current

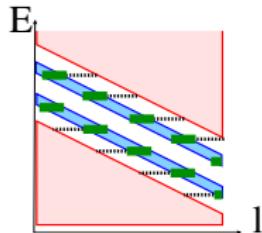
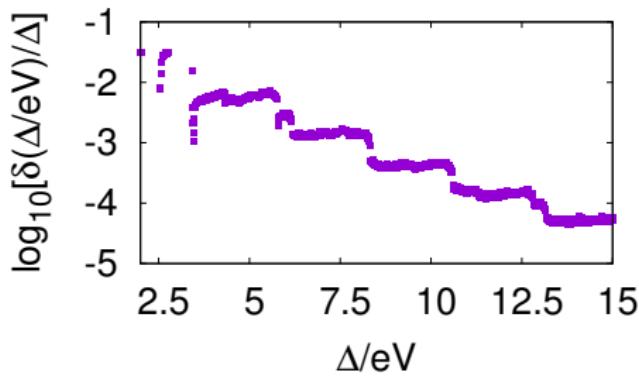


# Width of Floquet-Wannier-Stark-Andreev Resonances

Width of the resonances due to

Tunneling between ladders and continua

$$\Gamma/\Delta=0.3, \eta_{\text{dot}}/\Delta=10^{-5}$$



- Envelope  $\delta(\Delta/eV) \sim \exp(-\Delta/eV)$  because of tunneling through classically forbidden region of length  $\sim \Delta/eV$

- Steps related to thresholds of multiple Andreev reflections coupling quantum dot to quasiparticle continua (discreteness of auxiliary variable  $I$ )

⇒ Sensitivity to other relaxation mechanism at low-voltage (i.e. at large  $\Delta/eV$ )

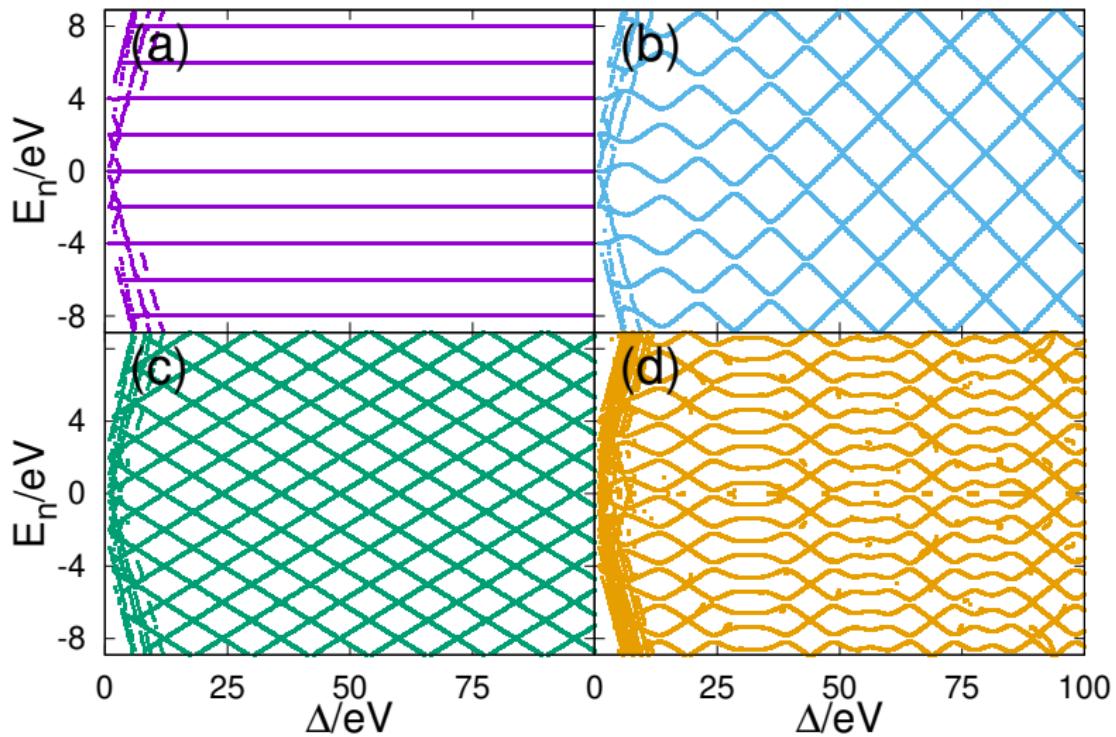
## Two particle properties

Dressed quasi-particle operators take the form

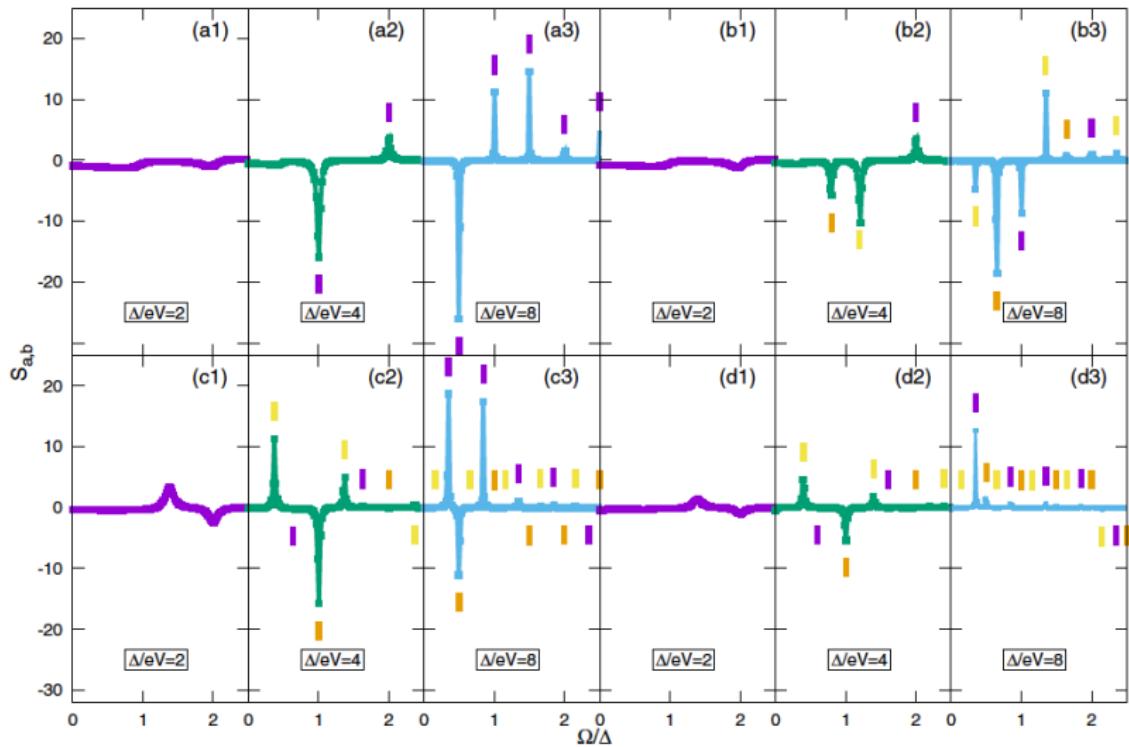
$$\gamma_{jk\sigma}^\dagger(t) = \gamma_{jk\sigma}^{\dagger(0)}(t) + e^{-iE_{jk}t} \sum_m e^{-im\omega_0 t} \left( u_{jk}(m) d_\sigma^\dagger + \sigma v_{jk}(m) d_{-\sigma}^\dagger \right) + \dots$$

- $S(\omega, \omega)$  has narrow peaks at  $\omega = p\omega_0$  and  $\omega = \pm 2E_R + p\omega_0$ ,  $p$  integer.
- Some peaks merge near **avoided crossings** between the two Wannier Stark ladders, i.e.  $E_R = 0$  or  $E_R = \pm\omega_0/2$ . This is likely to enhance zero frequency noise.

# Finite frequency noise

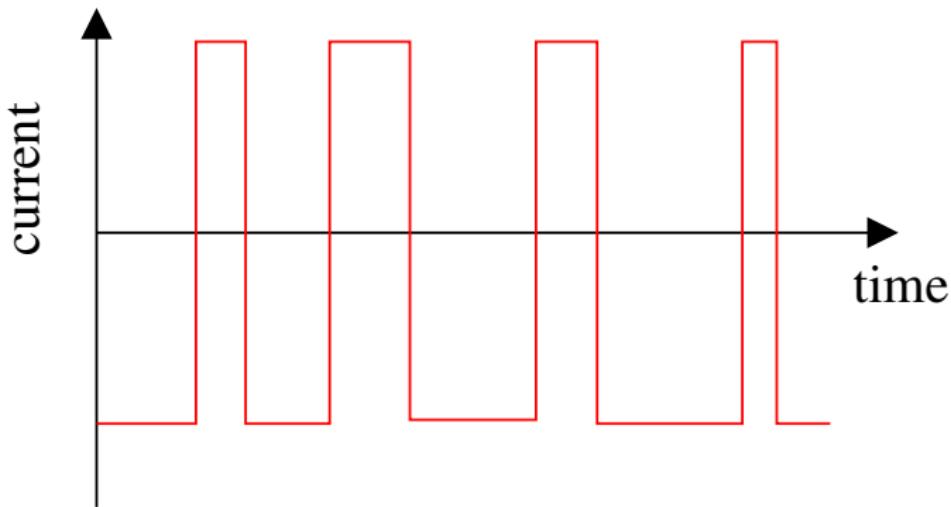


# Finite frequency noise



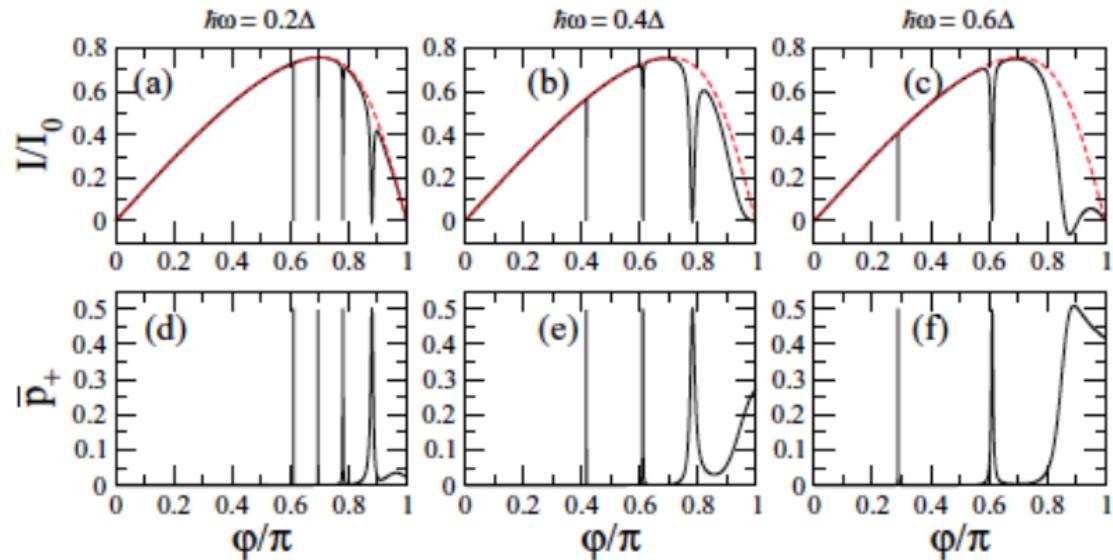
# Noise for two coupled Wannier-Stark ladders

Thermal noise in a two-terminal point contact at equilibrium:



Can we generalize such picture for two coupled Wannier-Stark ladders ?

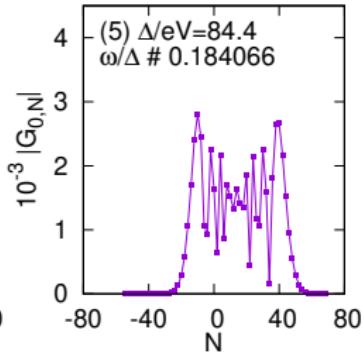
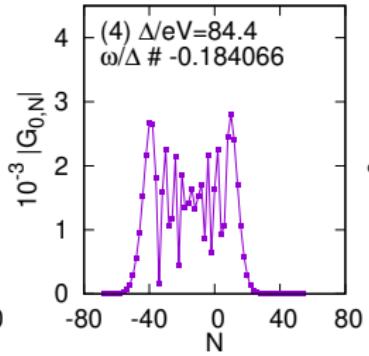
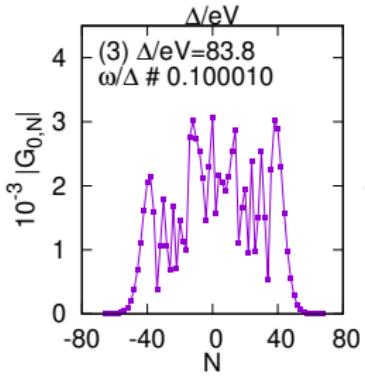
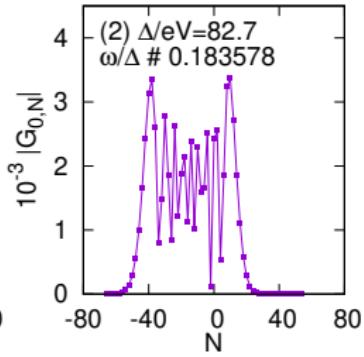
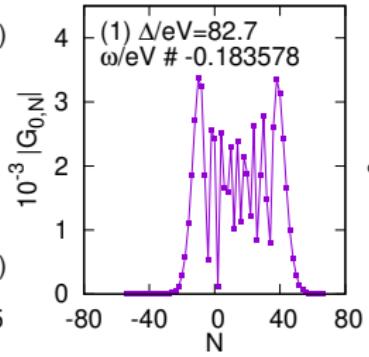
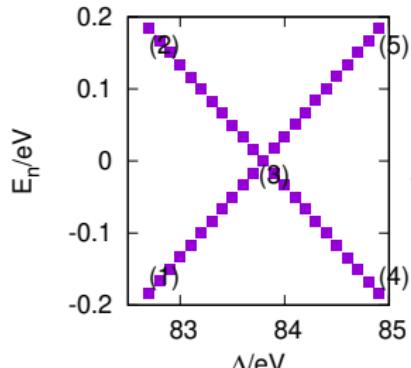
# Example: ABS dynamics in an irradiated QPC



F. S. Bergeret et al, Phys. Rev. B 84, 054504 (2011)

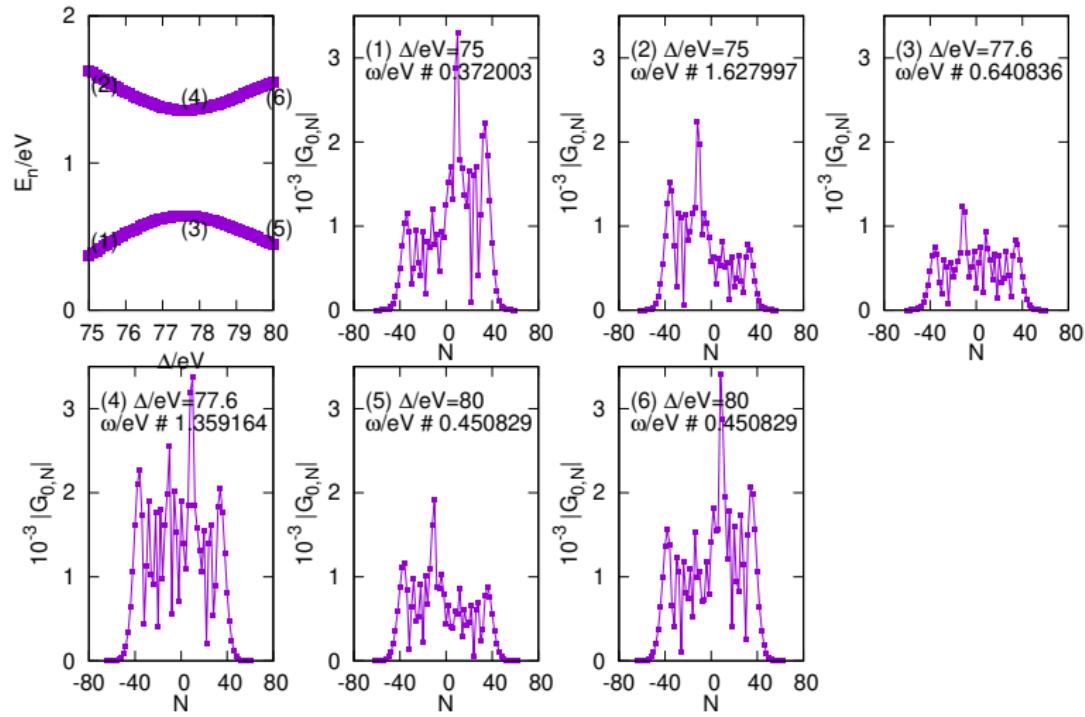
# Resolvent near a level crossings

Parametres du panel (c)



# Resolvent near an avoided level crossings

Parametres du panel (d)

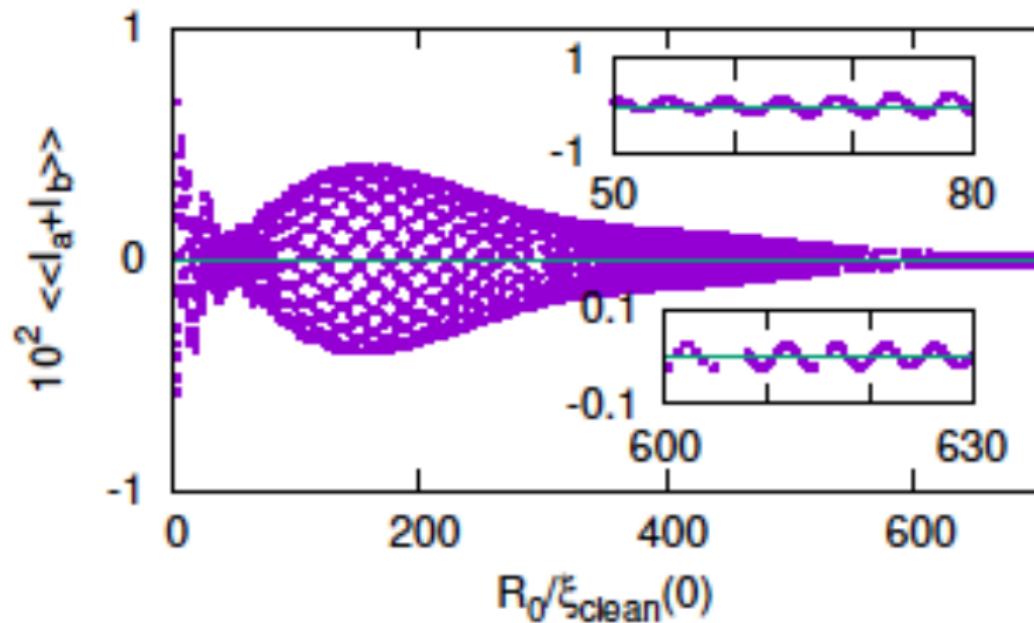


# Questions and Perspectives

- More quantitative description of single particle and two particle properties near avoided crossings. Relative weight of the two Wannier-Stark ladders? Related to the **choice of a stationary state** (here: Keldysh prescription).
- Physical relaxation mechanisms between these ladders?
- Role of Coulomb interactions on the dot?
- Two quantum dots → possible long range correlation through **Floquet-Tomasch** mechanism?
- Manipulations with NMR pulses → towards a **Floquet-Andreev qubit**?

# Voltage Induced long-range correlations for a double dot

(b)  $eV/\Delta=0.4$ , regime B



# Periodic modulation of a Wannier-Stark ladder

PHYSICAL REVIEW B 91, 184512 (2015)

## Quantum phase-slip junction under microwave irradiation

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(Received 25 February 2015; revised manuscript received 24 April 2015; published 19 May 2015)

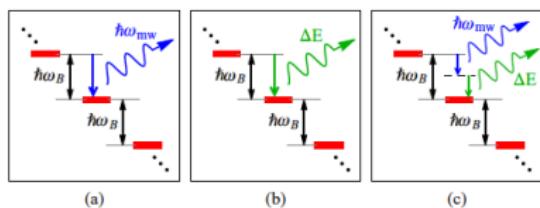
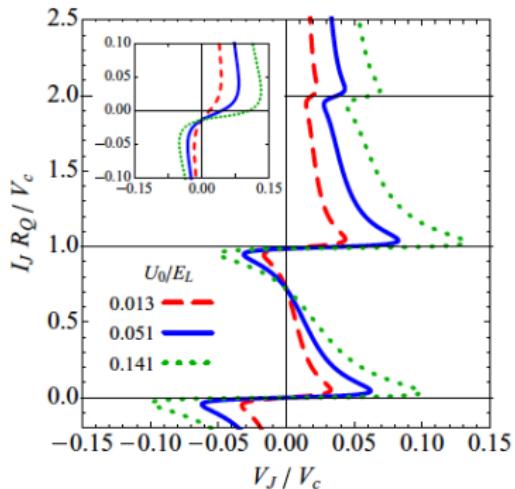
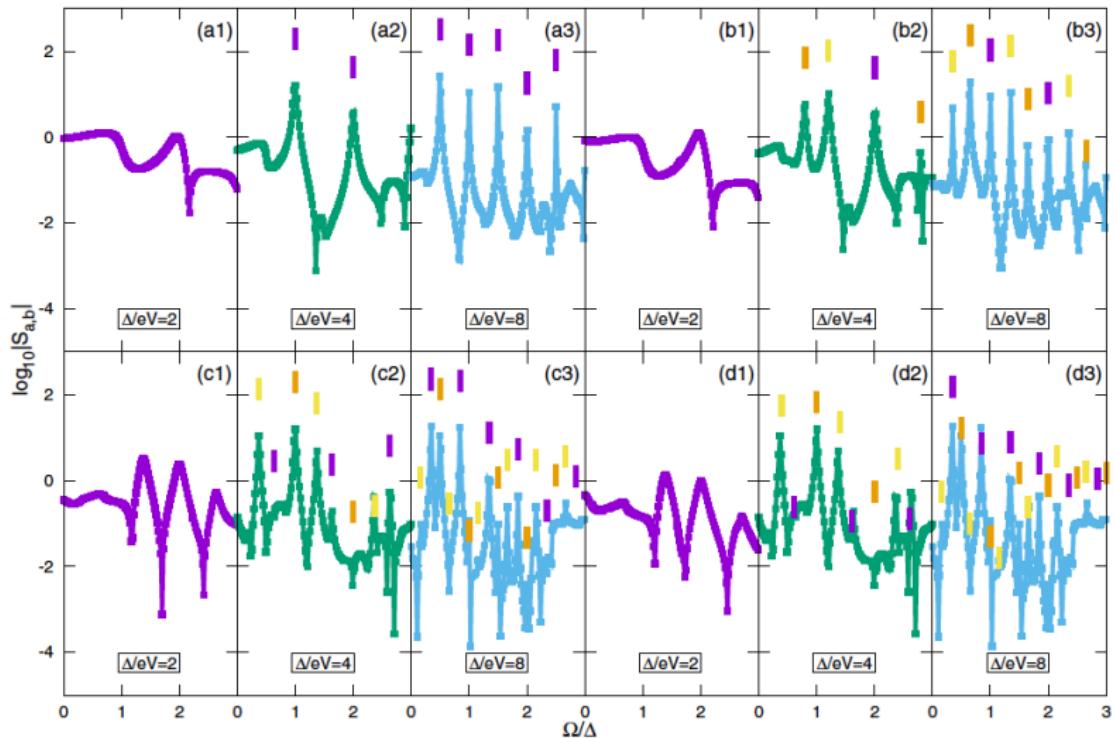


FIG. 3. (Color online) Wannier-Stark ladder. The tilt provided by the bias current  $I_0$  induces an energy separation  $\hbar\omega_B$  between adjacent phase states indicated by red horizontal bars. (a) Phase locking occurs when the resonant condition  $\omega_B = m\omega_{\text{mw}}$  is satisfied. For  $m = 1$ , a photon with energy  $\hbar\omega_{\text{mw}}$  is exchanged with the microwave source. (b) Environment-assisted transitions between adjacent states in the Wannier-Stark ladder lead also to the appearance of a finite voltage across the QPSJ element. (c) Wannier-Stark ladder in the presence of both microwave and environmental photons with energies  $\hbar\omega_{\text{mw}}$  and  $\Delta E$ , respectively.



# Finite frequency noise



# Analogy between superconductivity and solid state physics

Band Theory	Superconductivity
Wave-vectors	Superconducting phases
Position on the lattice in real space	Number of transmitted Cooper pairs $N$
Wannier functions labelled by sites on a periodic lattice	Periodicity in phases implies $N$ integer
Plane waves in Bloch theory $ k\rangle = \sum_x \exp(ikx) x\rangle$	States with fixed superconducting phase $ \varphi\rangle = \sum_N \exp(iN\varphi) N\rangle$
Hopping between neighboring tight-binding sites	Transferring pairs between leads by Andreev reflection
External potential	Charging energy
Electric field $dk/dt = -eE$	Josephson relation $d\varphi_n/dt = 2eV_n/\hbar$
<b>Wannier-Stark ladder</b>	<b>Floquet-Wannier-Stark ladders</b>

# Wannier-Stark ladders in semiconducting superlattices

VOLUME 60, NUMBER 23

PHYSICAL REVIEW LETTERS

6 JUNE 1988

## Stark Localization in GaAs-Ga<sub>1-x</sub>Al<sub>x</sub>As Superlattices under an Electric Field

E. E. Mendez, F. Agulló-Rueda, and J. M. Hong

IBM T. J. Watson Research Center, Yorktown Heights, New York 10598

(Received 21 January 1988)

We have observed that a strong electric field  $\delta$  shifts to higher energies the photoluminescence and photocurrent peaks of a GaAs-Ga<sub>0.95</sub>Al<sub>0.05</sub>As superlattice of period  $D = 65 \text{ \AA}$ , which we explain by a field-induced localization of carriers to isolated quantum wells. Good agreement is found between observed and calculated shifts when the large field-induced increase of the exciton binding energy is taken into account. At moderate fields [ $\delta = (2-3) \times 10^4 \text{ V/cm}$ ], the coupling between adjacent wells is manifested by four additional peaks that shift at the rates  $\pm e\delta D$  and  $\pm 2e\delta D$  and correspond to transitions that involve different levels of the Stark ladder.

PACS numbers: 73.60.Br, 73.40.Lq, 78.55.Cr

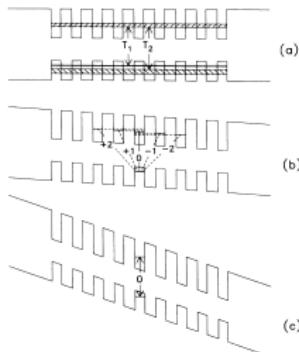


FIG. 1. Sketches of the conduction- and valence-band potential profiles for GaAs-Ga<sub>1-x</sub>Al<sub>x</sub>As superlattice under a (a) small, (b) moderate, and (c) high electric field, clad by thick Ga<sub>1-x</sub>Al<sub>x</sub>As regions. The diagrams are approximately scaled for a 30-35-Å superlattice with  $x=0.35$ , and fields of  $2 \times 10^3$ ,  $2 \times 10^4$ , and  $1 \times 10^5 \text{ V/cm}$ , respectively.

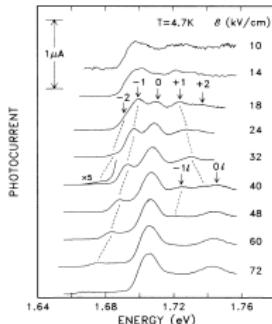


FIG. 3. Photocurrent (PC) spectra for the same superlattice of Fig. 2, at representative electric fields. The peaks labeled  $\pm 1$ ,  $0$ , and  $\pm 2$  are for transitions involving heavy-hole states and electrons weakly delocalized, as illustrated in Fig. 1. Analogous transitions for light holes are denoted by  $0 \pm 1$ .

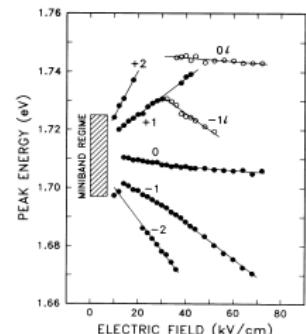
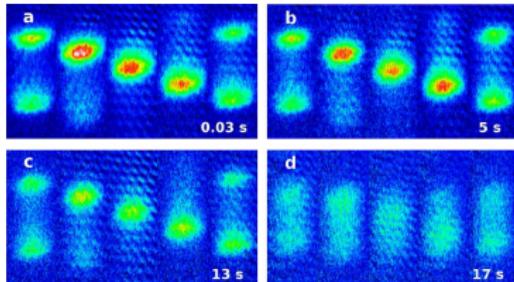


FIG. 4. Transition energies for the PC structures of Fig. 1(a) vs electric field. The filled circles correspond to heavy-hole transitions, whereas the open circles refer to light holes.

# Bloch oscillations in optical lattices

J. Dalibard, Collège de France (2013)



**FIGURE 5.9.** Oscillations de Bloch d'atomes de  $^{88}\text{Sr}$  (bosons) sous l'effet de la gravité dans un réseau de période  $a = 266\text{ nm}$  et de profondeur  $V_0 \approx 3 E_r$  [figure extraite de Poli et al. (2011)]. La période de Bloch est  $\omega_B/2\pi = 574\text{ Hz}$  et les oscillations de Bloch peuvent être observées pendant près de 20 secondes. Les images correspondent à l'oscillation n° 1, 2900, 7500 et 9800. La valeur extrêmement basse de la longueur de diffusion pour les atomes de  $^{88}\text{Sr}$  permet de minimiser le déphasage des oscillations dû aux interactions. On peut déduire de ces oscillations la valeur de  $g$  à  $6 \times 10^{-6}$  près. La précision de cette mesure de  $g$  est notablement amélioré si on utilise plutôt – sur le même montage expérimental – la spectroscopie des états de Wannier–Stark (voir § 5).

# Spectroscopy of Bloch oscillations: Wannier-Stark ladders

PHYSICAL REVIEW

VOLUME 117, NUMBER 2

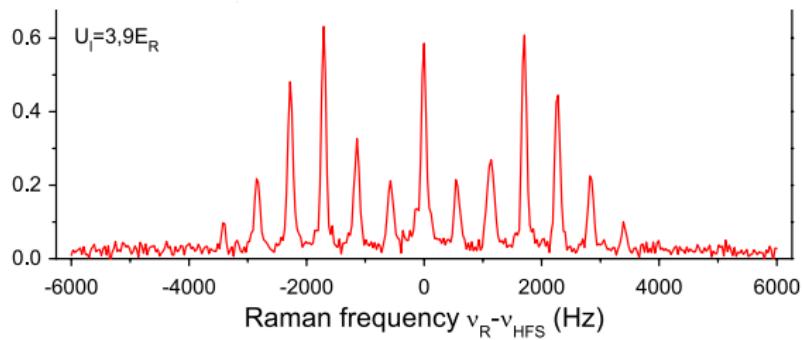
JANUARY 15, 1960

## Wave Functions and Effective Hamiltonian for Bloch Electrons in an Electric Field

GREGORY H. WANNIER

Bell Telephone Laboratories, Murray Hill, New Jersey

(Received August 3, 1959)



**FIGURE 5.15.** Spectroscopie Raman des états de Wannier-Stark d'atomes de rubidium dans un réseau optique en présence de gravité. On observe des transitions  $|\Phi_j\rangle \rightarrow |\Phi_{j'}\rangle$  allant jusqu'à  $|j' - j| = 6$  pour cette valeur de la profondeur du réseau. La fréquence des oscillations de Bloch est  $\omega_B/(2\pi) = 569$  Hz pour la longueur d'onde de la lumière choisie pour le réseau (532 nm) [figure extraite de Beaufils et al. (2011)].